

Neutron and X-ray reflectivity studies of the gas/solid/liquid interface

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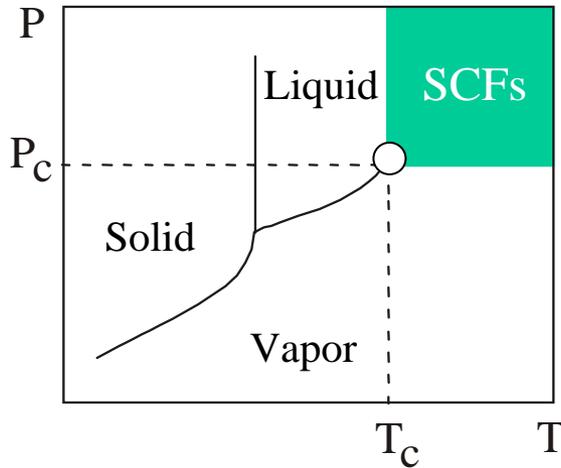
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What is SCFs ?



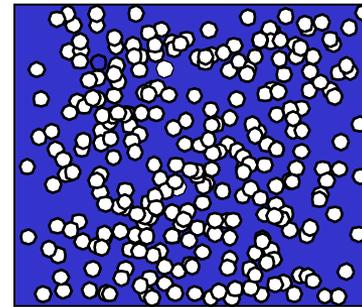
T_c : critical temperature
 P_c : critical pressure

Substance	T_c (°C)	P_c (MPa)
CO ₂	31.3	7.38
H ₂ O	374.1	22.03
NH ₃	132.5	11.39

How do SCFs look like in microscopic scale?

Inhomogeneous media with high and low-density region

<Schematic view of molecular distribution>



Key word
“density fluctuations in space and time”



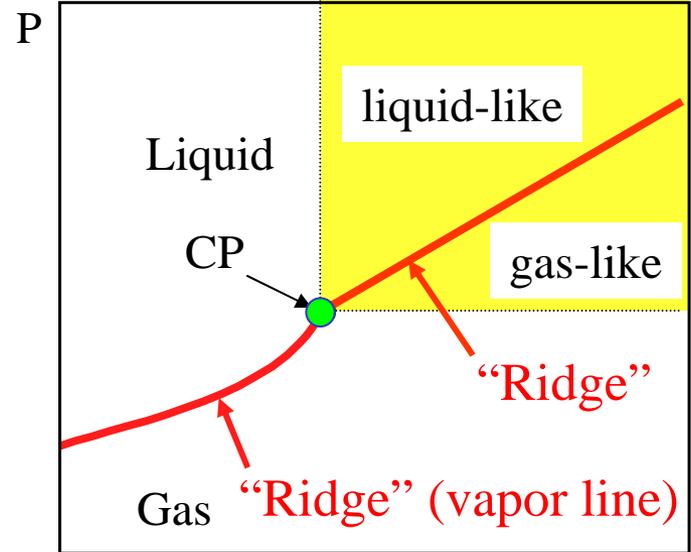
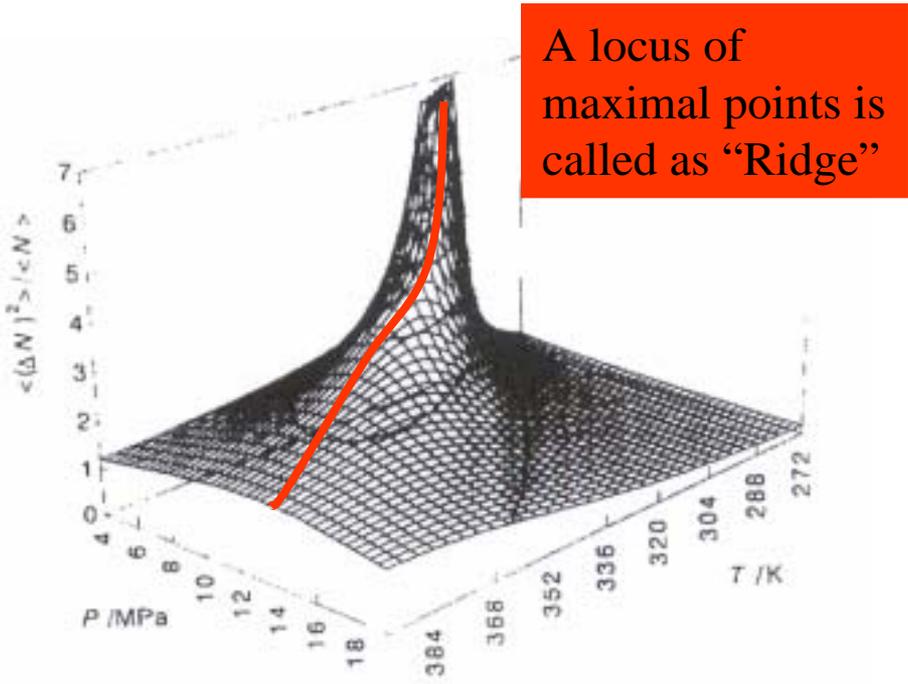
Unique features of both gas and liquid:
Gas-like diffusivity and viscosity with liquid-like density

Density Fluctuations

$$\langle (\Delta N)^2 \rangle / \langle N \rangle = (N/V) \kappa_T k_B T$$

κ_T : Isothermal compressibility k_B : Boltzman constant

N : Number of molecules in the corresponding volume V



Various physical properties of SCFs, such as sound velocity, thermal conductivity, partial molar volume, show maximum or minimum on the ridge.

Density fluctuations of CF_3H vs. T and P , derived from empirical state equation (Nishikawa et al., 1998)

Advantages of Supercritical Fluids

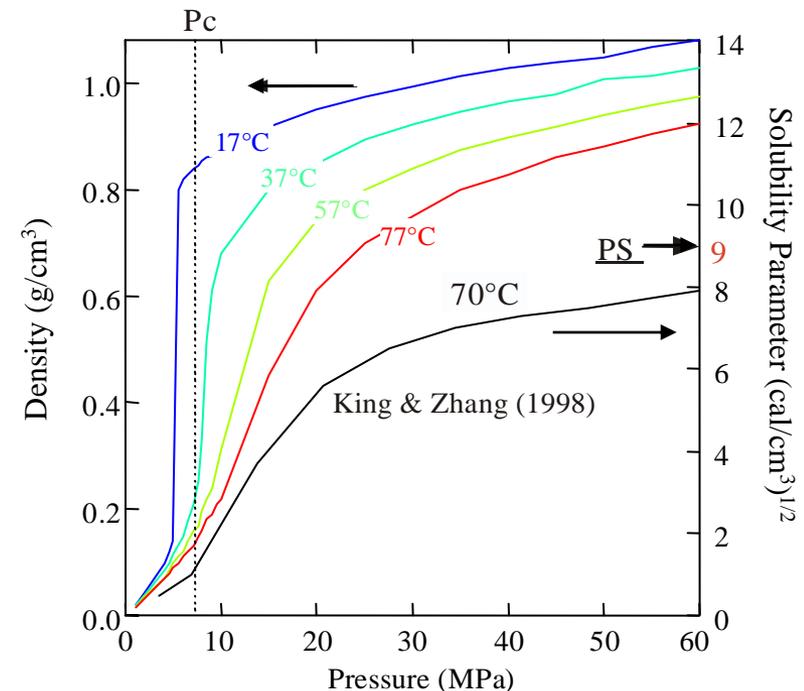
1. Tunability of solvent quality: Classical density dependent properties enhanced

- Low solvent dielectric constant
- Large diffusion coefficient
- Solute solvation

2. Enhancement of diffusion controlled rates (low viscosities)

3. Favorable heat transfer properties

4. Enhanced separations



No enhancement for polymers which are immiscible- unfavorable χ

Why Supercritical Fluids (especially scCO₂) important ?

1. Environmental reasons

- > 30 billion pounds of organic and halogenated solvents/year
 - Much more water used and contaminated in related processes
- ➔ liquid & scCO₂ are developed to reduce the above

2. New Chemistry (synthesis) and Physics (processing & phenomena)

- Cost reduction
- New products
- Fundamental science versus application



“Green” alone is *insufficient*

3. *Very few polymers are miscible in CO₂- so procedure is of limited use.*

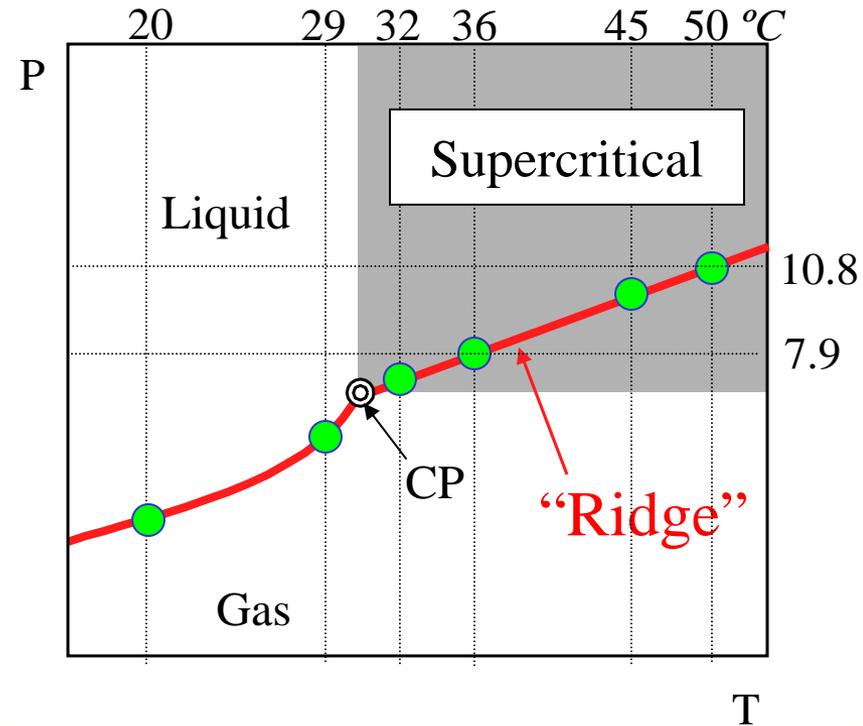
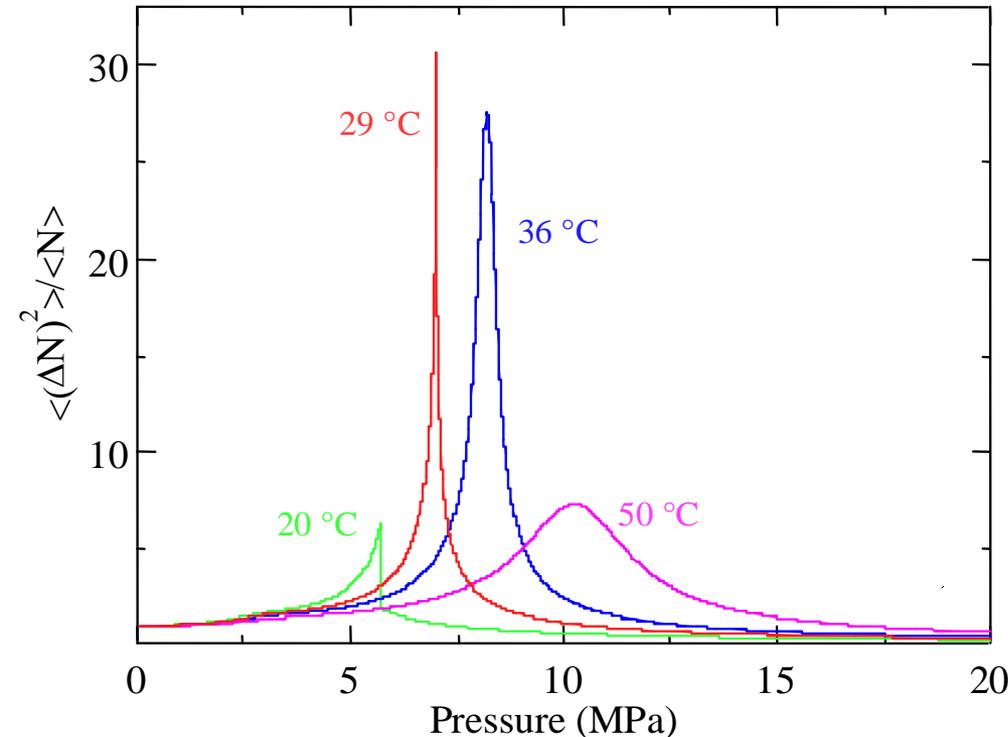
Density-Fluctuation-Induced Swelling

