

Advanced Photon Source

User Policies and Procedures

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ICMS Content ID:	APS_1426363
Procedure #:	3.1.45
Revision #:	1
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Evaluation of Unpublished Research

Changes made in this revision:

- Removed APS/Director as an approver

Prepared by:

S. White-DePace, Manager, APS User Programs

Reviewed/Approved by:

AES/Technical Operations Specialist
XSD/Division Director
APS/Deputy ALD, X-ray Science

Evaluation of Unpublished Research

1 PURPOSE

To ensure that the APS is used optimally to meet Department of Energy scientific and technological objectives, APS management must evaluate the impact of the work performed using APS facilities. This policy defines the requirements for APS users to justify the value of the research not intended for publication in the open literature.

2 SCOPE

This policy addresses the information required for evaluation of the impact of

- Proprietary or classified work, and
- Unpublished industry-sponsored works.

This policy does not address the information required for evaluation of the impact of nonproprietary work performed with the goal of publication in the open scientific literature. The publication record (deposited in the APS Publication Database) will be the primary basis of evaluation for nonproprietary experiments.

3 POLICY

At the close of each fiscal year the User Administration and Support Office will make a call for the justification for unpublished work. The responses will be forwarded to the APS Deputy Director for X-ray Science. Each justification should describe the general area for which the beam time was used and indicating, in general terms, the importance of the work. This written statement can be for either each scheduled beam time or one impact statement from each research institution that covers the all of the work for the year.

Researchers are encouraged to provide information to justify the value of the APS to their research, such as company testimonials or publicity that states the value of the APS to the fulfillment of company goals (e.g., bringing new products to market or improving industrial processes), news reports that mention the APS, or letters from company/program senior managers attesting to the value of the research to company/program goals.

4 RELATED POLICIES

Parent policy: [User Access and Administration Framework \(APS_1258423\)](#)
[Reporting Publications to the APS \(APS_1426538\)](#)

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5 FEEDBACK AND IMPROVEMENT

If you are using this policy and have comments or suggested improvements for it, please go to the [APS Policies and Procedures Comment Form](#)* to submit your input to a Procedure Administrator. If you are reviewing this policy in workflow, your input must be entered in the comment box when you approve or reject the policy.

Instructions for execution-time modifications to a policy/procedure can be found in the following document: Field Modification of APS Policy/Procedure ([APS 1408152](#)).

* <https://www.aps.anl.gov/Document-Central/APS-Policies-and-Procedures-Comment-Form>