

Diffraction microscopy: present experiments at ALS, and future experiments at higher energies

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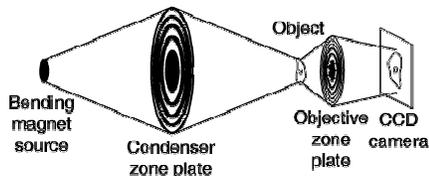
1

Zone plate microscopes

TXM

- Incoherent illumination; works well with a bending magnet, with fast imaging
- More pixels (e.g., 2048^2)
- Moderate spectral resolution in most cases

TXM: transmission x-ray microscope



STXM

- Coherent illumination; works best with an undulator
- Less dose to sample ($\sim 10\%$ efficient ZP)
- Better suited to conventional grating monochromator [high $E/(\Delta E)$]
- Microprobes: fluorescence *etc.*

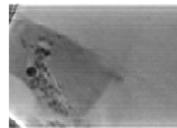
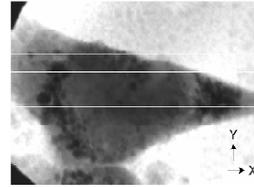
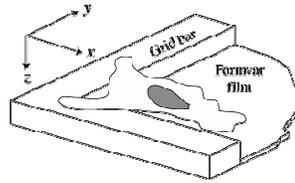
STXM: scanning transmission x-ray microscope



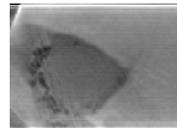
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Tomography of a fibroblast

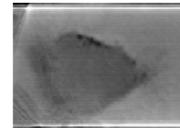
- Microscopes and tomography? No problem if object is within depth of focus, which for transverse resolution δ goes like $4\delta^2/\lambda$
- First demonstration of soft x-ray tomography: Haddad *et al.*, *Science* **266**, 1213 (1994); at X1A
- This example: Y. Wang *et al.*, *J. Microscopy* **197**, 80 (2000); cryoSTXM at X1A



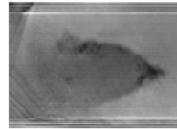
z = 12.7 μm



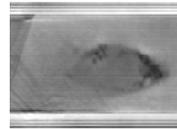
z = 15.1 μm



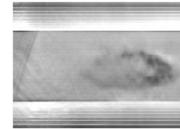
z = 17.6 μm



z = 20.0 μm



z = 21.6 μm

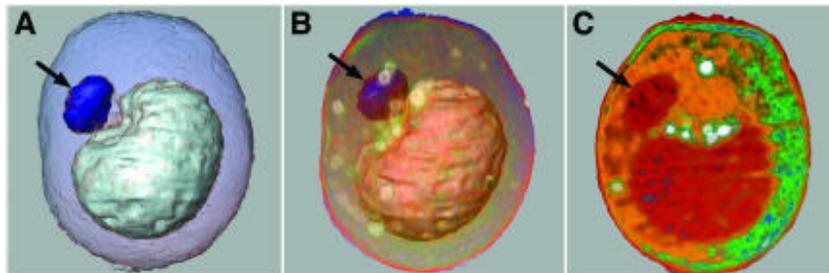


z = 23.1 μm

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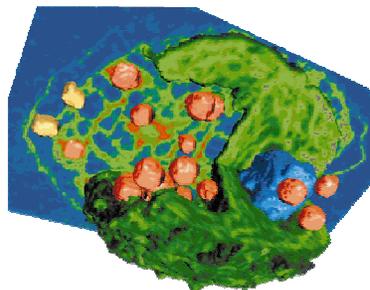
Tomography: full-field TXM

Full-field is *much* faster than scanning!



Frozen hydrated yeast *Saccharomyces cerevisiae*. C. Larabell and M. Le Gros, *Mol. Biol. Cell* **15**, 957 (2004). ALS/UC San Francisco.

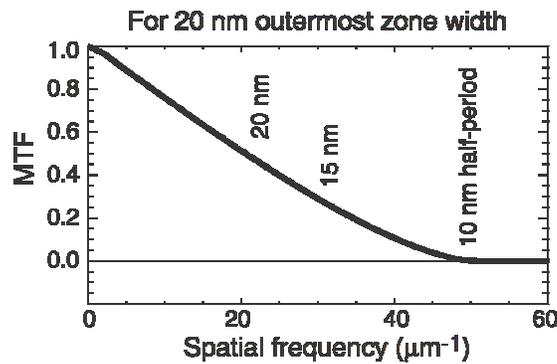
Frozen hydrated alga *Chlamydomonas reinhardtii*: D. Weiß, G. Schneider, *et al.*, *Ultramicroscopy* **84**, 185 (2000). Göttingen/BESSY I. Newer results at BESSY II.