Density Fluctuations

$$\langle (\Delta N)^2 \rangle / \langle N \rangle = (N/V) \kappa_T k_B T$$

κ_T : Isothermal compressibility k_B : Boltzman constant

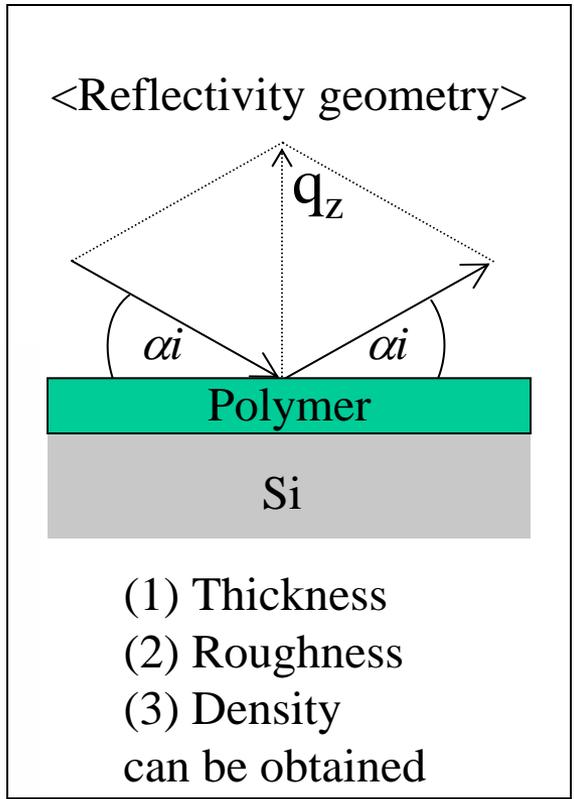
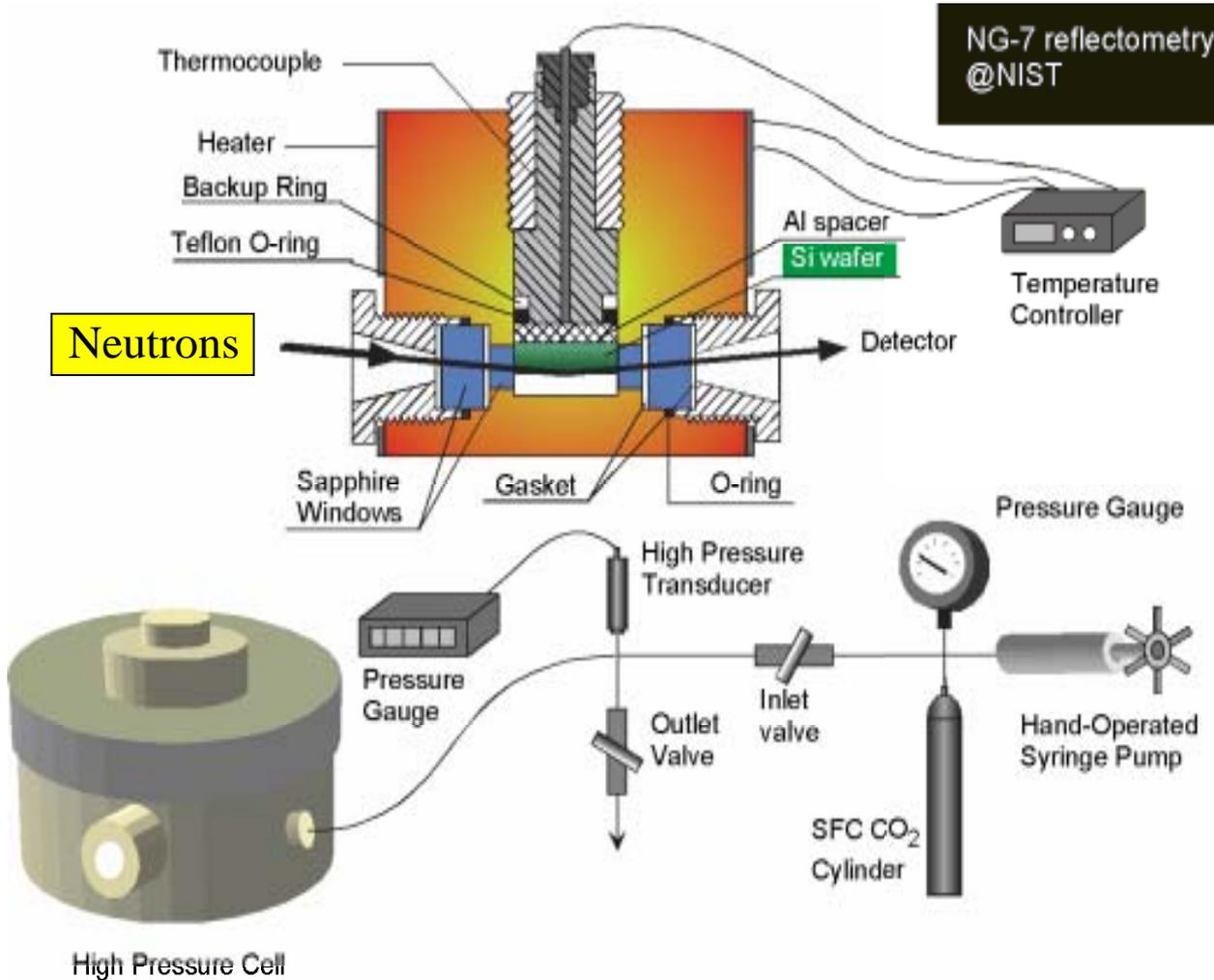
N : Number of molecules in the corresponding volume V



- Polymer matrix damps fluctuation amplitude and drives the supercritical liquid into the polymer.
- The distance is determined by the correlation length of the fluctuations.
- **Focus on thin films**

High Pressure Neutron Reflectivity

NG-7 reflectometry @NIST



High Pressure Cell

Experimental

(i) Samples

- Deuterated styrene-butadiene copolymer (d-SBR) ($M_w = 84K$, $M_w/M_n=1.08$, $\phi_{PS}=0.47$).
- 450 Å thickness were spun cast onto clean Si water and then dried under vacuum at 150 °C for 5 hours).

(ii) NR measurements

- NG-7 reflectometry at NIST • Wavelength of neutrons: 4.7 Å
- Six different isothermal conditions at $T=20, 29, 32, 36, 45, 50$ °C ($T < T_c$, $T \sim T_c$, $T > T_c$) and two isobaric conditions at $P=7.9$ MPa and 10.8 MPa ($P \sim P_c$, and $P > P_c$) were investigated.
- Temperature and pressure stability : $\pm 0.1^\circ\text{C}$ and $\pm 0.2\%$.

Samples

Deuterated polybutadiene (dPB, $M_w=96K$, $M_w/M_n=1.1$, Polymer Source) with COOH end-group.

dPB brushes were prepared by spin-coating on cleaned Si wafer.

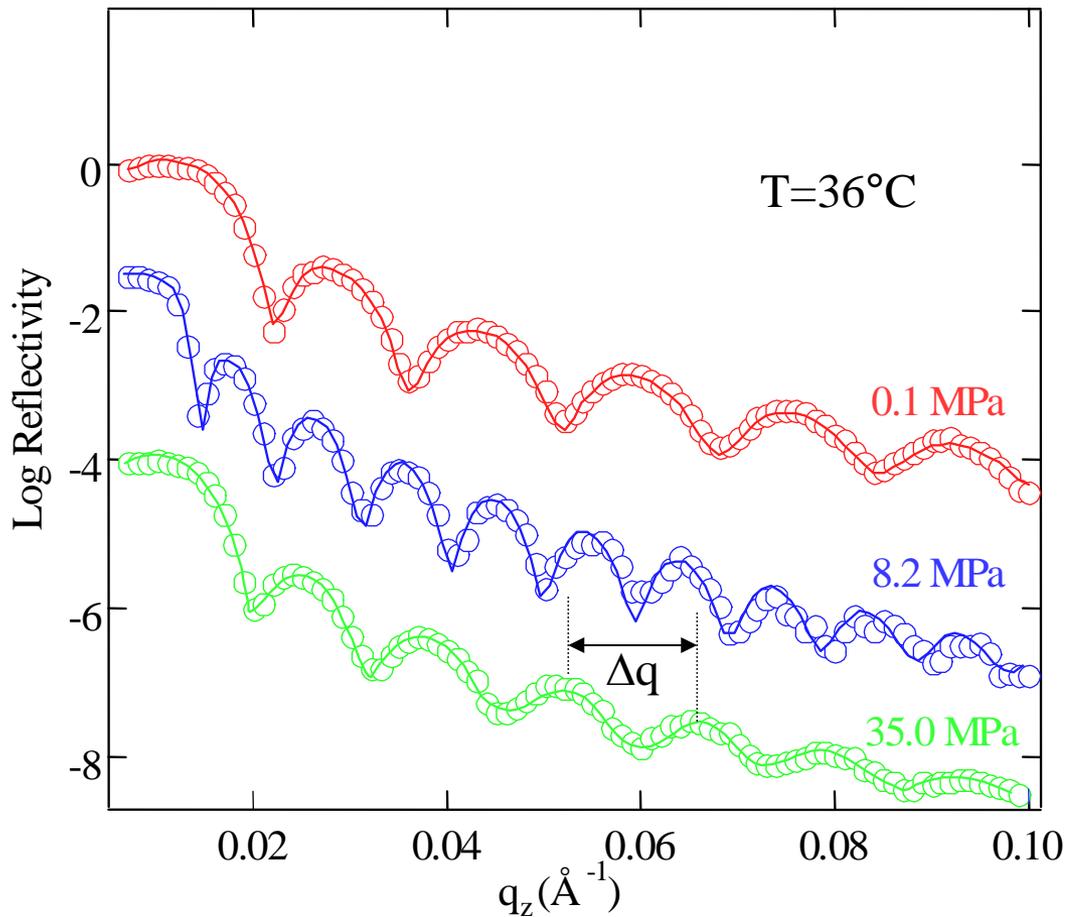
Unreacted polymers for the brushes were washed off the surface when exposed to toluene for 48 hours.

Film thickness (L_0) (Å)	L_0/R_g	Grafting density(σ) (chains/Å ²)
175	1.8	0.0011
207	2.2	0.0013
243	2.6	0.0015
282	3.0	0.0018

Neutron Reflectivity Measurements

Isothermal swelling behavior of dPB brushes in supercritical ethane (scC₂H₆) (T=37.2 °C) was monitored by in situ NR.

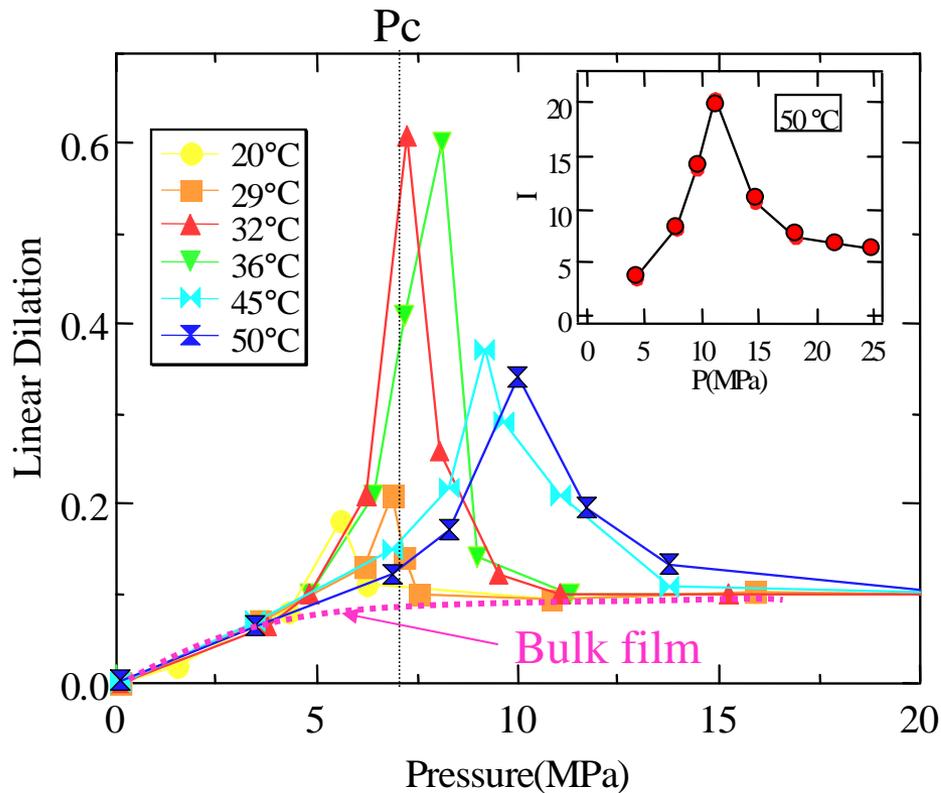
Neutron Reflectivity Profiles



Deuterated styrene-butadiene
random copolymer (d-SBR)
($M_w=84\text{K}$, $\phi_{\text{PS}}=0.47$).

