## Anomolous Workfunction Anisotropy in Ternary Acetylides: Applications to Photocathode R&D

**Zsolt Terdik**, Karoly Nemeth, Katherine Harkay Argonne National Laboratory

# Outline

- Introduction: Aims of the research
- Ternary Acetylides: New class of photoemissive materials
- Unique 1D substructures
- Quantum Chemical DFT-based calculations
  - Workfunction, surface energies, optical absorption, and surface relaxation
- Advantages and Applications

## Cesium Telluride $(Cs_2Te)$ Photocathode



- The workfunction of Cesium Telluride photocathodes increases as the cathode is used by up to 1 eV.
- Only partial rejuvenation is possible.

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[A. di Bona, et.al. J.Appl.Phys. 80 (5), 1996]

CO, N<sub>2</sub>, CH<sub>4</sub>,  $0_2$ , and CO<sub>2</sub> were investigated in order to simulate practical vacuum condititions .

- However, acetylene gas was not investigated.
- Acetylene is fairly reactive, easily losing its hydrogens, which takes electrons away from the material in contact. This forms "acetylides," containing the th- acetylide anion [:C:::C:]2-, commonly denoted as C

Proposed synthesis routes  $Cs_2Te(cr) + C_2H_2(g) \rightarrow Cs_2TeC_2(cr) + H_2(g) , \Delta E = +1.1 eV$  $Cs_2C_2(cr) + Te(cr) \rightarrow Cs_2TeC_2(cr) , \Delta E = -0.95 eV$ 



Top (left) and side (right) view of  $Cs_2 TeC_2$ . Note the hexagonal packing of  $[TeC_2]_{\infty}$  rods. Dark purple indicates Cs, grey indicates C, and bronze indicated Te.

## **Calculating Workfunctions**

 Workfunction is the energy required to move an electron from the bulk crystal into the vacuum:

$$\Phi = \Delta V_{el} - E_f$$

where  $\Delta V_{el}$  is the rise in electrostatic potential as the electron moves through the surface of the crystal, and  $E_f$  (Fermi Energy) is the highest available electron energy.



In the simulations we must avoid finite size effects which can systematically distort our results. This is effectively done through averaging surface electrostatic potentials, and referencing all surface values to bulk values where finite size effects are avoided. (C.J. Fall *et al*, J. Phys: Condensed Matter. 1999)

#### Validation of DFT, PBE functional

TABLE I: Validation of the a, b and c lattice parameters on several test systems using the PBE density functional, as described in the discussion. Orthorhombic and hexagonal  $Cs_2C_2$ are denoted as o- $Cs_2C_2$  and h- $Cs_2C_2$ , respectively, with structural parameters not very accurately determined due to the coexistence of the two phases at any temperature.

Compound,	Lattice Parameters (Å)						
space-group &	EXPT			DFT			
reference	a	b	с	a	b	с	
$Cs (Im\overline{3}m)[13]$	6.067	6.067	6.067	6.067	6.067	6.067	
Te $(P3_121)$ [14]	4.526	4.526	5.920	4.458	4.458	5.925	
$Cs_2Te$ (Pnma)[15]	9.512	5.838	11.748	9.109	5.871	11.494	
$C (Fd\overline{3}m)[16]$	3.567	3.567	3.567	3.573	3.573	3.573	
$Na_2C_2 (I4_1/acd)[17]$	6.778	6.778	12.740	6.941	6.941	13.027	
$o-Cs_2C_2$ (Pnma)[18]	9.545	5.001	10.374	9.826	5.061	10.491	
$h-Cs_2C_2 \ (P\overline{6}2m)[18]$	8.637	8.637	5.574	8.728	8.728	6.048	
$CsAgC_2(P4_2mmc)[6]$	5.247	5.247	8.528	5.317	5.317	9.036	
$Na_2PdC_2 (P\overline{3}m1)[5]$	4.464	4.464	5.266	4.632	4.632	5.284	
$Cs_2PdC_2 (P\overline{3}m1)[4]$	5.624	5.624	5.298	5.804	5.804	5.265	
$Na_2TeC_2$ (P $\overline{3}m1$ )	-	-	-	4.767	4.767	6.102	
$Cs_2TeC_2$ (P $\overline{3}m1$ )	-	-	-	5.820	5.820	6.152	

TABLE II: Validation of C-C and M-C distances (M is transition-metal or metalloid element).