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Motivation: high resolution on dose-limited specimens

- With 20 nm zone plates, have 5x loss for efficiency, 5x loss for modulation transfer function (MTF) at 15 nm feature size. (Tilted zone plates will help).
- Can we avoid this 25x signal loss, and go beyond the limits of available optics?



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Imaging and scattering

- X ray scattering: Fourier space

$$|S(q)|^2$$

- Microscopy: real space

$$\rho(x)$$

- Relate spatial frequencies to positions x with q

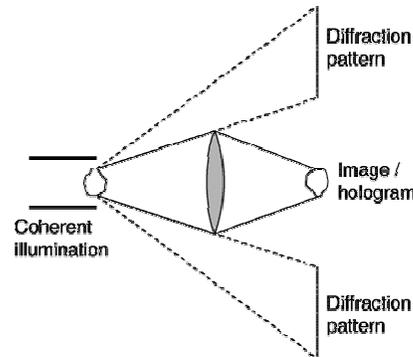
$$q = \frac{\theta}{\lambda}$$

- Far-field relationship: Fourier transform

$$S(q) = \int \rho(x) e^{i2\pi x q} dx$$

- One real space pixel affects all Fourier space pixels, and vice versa!

$$\rho(x) = \int S(q) e^{-i2\pi q x} dq$$



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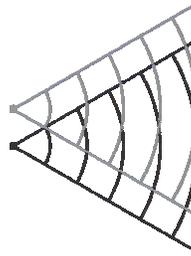
X-ray holography

- Holography gives amplitude *and* phase of object
- Reference wave should be stronger than object wave (i.e., not an atom):

$$I = (o+r)(o+r)^* = oo^* + rr^* + or^* + o^*r$$
- In holography, you must do *something* at high resolution



Plane reference wave:
detector sets resolution limit



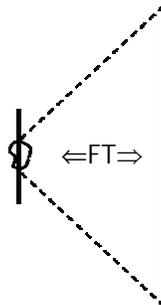
Spherical reference wave:
point source sets resolution limit

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Imaging using x-ray diffraction from non-periodic specimens

- Proposed by Sayre (in Schlenker, ed., *Imaging and Coherence Properties in Physics*, Springer-Verlag, 1980)
- Previous experiments by Sayre, Kirz, Yun, Chapman, Miao
- Reconstruction: Fourier transform relationship between real space and Fourier space

Real space: can we make assumptions about the object?

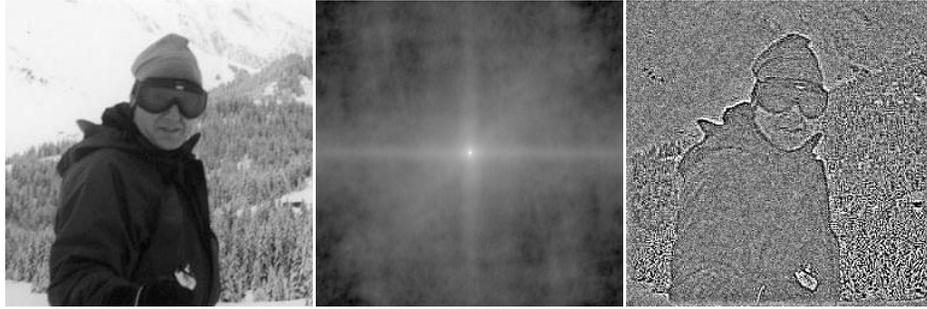


Fourier space: we can measure the Fourier magnitudes

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Phase matters

Image → Fourier transform → zero magnitude or phase → inverse Fourier transform



Malcolm Howells
at La Clusaz

Image using only
Fourier magnitudes

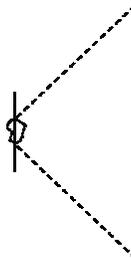
Image using only
Fourier phases

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How to recover the missing info?

- Fourier space: half of the information is missing (phases)
- Real space: can only have unknowns in half of the area (or less)
- "Oversampling" ↔ Finite support constraint
- This larger real-space array is *properly* Shannon sampled

Real space: finite
support (or other
constraints)



Fourier space:
magnitudes known,
but phases are not

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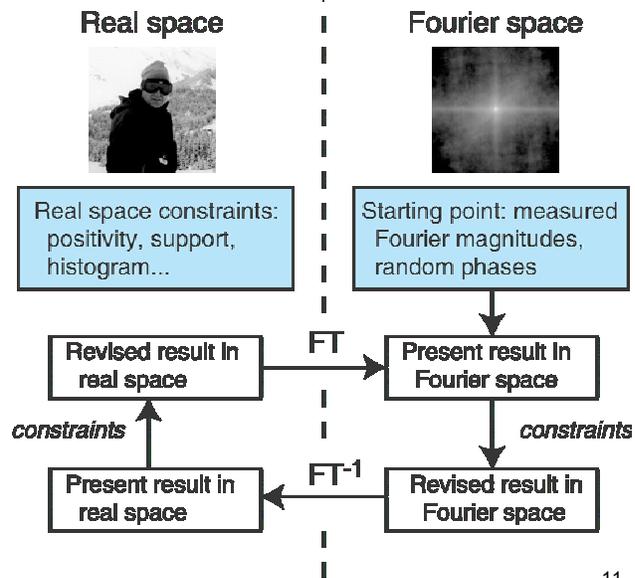
Iterative algorithms

Recall complete mixing of information between real and Fourier space:

$$S(q) = \int \rho(x) e^{i2\pi x q} dx$$

$$\rho(x) = \int S(q) e^{-i2\pi q x} dq$$

Microscopy, space imaging...