Film thickness: $D=2\pi/\Delta q$

Density-Fluctuation-Induced Swelling

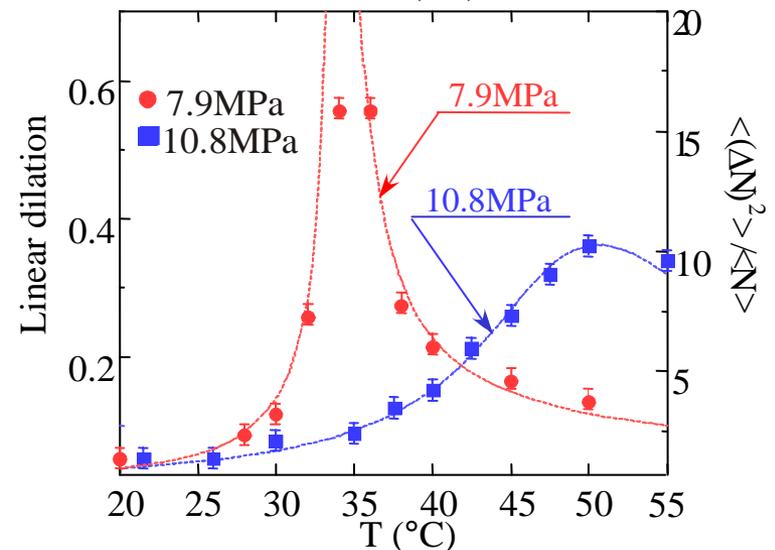
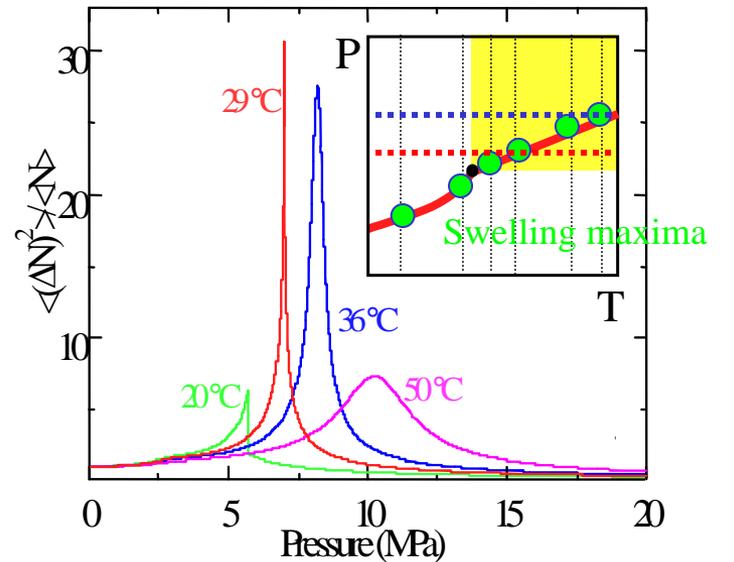


deuterated styrene-butadiene copolymer
(d-SBR, $M_w=84k$, 450 \AA thickness)

$$\text{Linear dilatation} = (L - L_0) / L_0$$

L_0 : Unswollen film thickness

L : Swollen film thickness



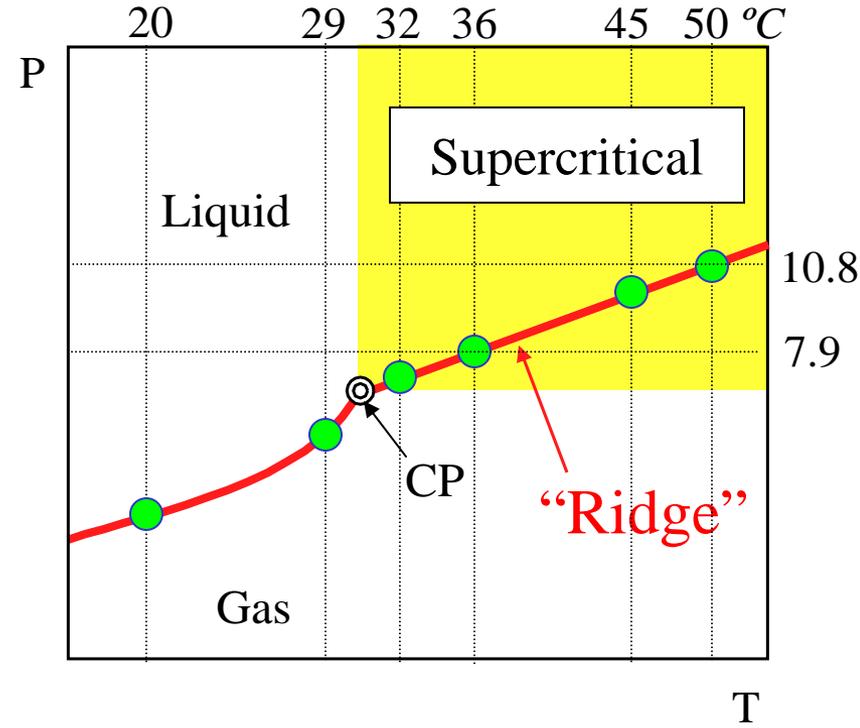
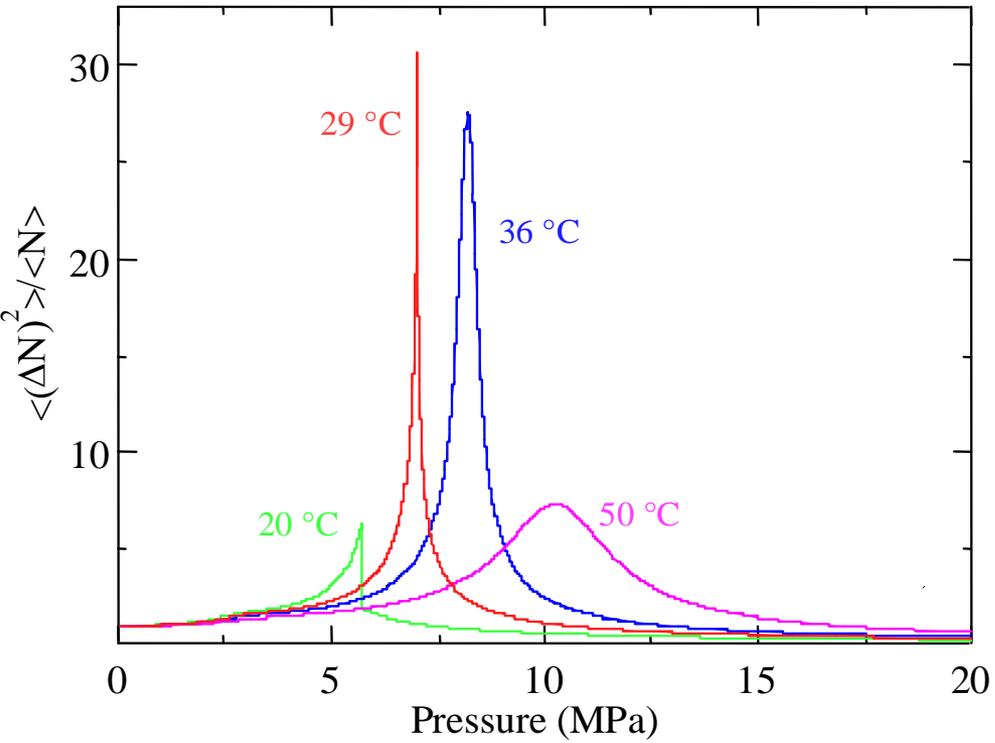
Density-Fluctuation-Induced Swelling

Density Fluctuations

$$\langle (\Delta N)^2 \rangle / \langle N \rangle = (N/V) \kappa_T k_B T$$

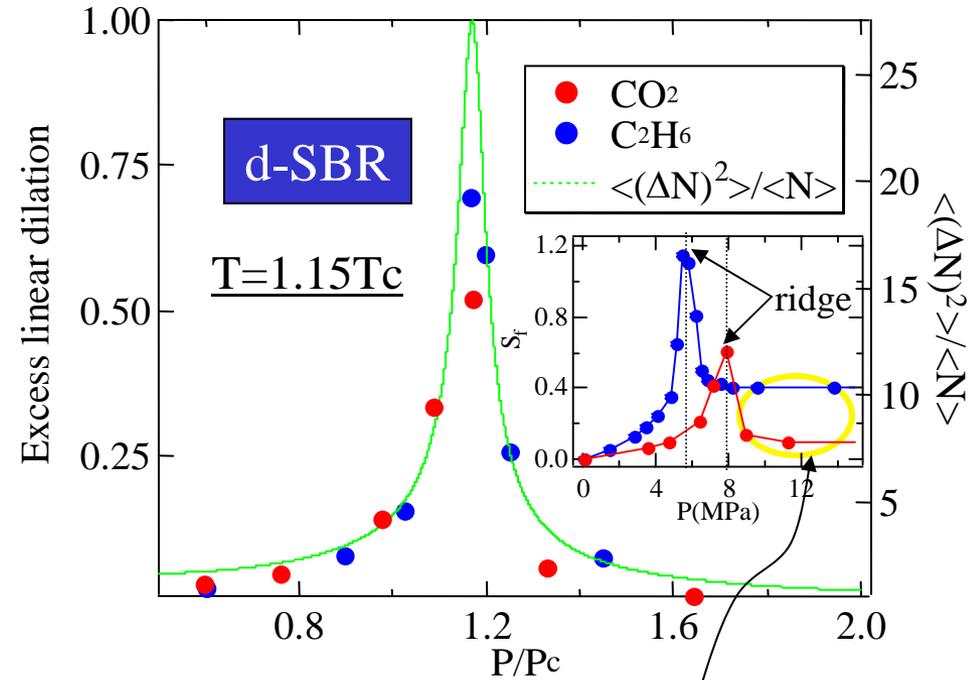
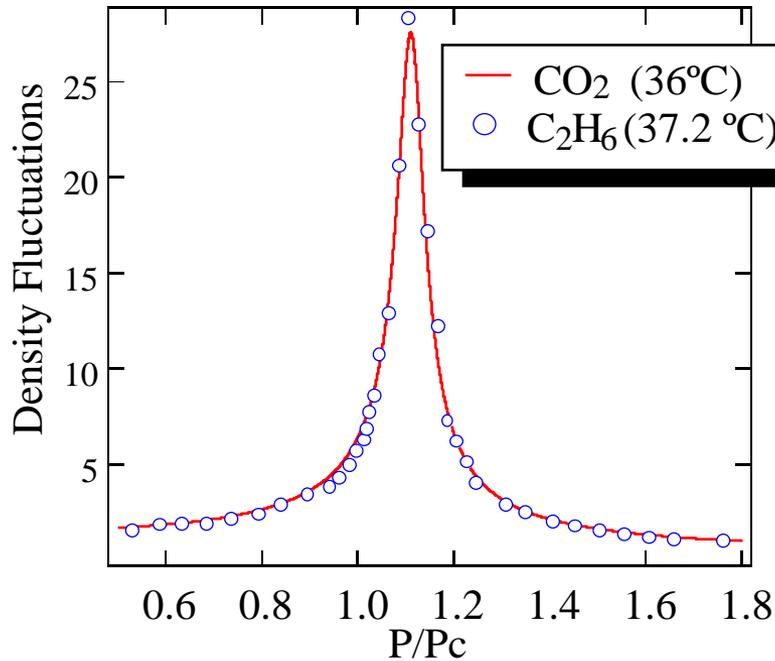
κ_T : Isothermal compressibility k_B : Boltzman constant

N : Number of molecules in the corresponding volume V



Anomalous swelling of polymer thin films in CO₂ is associated to the density fluctuation ridge. Density fluctuations can significantly enhance the solvent quality in thin films even when the bulk miscibility with CO₂ is very poor.

Universal Behavior in SCFs



Bulk miscibility is different

The magnitude of the density fluctuations in CO₂ and C₂H₆ could be scaled by T_c and P_c .

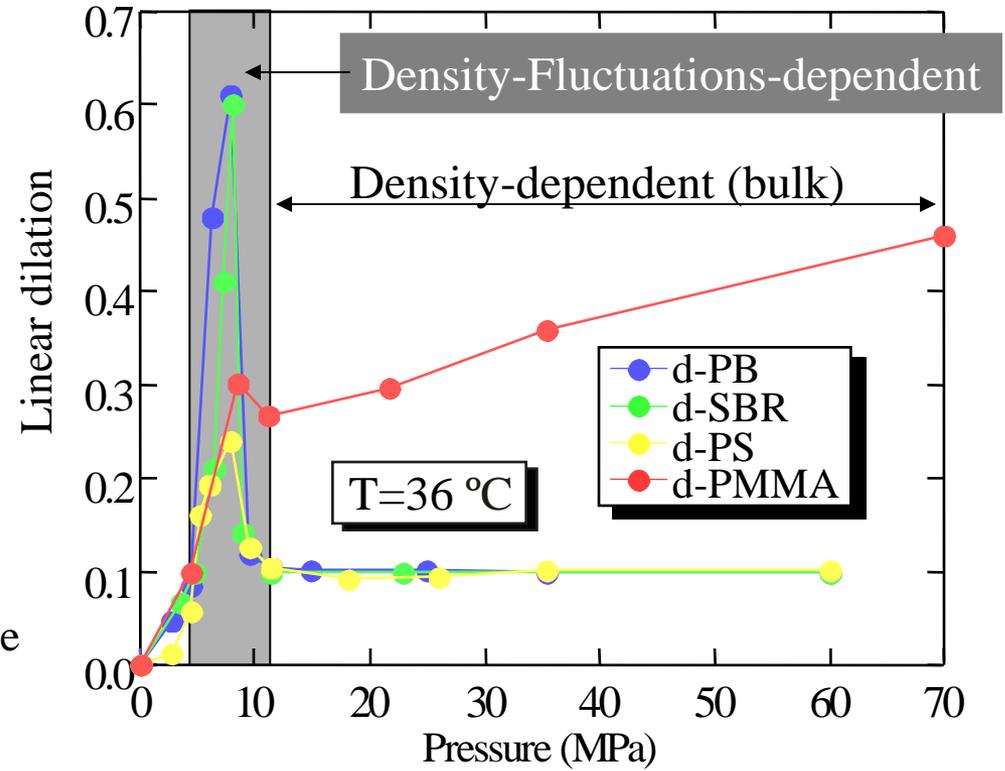
The excess dilation depends only on the magnitude of the density fluctuation in SCFs.