	Compound,	d(C-C) (Å)		d(M-C) (Å)	
	Space-group & ref.	EXPT	$\mathbf{DFT}$	EXPT	$\mathbf{DFT}$
	C (Fd3m)[16]	1.544	1.547	-	-
	$C_2H_2$ (gas) [19]	1.203	1.203	-	-
	$Na_2C_2$ (I41/acd) [17]	1.204	1.261	-	-
	$o-Cs_2C_2$ (Pnma) [18]	1.385	1.269	-	-
	$h-Cs_2C_2 \ (P\overline{6}2m)[18]$	0.934	1.267	-	-
	$CsAgC_2$ (P4 <sub>2</sub> mmc) [6]	1.216	1.249	2.016	2.034
/	$Na_2PdC_2$ (P3m1) [5]	1.262	1.271	2.019	2.006
	$Cs_2PdC_2$ (P3m1) [4]	1.260	1.280	2.019	1.993
	$Na_2TeC_2$ (P $\overline{3}m1$ )	-	1.259	-	2.422
	$Cs_2TeC_2$ (P3m1)	-	1.257	-	2.452

TABLE III: Experimental and calculated (DFT) properties of photoemissive surfaces of validation materials: workfunctions  $(\Phi)$ , bandgaps at the  $\Gamma$ -point  $E_g(\Gamma)$  and surface energies  $(\sigma)$ .

	Compound	$\Phi$ (eV)		$E_g(\Gamma)$ (eV)	$\sigma ~({\rm eV}/{\rm \AA}^2)$
	and surface	EXPT	DFT	$\mathbf{DFT}$	$\mathbf{DFT}$
	Cs(100)	2.14 [20]	2.00	0.29	0.005
	Te(001)	4.95 [20]	5.02	0.54	0.036
7	$Cs_2Te(001)$	2.90-3.0 [21]	3.08	0.77	0.015
	$Cs_2Te(010)$	2.90-3.0 [21]	2.90	1.04	0.014
	$(\mathrm{Cs})\mathrm{Na_3KSb}$	1.55 [22]	-	-	-
	$\mathrm{K}_{2}\mathrm{CsSb}$	1.9-2.1 [23, 24]	-	-	-

# Calculated surface properties (DFT), No surface relaxation

Compound	unrelaxed			
and	$\Phi$	$E_g(\Gamma)$	$\sigma$	
surface	(eV)	(eV)	$(eV/Å^2)$	
$o-Cs_2C_2(010)$	2.80	1.25	0.023	
$\mathrm{h\text{-}Cs_2C_2(001)}$	2.56	1.14	0.027	
$\mathrm{Na_2PdC_2(001)}$	3.58	1.13	0.067	
$Na_2PdC_2(110)$	3.73	1.65	0.029	
$\mathrm{Na_2PdC_2(010)}$	2.65	1.91	0.019	
$\mathrm{Cs_2PdC_2(001)}$	2.90	1.43	0.046	
$\mathrm{Cs}_{2}\mathrm{PdC}_{2}(110)$	2.73	0.88	0.026	
$Cs_2PdC_2(010)$	1.33	0.78	0.015	
$\mathrm{Na_2TeC_2(001)}$	3.40	1.03	0.029	
$Na_2 TeC_2(110)$	3.80	0.91	0.025	
$\mathrm{Na_2TeC_2(010)}$	2.75	1.43	0.015	
$\mathrm{Cs}_{2}\mathrm{TeC}_{2}(001)$	3.71	1.86	0.022	
$Cs_2TeC_2(110)$	2.77	0.77	0.020	
$Cs_2TeC_2(010)$	1.71	1.00	0.013	

#### General Trends

- (010) surface are the moststable and show the lowestworkfunctions
- Approximately 1-2 eV reduction in workfunction between (001) and (010) surfaces.
  - Changing alkali atom has effect on workfunction and bandgaps