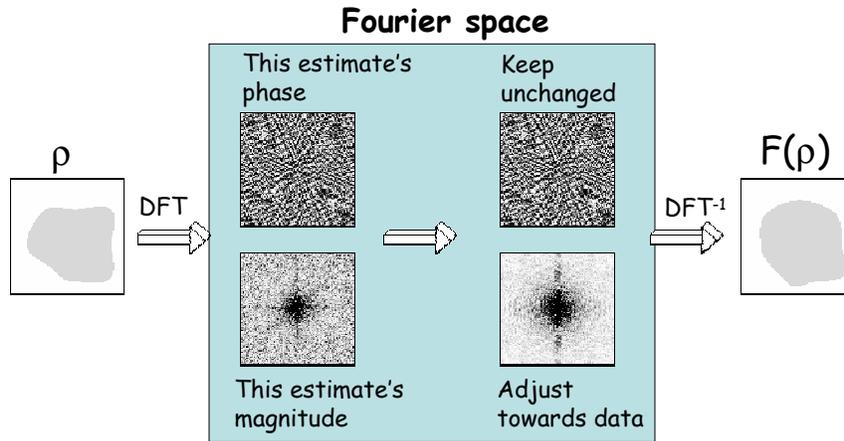
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Iterative phase retrieval

- Gerchberg & Saxton: iterate between image and diffraction pattern magnitudes in electron micrographs to find image phase. *Optik* **34**, 275 (1971)
- Fienup: diffraction data only plus support constraints, and control theory insights. *Applied Optics* **21**, 2758 (1982)
- Elser: difference map, and histogram constraints. *J. Opt. Soc. Am. A* **20**, 40 (2003)
- Works much better in 2D than 1D!
- Works even better in 3D than 2D!
- Coupling of solution in orthogonal directions

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Fourier modulus constraint

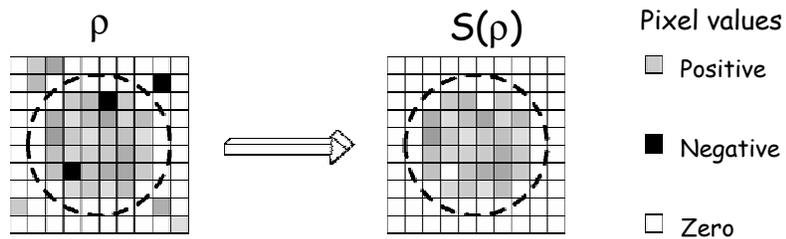


(Enju Lima, Stony Brook)

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Real space constraints

Support, positivity (if positivity is applicable)



Histogram constraint

$$\rho_i \rightarrow H_i$$

(V. Elser)

$$\rho = \begin{bmatrix} 0 & 4 & 2 & 1 & 13 & 0 \end{bmatrix}$$

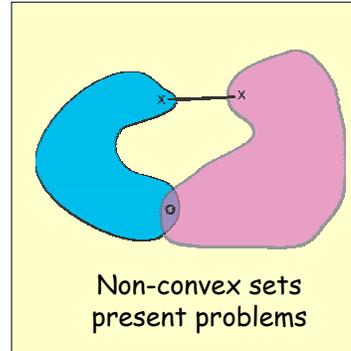
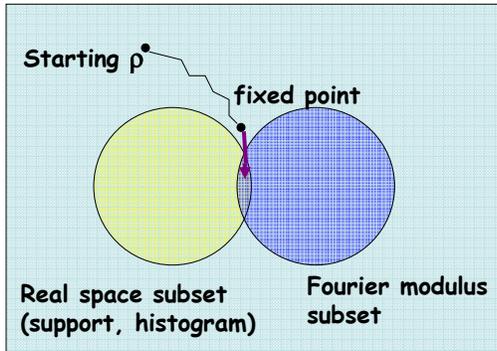
$$\downarrow H = \{10, 7, 2, 0, 0, 0\}$$

$$H(\rho) = \begin{bmatrix} 0 & 7 & 2 & 0 & 10 & 0 \end{bmatrix}$$

(Enju Lima, Stony Brook)

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A picture of an iterative solution



Elser: project both real space and Fourier modulus constraints back to real space. *J. Opt. Soc. Am. A* **20**, 40 (2003)

(Enju Lima, Stony Brook)

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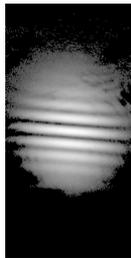
What about complex objects?