Effect of Elasticity on Anomalous Swelling

Sample Characteristics

Polymers	M _w	φ _{1,4} (%)	L ₀ (Å)
d-PB	264k	80	615
d-SBR	84k	80	370
d-PS	155k		445
d-PMMA	137k		450

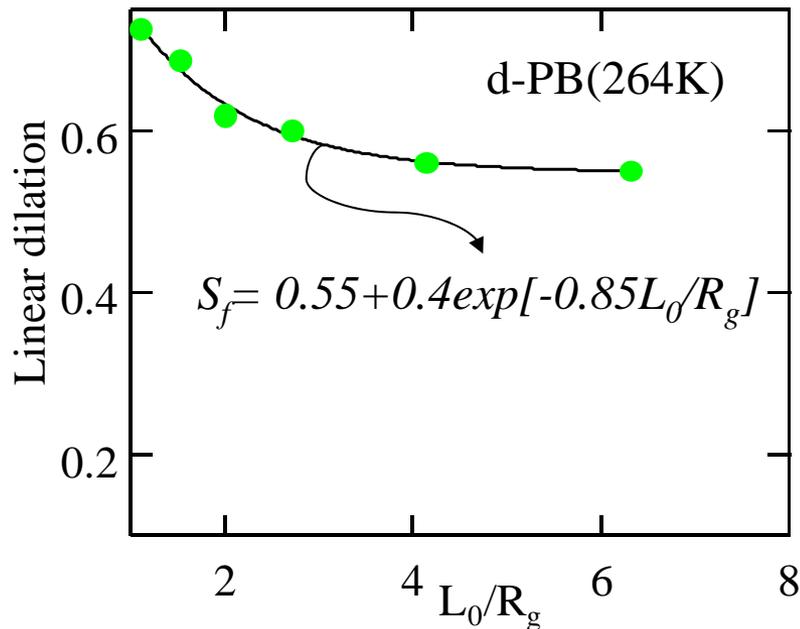
φ_{1,4} (%): Volume fraction of 1,4-linkage



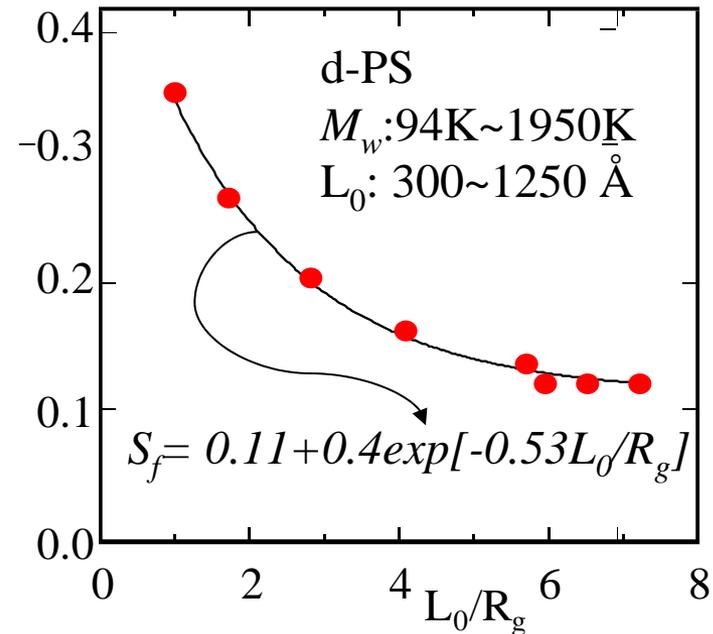
- The Magnitude of the Dilation is mostly a function of elasticity, rather than bulk miscibility.
- Dilation in rubbery polymers (~0.6) is much larger than in glassy polymers (~0.3) despite the bulk miscibility (PMMA is the best).

Penetration Depth of Anomalous Swelling

<Rubbery Polymer>



<Glassy Polymer>



- Swelling data collapse onto one exponential curve when they are scaled by R_g .
- The characteristic constant in exponential function for d-PB is larger than that for d-PS.
- S_f values of d-PB are larger than bulk even at large L_0/R_g while that of d-PS is close to the bulk value for $3R_g$.

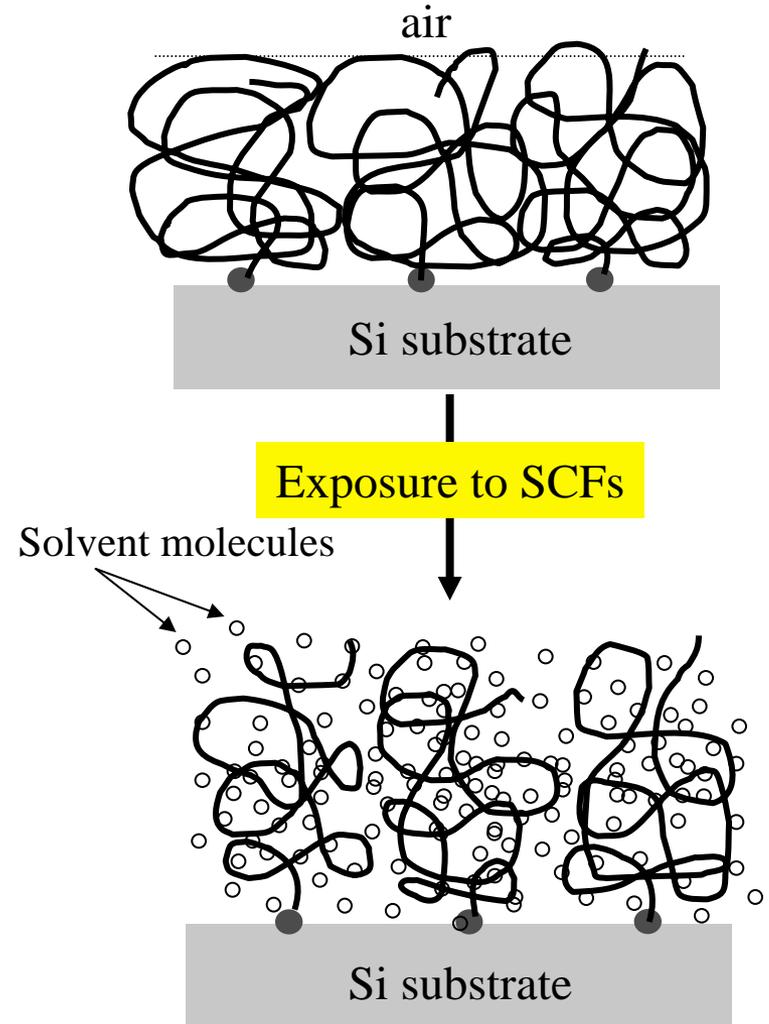
Polymer Brush in SCFs

Polymer Brush

- Chain ends are chemically grafted to a solid surface.
- A good model system for study of solvent quality

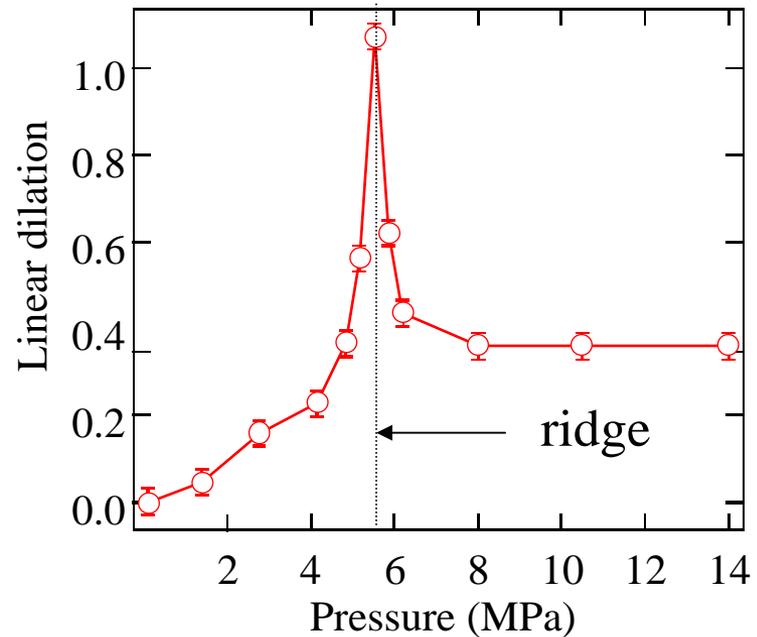
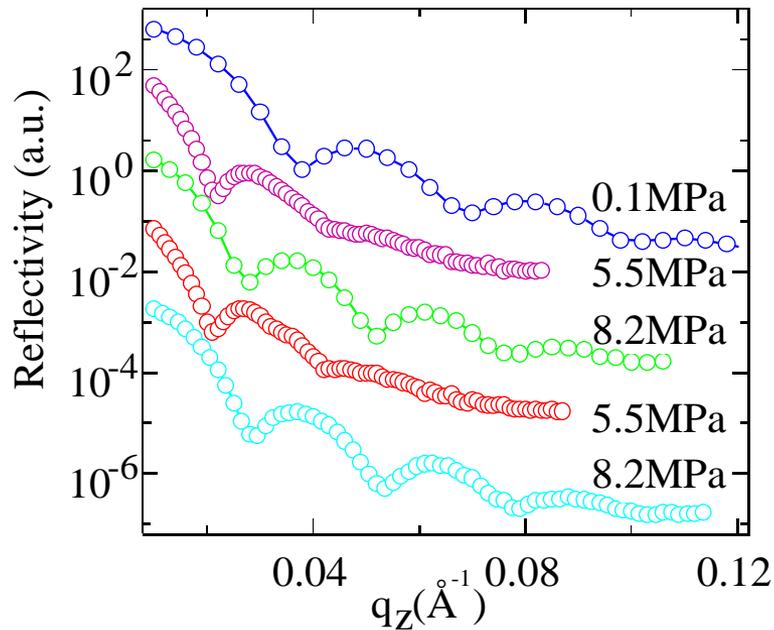
Objective

1. What is the solvent quality of the density fluctuating SCFs?
2. Does this confinement of the chain ends lead to a different conformation from the free chain situation in SCFs?



Neutron Reflectivity Results

dPB brush ($L_0=2.5R_g$) in C_2H_6 @ $37.2^\circ C$

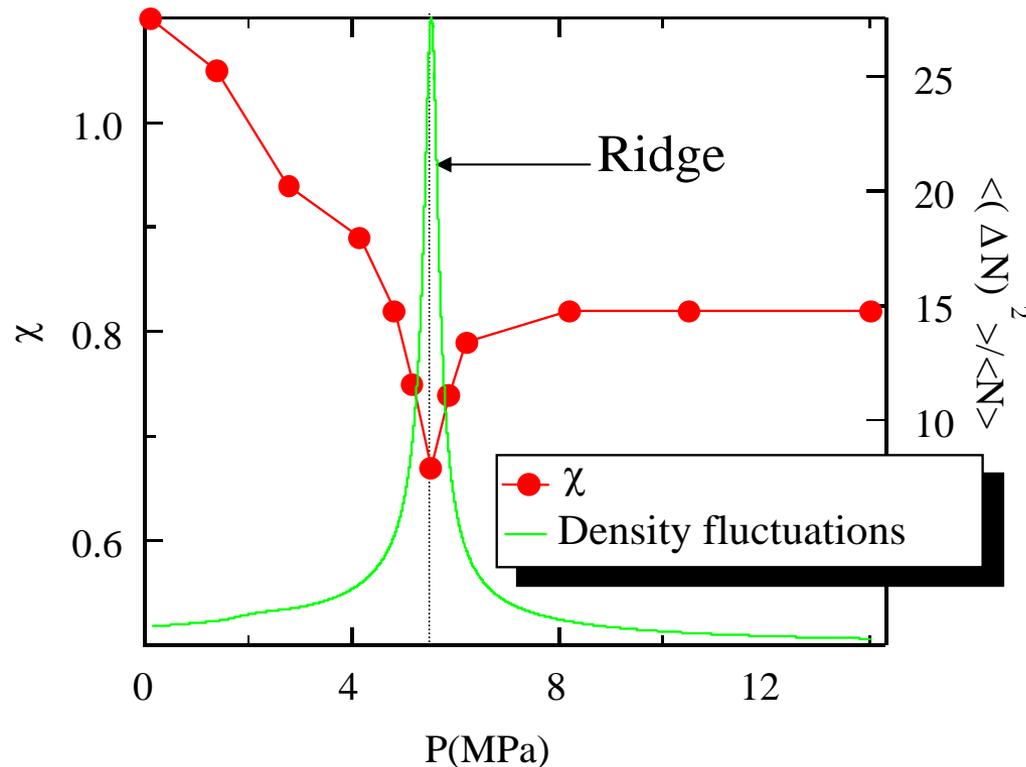


Linear dilation (S_f) = $L_1 - L_0 / L_0$,
 L_1 : Swollen thickness, L_0 : Unswollen thickness

- (1) The swelling of the brush was an equilibrium quantity, which was a function only of the C_2H_6 pressure and temperature.
- (2) At the ridge of C_2H_6 , an anomalous peak of 1.1 in S_f occurred.