## Differences in Metalloid and Transition Metal Bond Strength

Metalloid (Te)						
Compound	unrelaxed					
and	$\Phi   \mathbf{E}_g(\Gamma)   \sigma$					
surface	(eV)	(eV)	$(eV/Å^2)$			
$\mathrm{Cs}_{2}\mathrm{TeC}_{2}(001)$	3.71	1.86	0.022			
$\mathrm{Cs}_{2}\mathrm{TeC}_{2}(110)$	2.77	0.77	0.020			
$\mathrm{Cs}_{2}\mathrm{TeC}_{2}(010)$	1.71	1.00	0.013			

Transition Metal (Pd)						
Compound	unrelaxed					
and	$\Phi   \mathbf{E}_g(\Gamma)   \sigma$					
surface	(eV)	(eV)	$(eV/Å^2)$			
$\mathrm{Cs}_2\mathrm{PdC}_2(001)$	2.90	1.43	0.046			
$\mathrm{Cs}_{2}\mathrm{PdC}_{2}(110)$	2.73	0.88	0.026			
$\mathrm{Cs_2PdC_2(010)}$	1.33	0.78	0.015			

Effect of Surface Relaxation on C-M bond lengths

 $Cs_2 TeC_2(010)$ 





Rods Surface Perpendicular  $Cs_2PdC_2(001)$ 



#### Effect of Surface Relaxation

Compound	unrelaxed		relaxed			
and	$\Phi$	$\mathrm{E}_{g}(\Gamma)$	$\sigma$	$\Phi$	$\mathrm{E}_{g}(\Gamma)$	$\sigma$
surface	(eV)	(eV)	$(\mathrm{eV}/\mathrm{\AA^2})$	(eV)	(eV)	$({\rm eV}/{\rm \AA^2})$
$\mathrm{o}\text{-}\mathrm{Cs}_2\mathrm{C}_2(010)$	2.80	1.25	0.023	-	-	-
$\mathrm{h\text{-}Cs_2C_2(001)}$	2.56	1.14	0.027	-	-	-
$\mathrm{Na_2PdC_2(001)}$	3.58	1.13	0.067	-	-	-
$Na_2PdC_2(110)$	3.73	1.65	0.029	4.17	2.34	0.024
$\mathrm{Na_2PdC_2}(010)$	2.65	1.91	0.019	2.68	2.45	0.017
$\mathrm{Cs_2PdC_2(001)}$	2.90	1.43	0.046	-	-	-
$\mathrm{Cs_2PdC_2(110)}$	2.73	0.88	0.026	2.73	1.16	0.022
$Cs_2PdC_2(010)$	1.33	0.78	0.015	2.03	1.74	0.013
$Na_2 TeC_2(001)$	3.40	1.03	0.029	-	-	-
$\mathrm{Na_2TeC_2(110)}$	3.80	0.91	0.025	4.67	2.04	0.009
$\mathrm{Na_2TeC_2}(010)$	2.75	1.43	0.015	2.68	1.34	0.015
$\mathrm{Cs_2TeC_2(001)}$	3.71	1.86	0.022	-	-	-
$Cs_2TeC_2(110)$	2.77	0.77	0.020	2.98	1.38	0.019
$\overline{\mathrm{Cs}}_{2}\mathrm{TeC}_{2}(010)$	1.71	1.00	0.013	2.44	1.63	0.009

- Surface relaxations increase the workfunction but maintain a >1eV reduction compared to expt and simulated workfunctions of cesium telluride
- The per atom change
  in energy for surface
  relaxation is
  comparable to
  thermal energy
  indicating both
  relaxed and
  unrelaxed geometries
  contribute to
  observed
  workfunctions.

#### Effect of surface relaxation on band structure



Electronic bands of surfaces with surface parrallel rods (010) and (110). Rods are in the y-direction. The occupied bands (E<0) are not affected by surface relaxations, however surface relaxation has pronounced effects on unoccupied bands.

#### Comparison of optical absorption spectra



ω (eV)

Optical absorption spectra of bulk cesium telluride and cesium telluride carbide. Polarization of light is along the main crystallographic axis (c-axis of ceisum telluride and parrallel to the rods for cesium telluride carbide.

## Anisotropy in Optical Absorption



Polarization dependent optical absorption spectra. We observe an order of magnitude increase in optical absorption for cesium telluride carbide when the polarization of light is orthogonal (bottom, red) and parrallel (bottom, green) to the rods. No comparably large anisotropy is observed in cesium telluride.

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