- Iterative algorithms work best on objects with just one phase value (e.g., real objects with uniform phase shift).
- Thicker biological specimens become complex objects (phase of exit wave can vary by more than $0 \Rightarrow \pi$).
- Strategies for truly complex objects:
 - A low-resolution image can be used to phase from low angles outwards [see e.g., Fienup and Kowalczyk, *J. Opt. Soc. Am. A* **7**, 450 (1990)]
 - The object (or object and reference) can be isolated to two separated regions [see e.g., Fienup, *J. Opt. Soc. Am.* **4**, 118 (1987)]

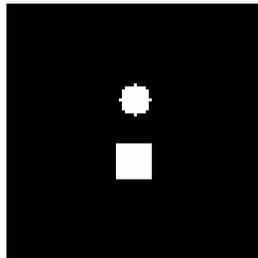
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Iterative phasing: simple example

- High harmonic generation of XUV radiation from femtosecond lasers, illuminating two pinholes.
 - R. Bartels, A. Paul, H. Green, H.C. Kapteyn, M.M. Murnane, S. Backus, I.P. Christov, Y. Liu, D. Attwood, C. Jacobsen, *Science* **297**, 376 (2002)

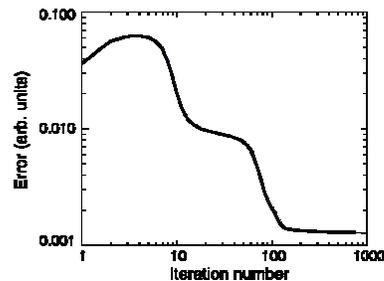


Data



Support constraint
(very loose)

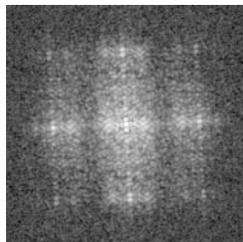
[The movie](#)



Reconstruction error

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First x-ray demonstration



Scanning electron micrograph of object

$\lambda=1.8$ nm soft x-ray diffraction pattern (left) with low-angle information from optical micrograph (right)

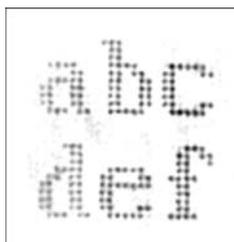
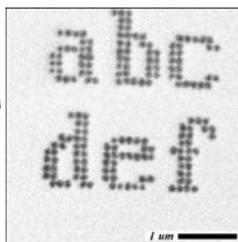
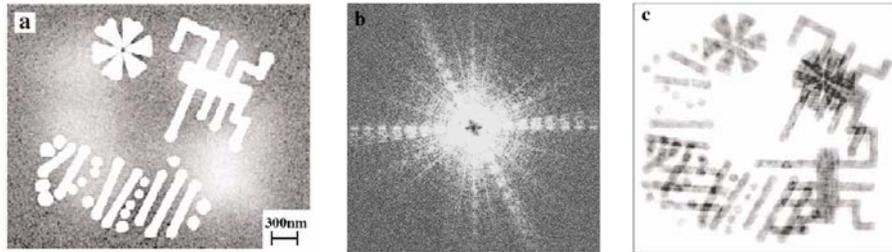


Image reconstructed from diffraction pattern (θ_{\max} corresponds to 80 nm). Assumed positivity

J. Miao, P. Charalambous, J. Kirz, D. Sayre, *Nature* **400**, 342 (1999).

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Diffraction from a 3D object: 2D



SEM

2D diffraction

2D reconstruction

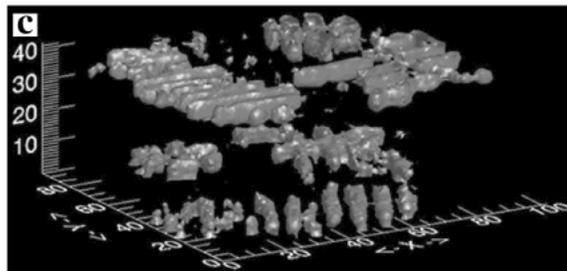
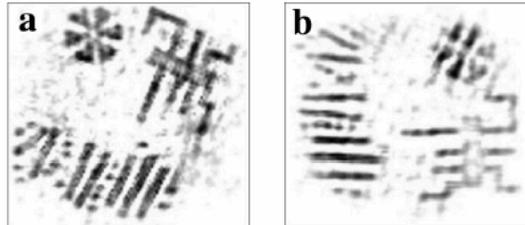
- Two planar objects on windows, 1 μm apart
- 2D: CCD 'tiling' to give 1760^2 pixels, 8 nm half-period at edge (45 minutes@SPring-8; 2 \AA)