Evaluation of χ -parameter using self-consistent field (SCF) model

Pressure dependence for 2.5Rg thick

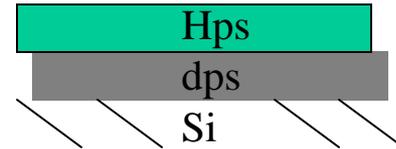


SCF model based on the method developed by Scheutjens and Fler (1993). **Determination of the χ -parameters with the given N and grafting density.**

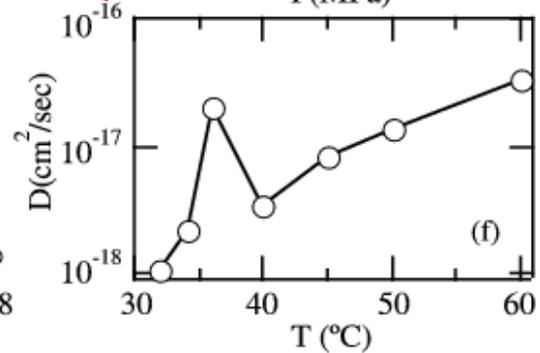
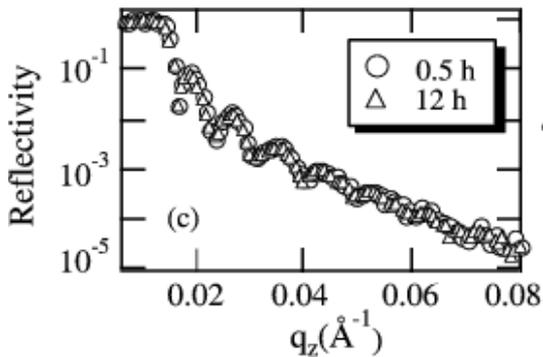
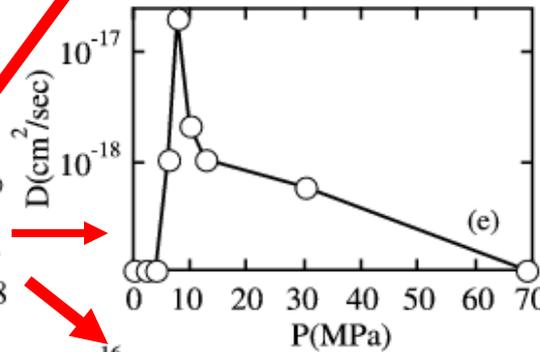
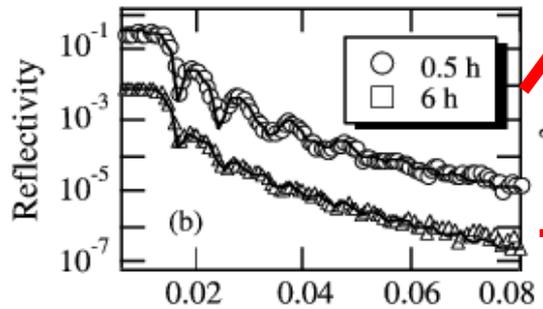
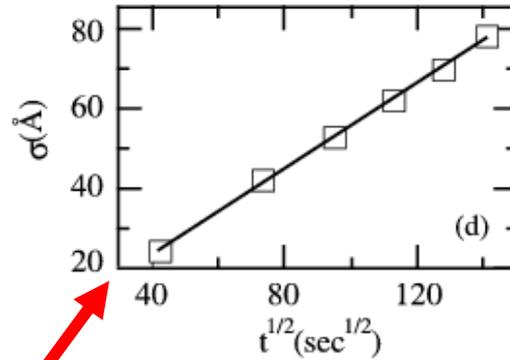
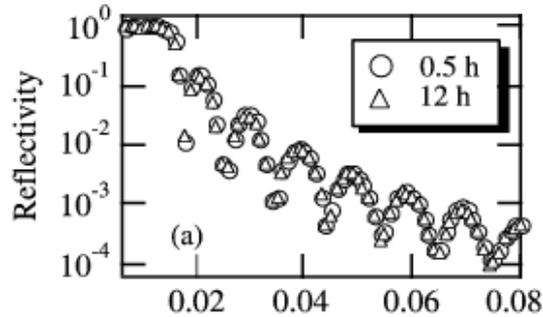
The pressure dependence of the χ -parameters showed a minimum at the ridge, indicating that the χ -values depended solely upon the magnitude of the density fluctuations in scC₂H₆.

Enhanced Diffusion on the Ridge

Europhys. Lett., 60 (4), p. 559 (2002)



Finding the Ridge



(a) 2 MPa: No diffusion

(b) 8.2 Mpa, $T=36\text{C}$

(c) 70M Pa, $T=36\text{C}$

HPS 697K 700A/ DPS 400K 500A

At the Ridge

"molten" on ridge ($T_g = 100\text{C}$)

$\sigma \sim t^{1/2}$ (20 \rightarrow 44 \rightarrow 73 \AA)

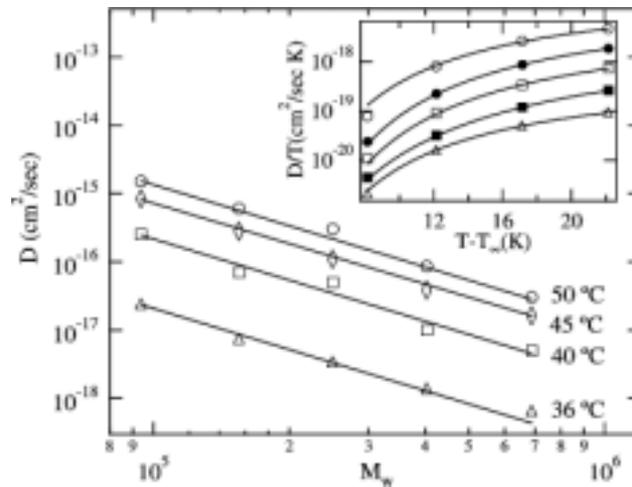
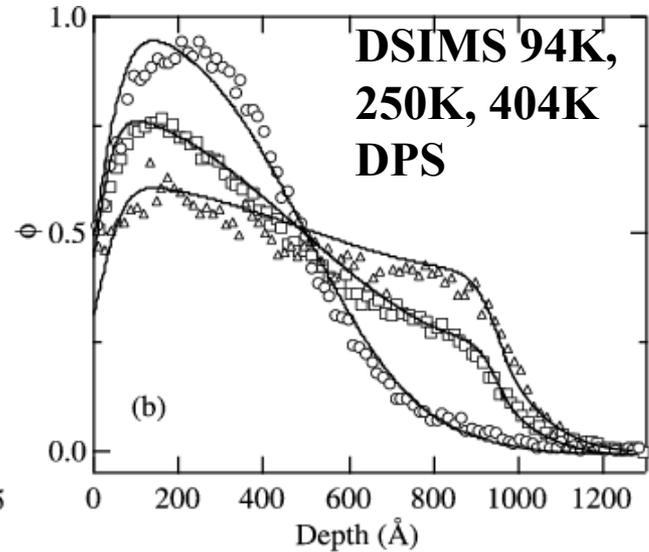
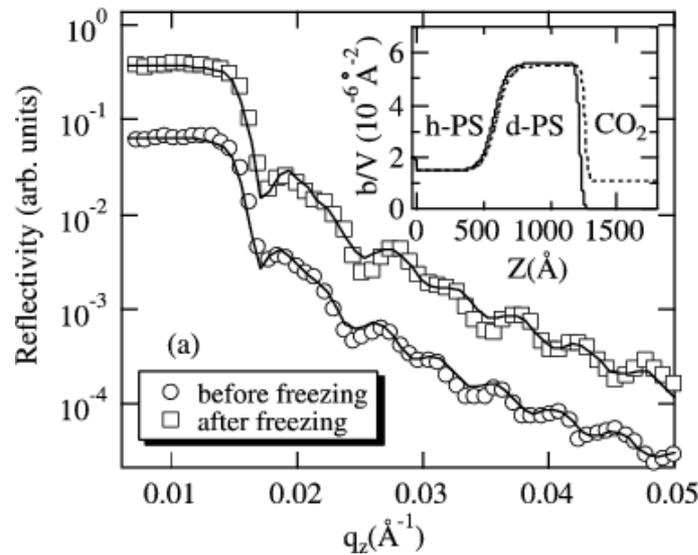
$D = \sigma^2 / 2t$, $D = 2 \times 10^{-17} \text{ cm}^2/\text{sec}$

Equivalent to annealing at $T \sim 140\text{C}$

Scaling dependence

- Lower M_w , D is too fast to measure in situ
- Compare NR and DSIMS evaporated films.
- Flash evaporation maintains the in-situ swollen structure

NR
 $M_w=94KDPS$

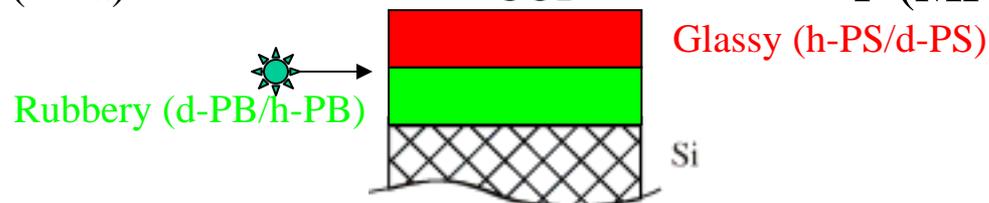
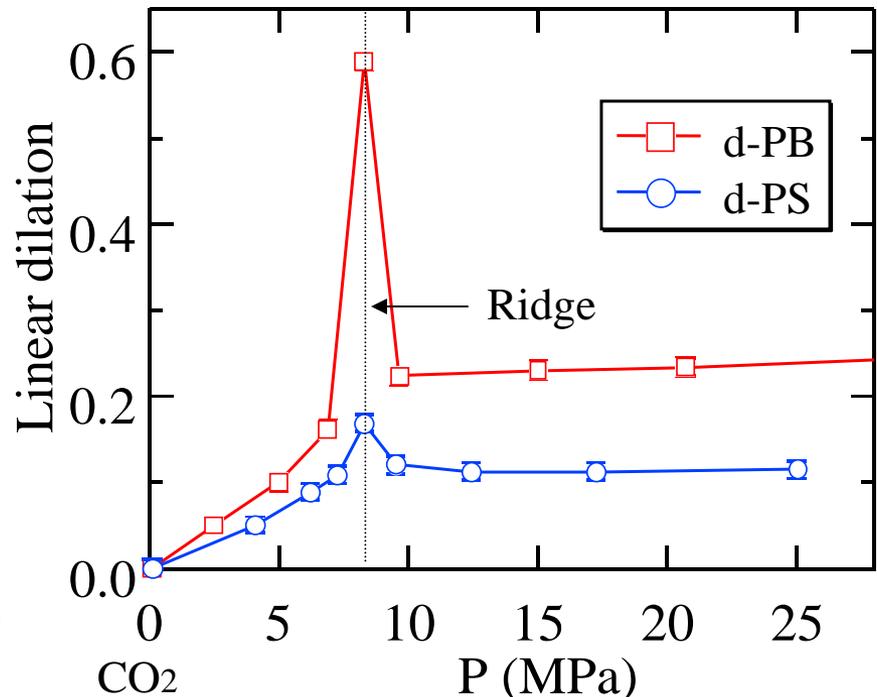
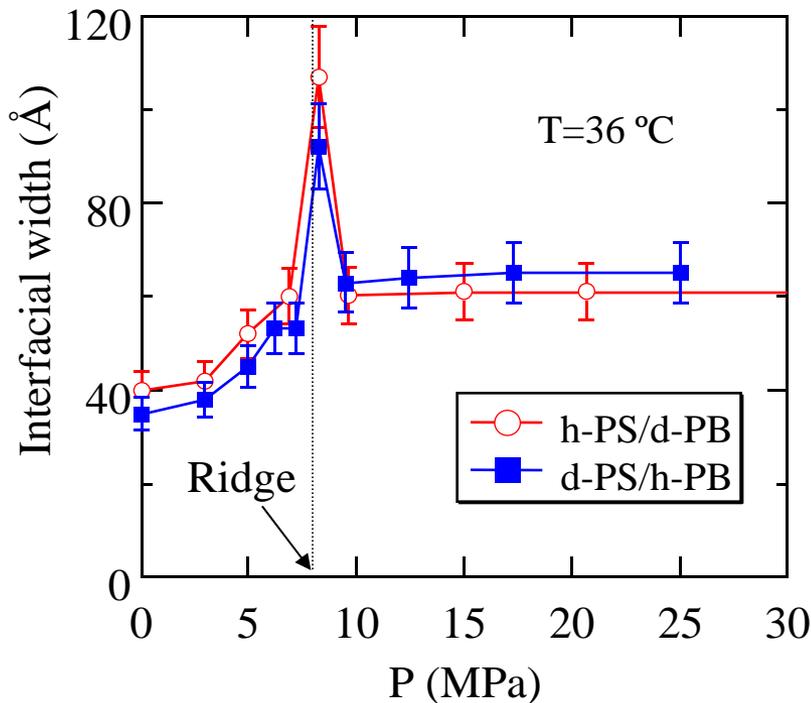