J. Miao, T. Ishikawa, B. Johnson, E. Anderson, B. Lai, K. Hodgson, *Phys. Rev. Lett.* **89**, 088303-1 (2002)

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Diffraction from a 3D object: 3D

- Two planar objects on windows, 1 μm apart
- 3D: 21 angles (not ideal), 56 nm half-period at edge, 256^3 Fourier transform



J. Miao, T. Ishikawa, B. Johnson, E. Anderson, B. Lai, K. Hodgson, *Phys. Rev. Lett.* **89**, 088303-1 (2002)

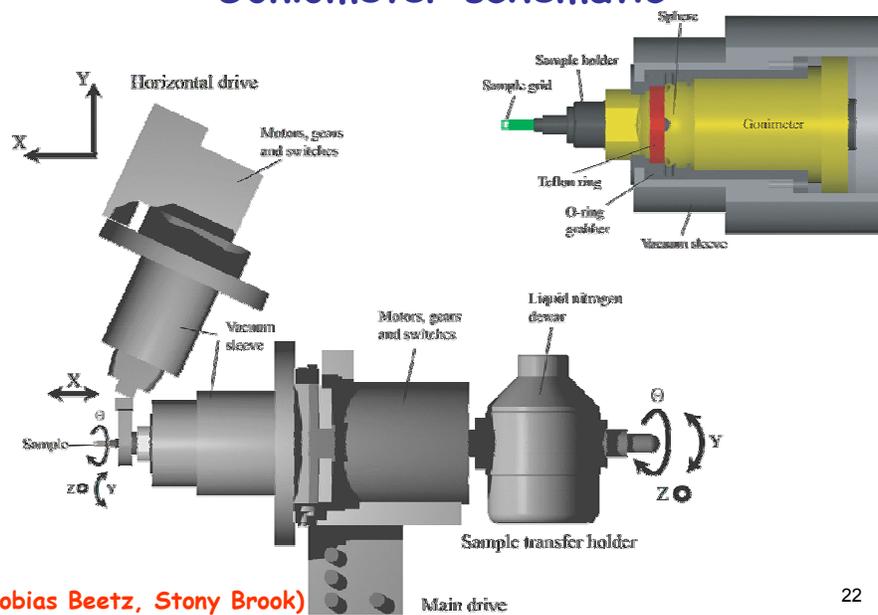
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Gatan 630 cryo holder



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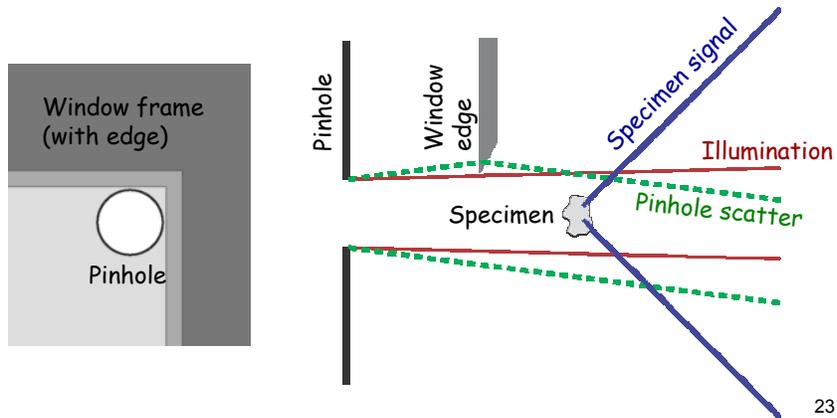
Goniometer schematic



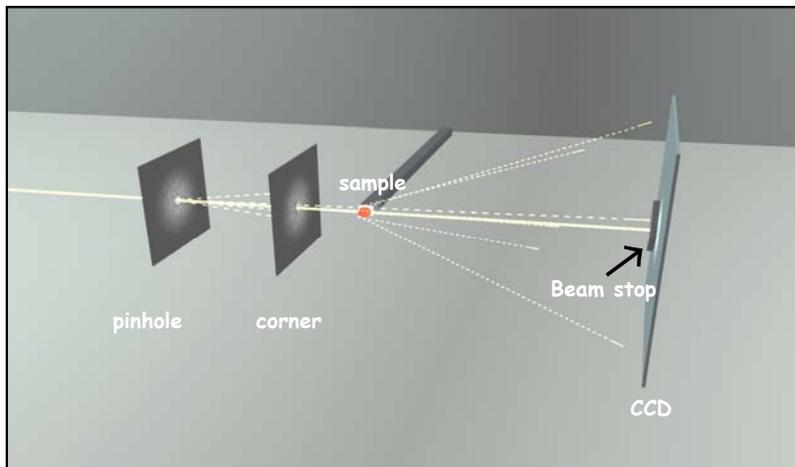
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Removing scatter from pinholes

- Pinholes have scatter; can overwhelm weak diffraction.
- Use a "soft," refractive corner to limit to one quadrant (idea due to H. Chapman, then at Stony Brook)



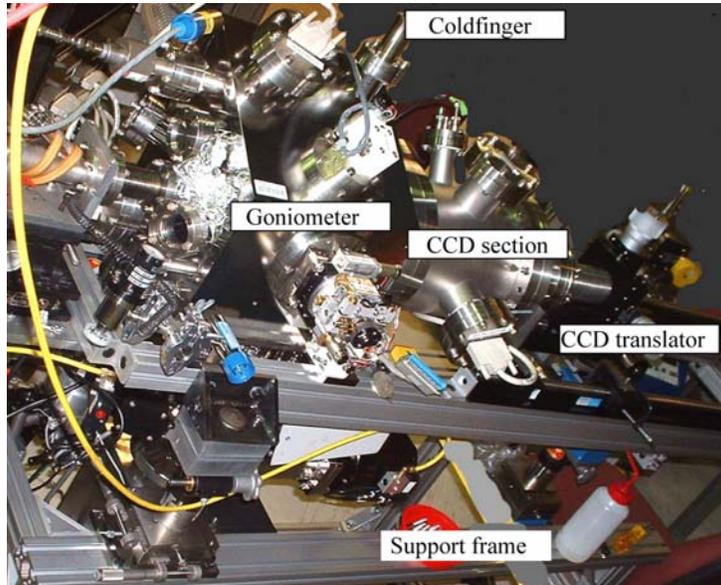
Experimental setup



(Enju Lima, Stony Brook)

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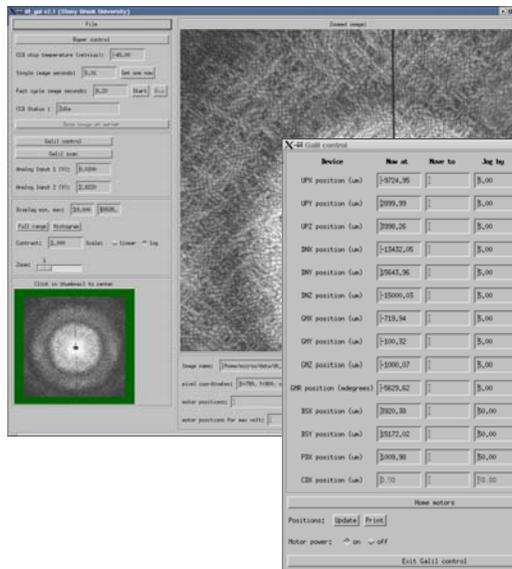
At ALS 9.0.1



(Tobias Beetz, Stony Brook)

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Control software



Client-server scheme:

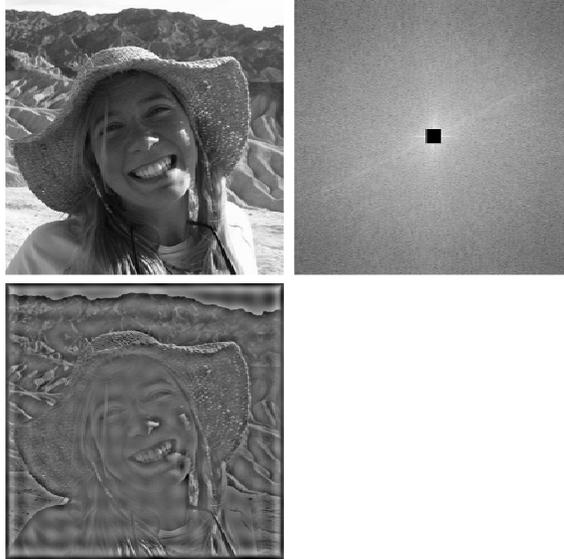
- C++ for device control
- IDL for GUI and data analysis
- scanning program for fast alignment of optics and sample

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(Tobias Beetz, Stony Brook)

Effect of missing low spatial frequencies

Meghan Sumner



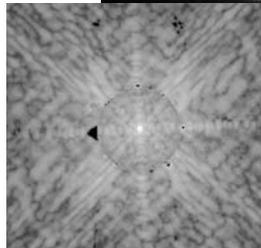
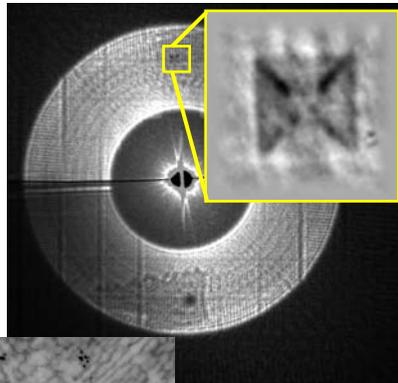
(Tobias Beetz, Stony Brook)