• $D \sim M^{-2}$ reptative motion (slope: 2.0)

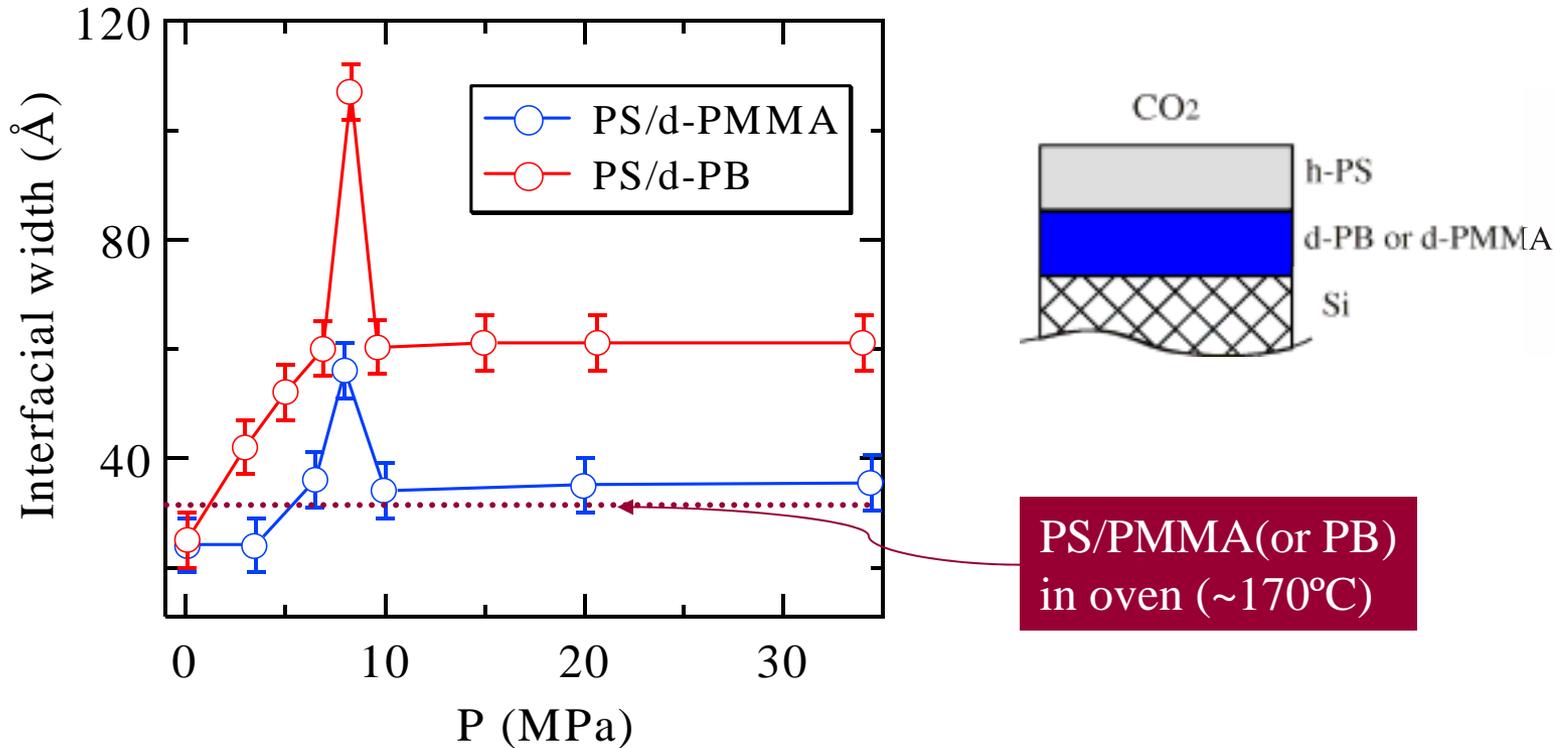
• WLF like scaling with temp at $P=P_{ridge}$

Co-Solvent Effect for Immiscible Polymer Interfaces

In situ NR results @36 °C in CO₂



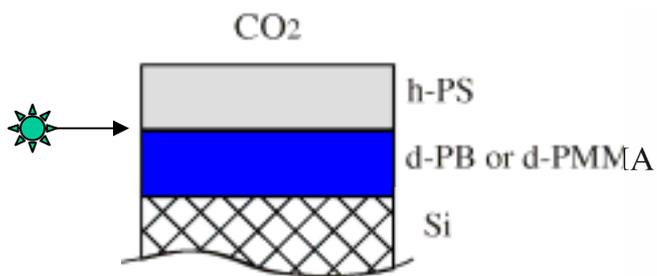
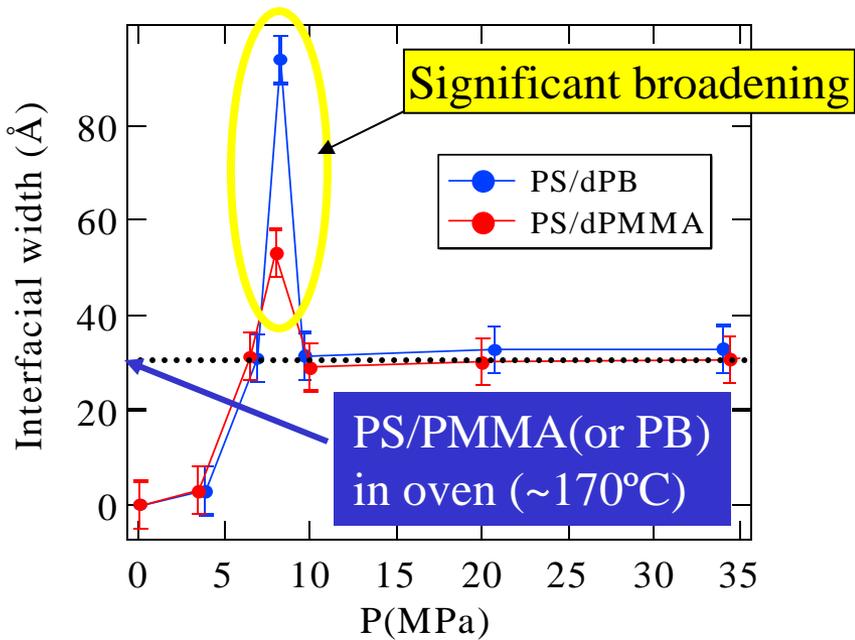
Effect of Elasticity on Miscibility



The interfacial width for PS/PB bilayer was 2 times larger than that of PS/PMMA bilayer, while the compatibility of pure PS/PMMA is much better relative to pure PS/PB system. Even at the pressures far away from the ridge, the significant broadening was observed in the PS/PB bilayer.

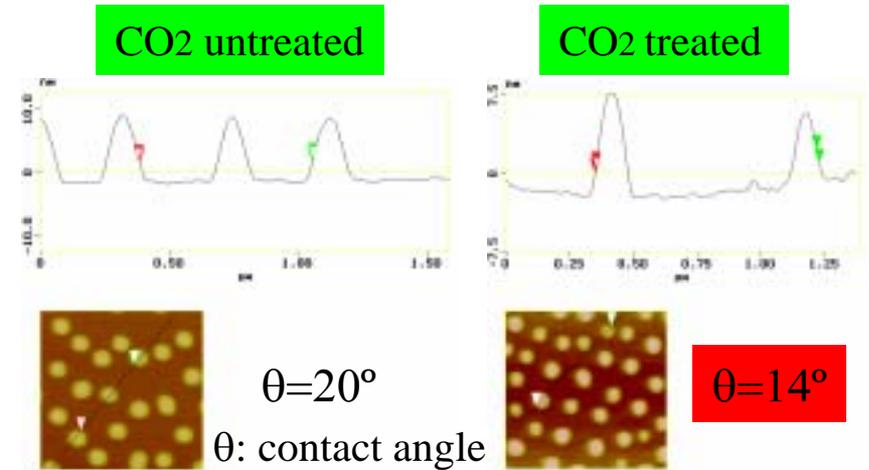
Improved Compatibility for Immiscible Polymers

In situ NR results @36 °C



AFM result for freezing samples

<surface micelle of PS-b-PMMA>



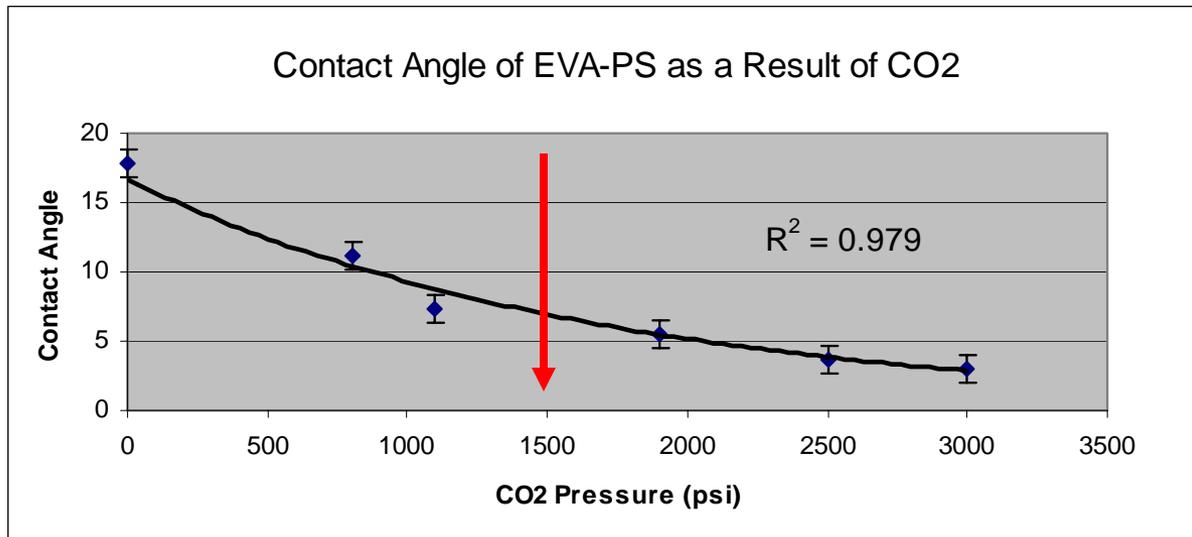
CO₂ plays a role as “co-solvent” for two immiscible polymers. The effect is further enhanced in PS/PB system, which is less compatible than PS/PMMA without CO₂ solvent.

Polymers Blends:

PS/EVA and PS/PMMA

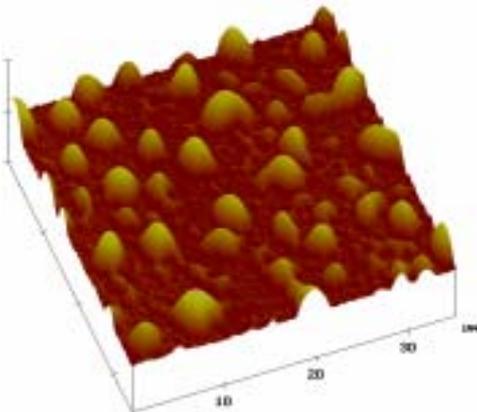
Polymer	Company	M_w	Tg (°C)
EVA	DuPont	100k	-20
PS	Aldrich	45K	100
PMMA	Aldrich	120K	100

Atomic Force Microscopy

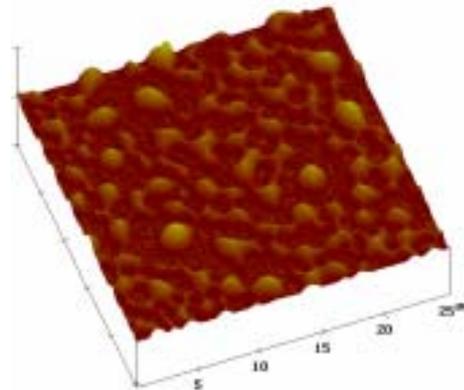


- Sharp decrease in contact angle at the ridge consistent with increased interfacial width.

a)



b)



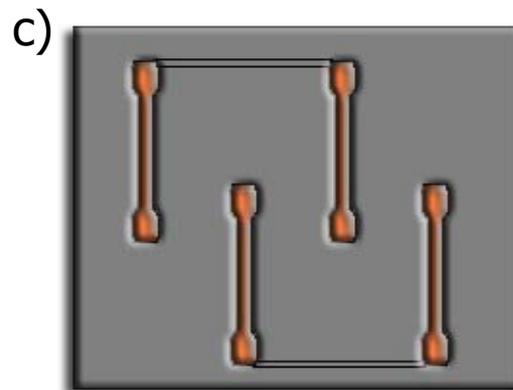
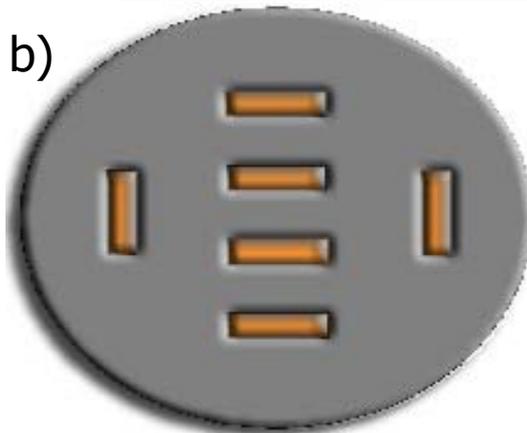
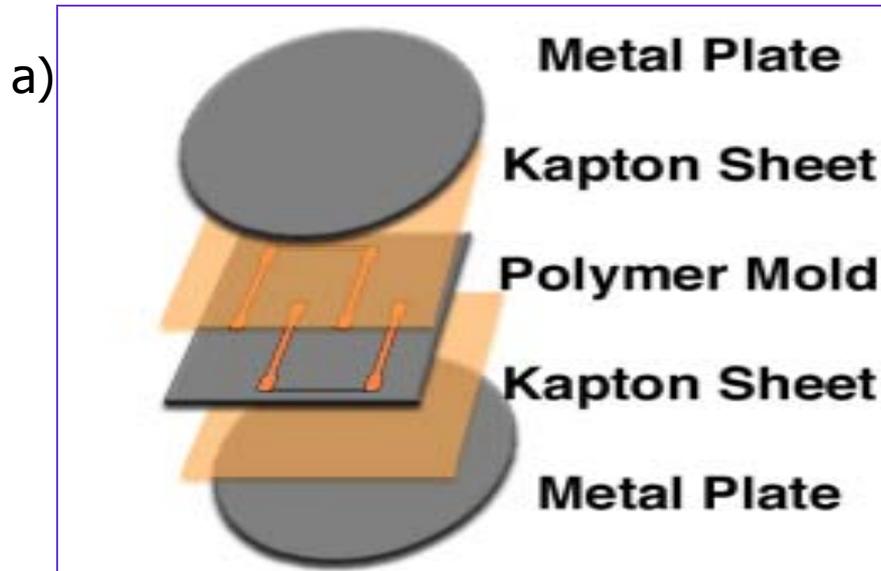
Young's Modulus

$$\gamma_{EVA} = \gamma_{PS} \cdot \cos \theta + \gamma_{EVA/PS}$$

- By using contact angle measurements, the value of $\gamma_{EVA/PS}$ can be determined
- Assume unchanged values of the vacuum surface energies in ScCo₂

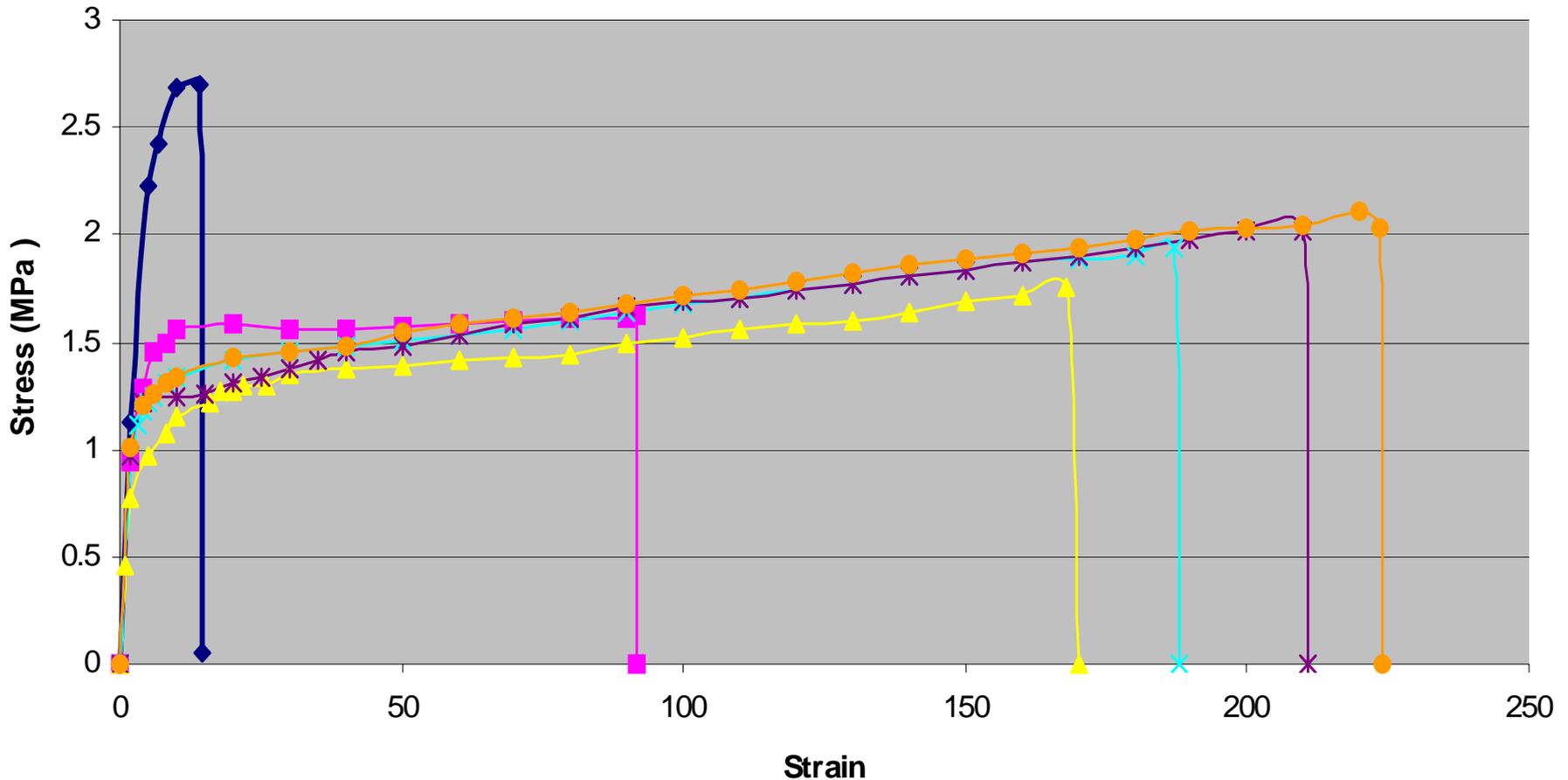
Pressure of SC CO ₂ (psi)	Interfacial Tension ($\gamma_{EVA/PS}$)	
	Mean (dyne/cm)	Standard Deviation
Control (0)	2.905	0.102
Liquid (800)	1.746	0.077
1100	1.323	0.076
1900	1.186	0.055
2500	1.084	0.067
3000	1.057	0.051

Experimental Methods

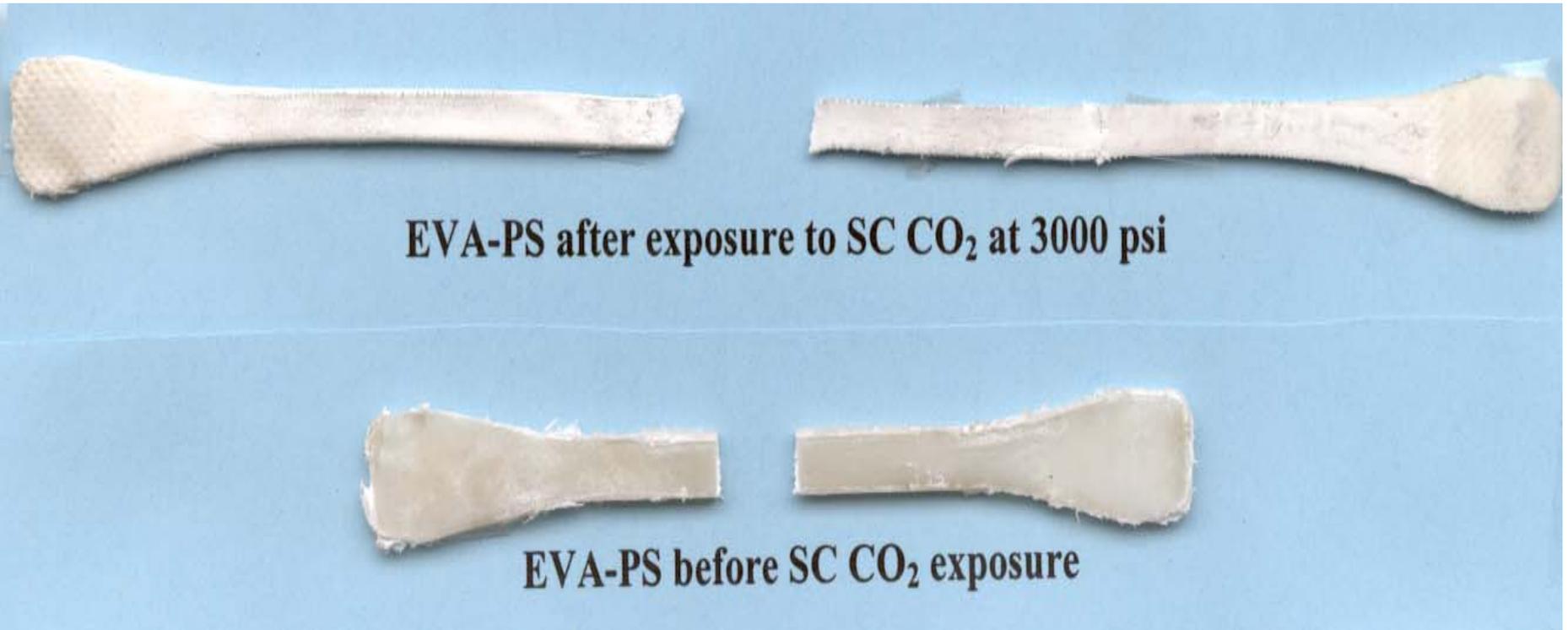


- Component polymers were obtained to total 50g. Polymers were combined using a CW Brabender at 170° C
- The resulting raw material was molded (a) using a heat press at 305° F and 4 tons of pressure into rectangle (b) or dogbone (c) molds.
- Molds were then exposed to SC CO₂ at 115° F and 1200 psi for one hour.
- The chamber was vented after exposure at a constant rate as not to mar results.

Tensile Testing

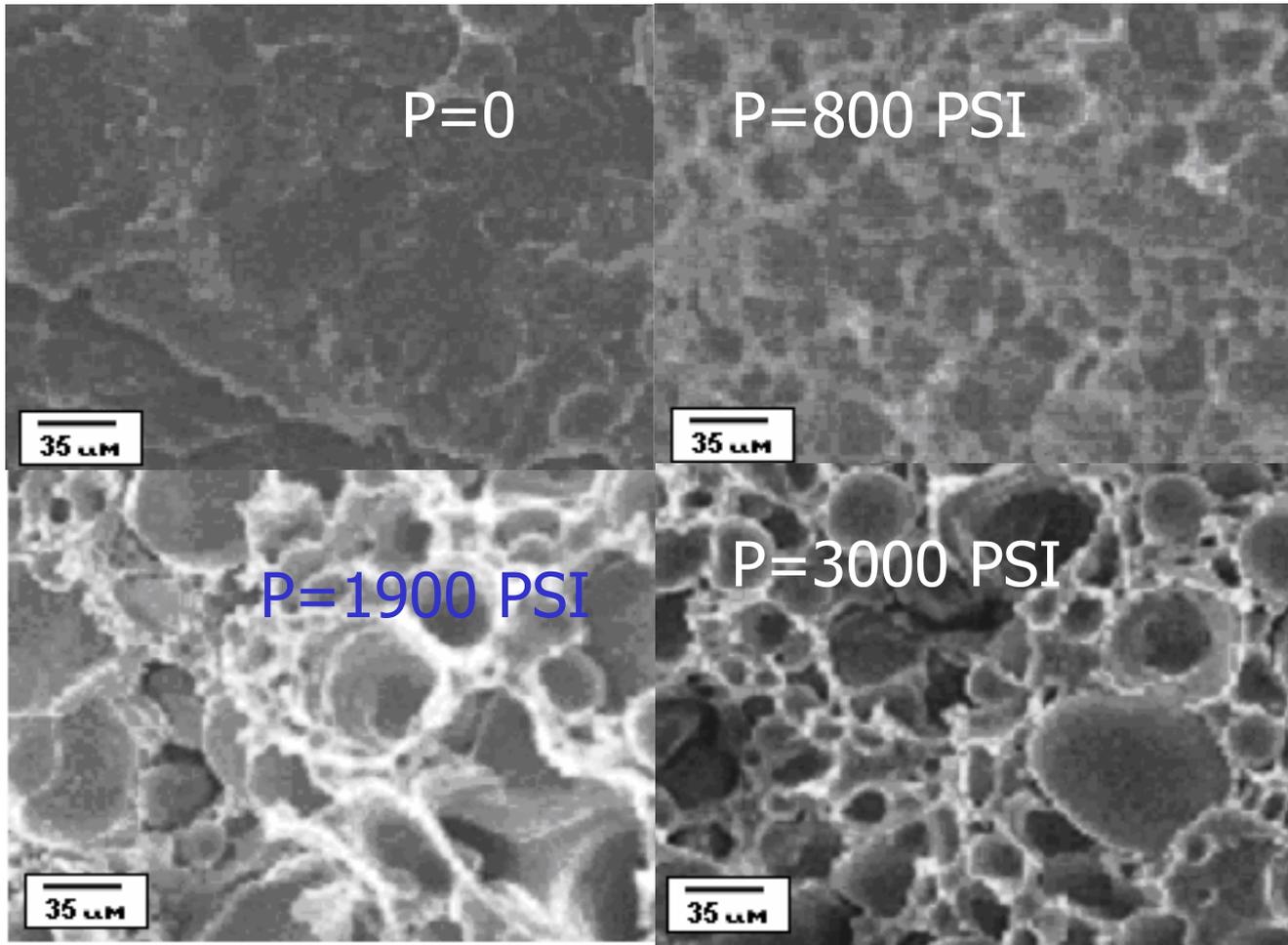


Mechanical properties



- Foaming increases surface to volume ratio.
- Compatibilization occurs inside the splines where penetration depth becomes significant relative to the diameter.