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Zone plate imaging

Zone plate motorized for easy insertion & removal.
However:

- 20 nm zone plate with central stop, JBX-6000 stitching errors (S. Spector)
- At 16 cm CCD distance; optical magnification gives only 100 nm pixels



(Tobias Beetz, Stony Brook)

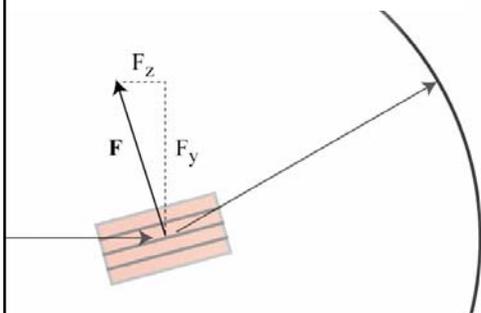
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Latest results

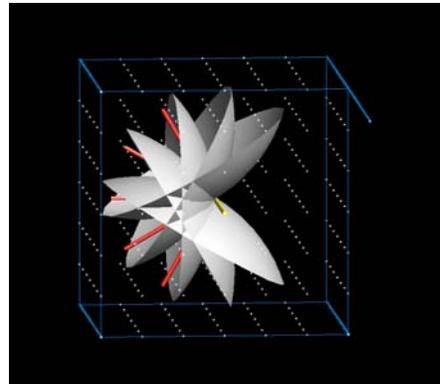
- Several slides will go in here on latest unpublished results, including reconstructed images of yeast cells.

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3D sampling considerations



Bragg gratings that diffract to a certain angle represent a specific transverse *and* longitudinal periodicity (Ewald sphere)



Ewald sphere rotated about one axis only gives imperfect data filling in Fourier space

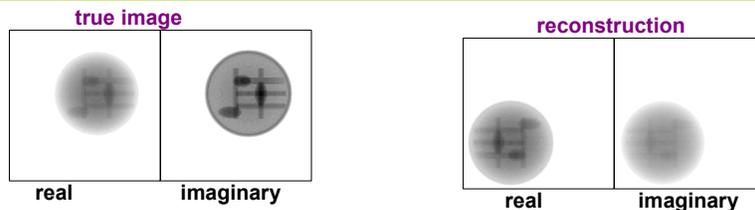
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3D: Computational considerations

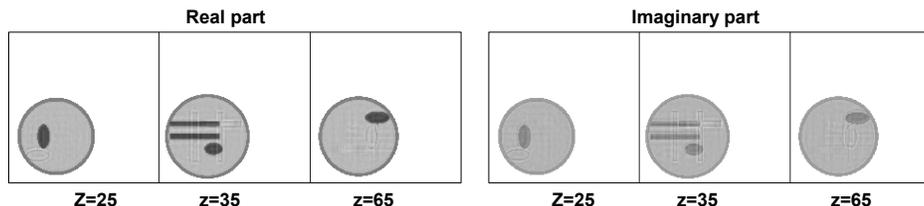
- 2D is easy: 1024^2 FFT takes tenths of a second on a standard PC, and 100's of iterations take minutes
- 3D is hard.
 - 512^3 takes 5 minutes on a 1.8 GHz Athlon *per iteration*.
 - Memory requirements per 3D array: $(1K)^3=1$ Gbyte, times 4 for single-precision floating point, times 2 for before and after correction, times 2 for real and Fourier space: 16 GBytes!
 - Opportunity for parallelization! Xeon or G5 cluster

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3D complex object simulations ("fake" 3D object of water, lipid membrane, protein rods and ellipsoids, including both absorption and phase shift)



z axis slices of reconstruction at the end of 5000 iterations:



More iterations needed than with pure real case to have the same quality reconstruction

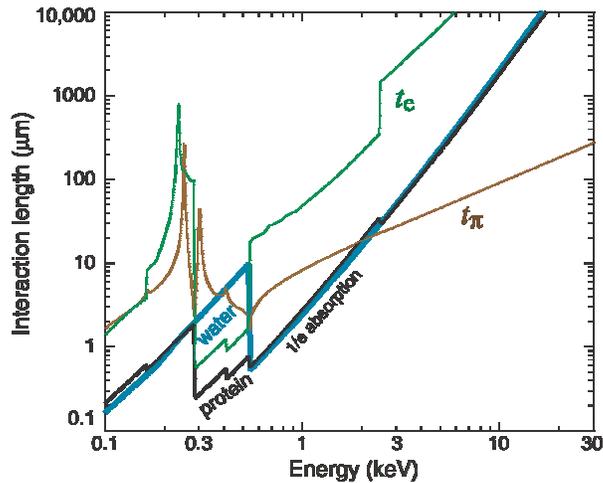
(Enju Lima, Stony Brook)

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Phase contrast: soft and hard x rays

t_e is thickness for $1/e$ transmission difference

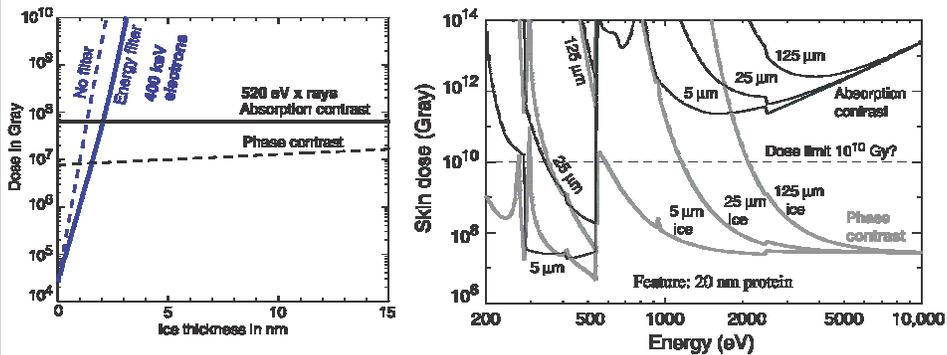
t_π is thickness for π phase shift



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X rays and thick specimens

X-rays: better for thicker specimens. Sayre *et al.*, *Science* **196**, 1339 (1977); Schmahl & Rudolph in *X-ray Microscopy: Instrumentation and Biological Applications* (Springer, 1987)

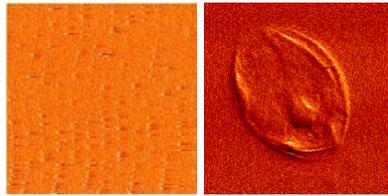


These plots: based on Jacobsen, Medenwaldt, and Williams, in *X-ray Microscopy & Spectromicroscopy* (Springer, 1998)

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Phase contrast for microprobes

- Fluorescence mapping for elemental signal; phase contrast for mass; thus quantitative concentrations
- Transmission detector can be used simultaneously during fluorescence scans.
- Applications: As hyperaccumulators (Kissell & Reeder, Stony Brook) and Twining, Baines, Fisher *et al.* studies



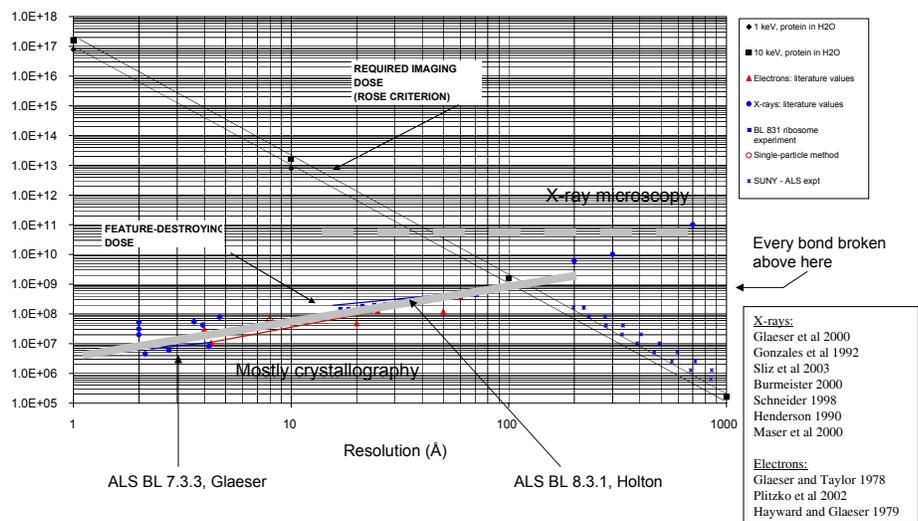
Absorption

Differential phase

Diatom imaged at APS 2-ID-E (30 μm image field). B. Hornberger, C. Jacobsen (Stony Brook), and S. Vogt, J. Maser, D. Legnini (APS)

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DOSE-RESOLUTION RELATIONSHIP FOR 3D IMAGING OF FROZEN-HYDRATED SAMPLES



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(Malcolm Howells, LBL)

Group effort!

Names in red are part of diffraction imaging effort

- Faculty: **Chris Jacobsen** and **Janos Kirz**
- Senior research support specialist: Sue Wirick
- Students: **Tobias Beetz** (now BNL), Holger Fleckenstein, Benjamin Hornberger, **Xiaojing Huang**, Bjorg Larson, **Enju Lima**, Mirna Lerotić, Ming Lu, **Huijie Miao**, **David Shapiro**
- Guest scientist: **David Sayre**
- Brookhaven National Lab: Aaron Stein, John Warren
- Cornell University: **Veit Elser** and **Pierre Thibault**
- Lawrence Berkeley Lab: **Malcolm Howells**
- Lucent Technologies/NJNC: Don Tennant
- Support: **NIH**, NSF, **DoE**, NASA



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