PS:EVA (1:1)

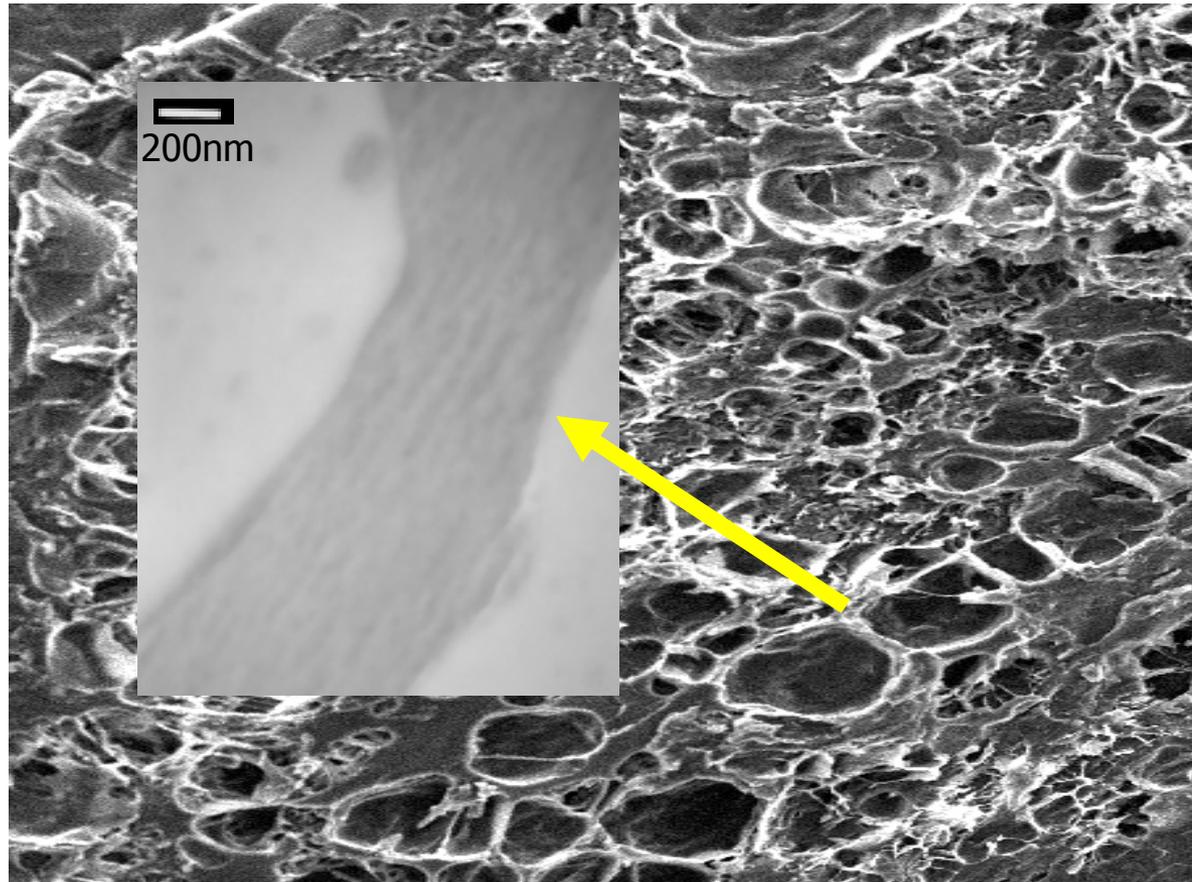
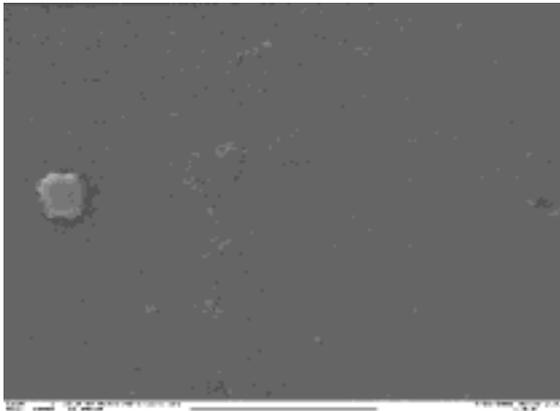


- Polymer blend foams.
- Diameter of cells increases with exposure pressure.

PS-PMMA

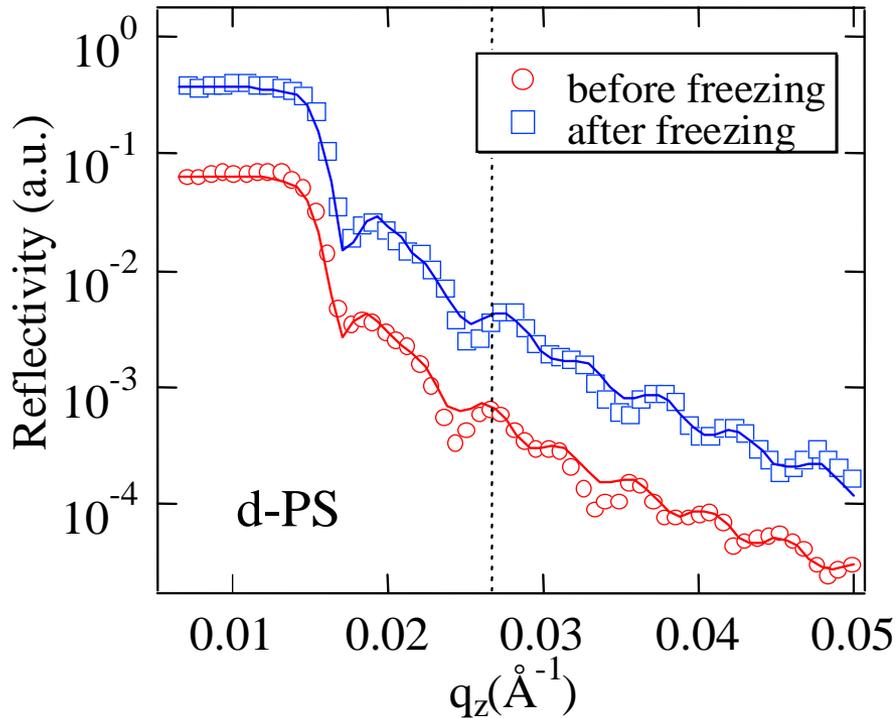
333.9 μm

a)



a) SEM image of 1:1 PS-PMMA after supercritical exposure. Note the drastic surface change, with a surface covered in air holes replacing the flat surface.

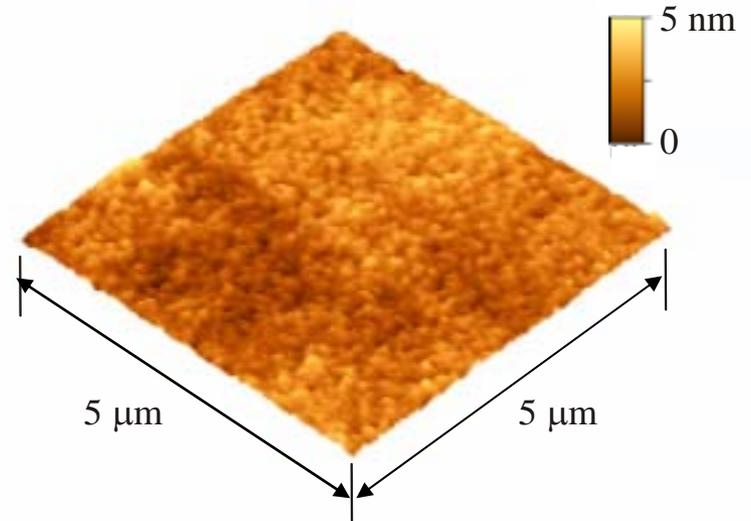
Freezing by Flush Evaporation



Freezing:

- 1) Annealing at the ridge
- 2) Quick depressurization
- 3) Then evaluation by NR

<AFM result>



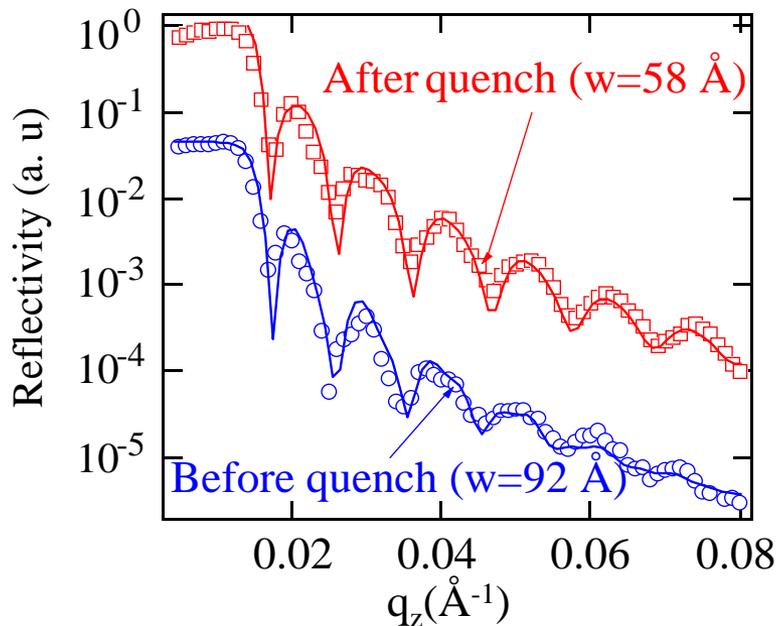
NR results showed that film thicknesses were identical before and after the quench. In addition, AFM show that the surface still flat without voids.



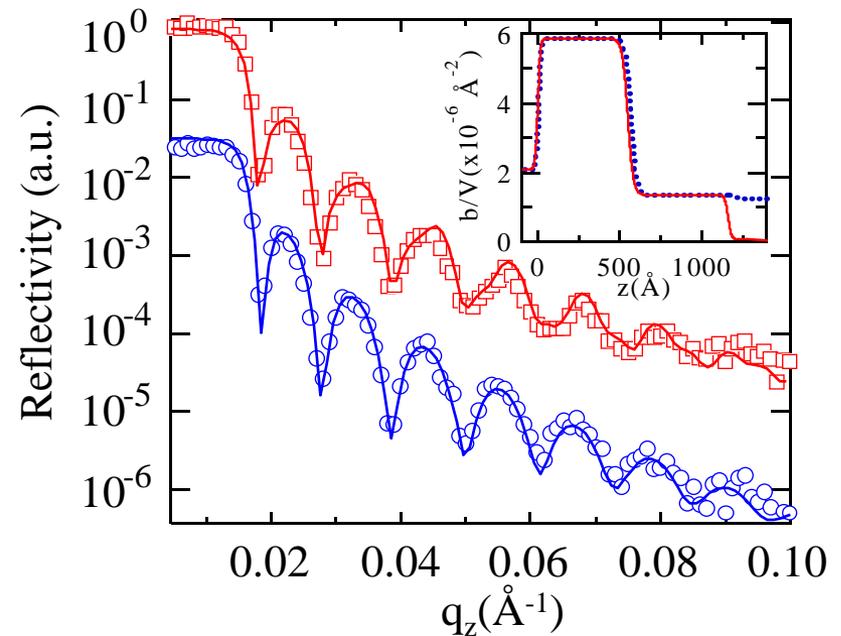
Enable to study using other techniques (SIMS, x-ray reflectivity, ellipsometry, AFM) and to yield a stable new material with different properties.

Freezing of Bilayers

< PB / d-PS >



< d-PMMA / PS >

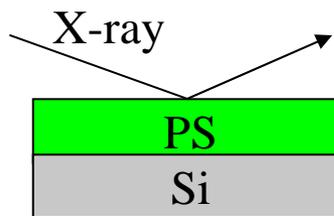
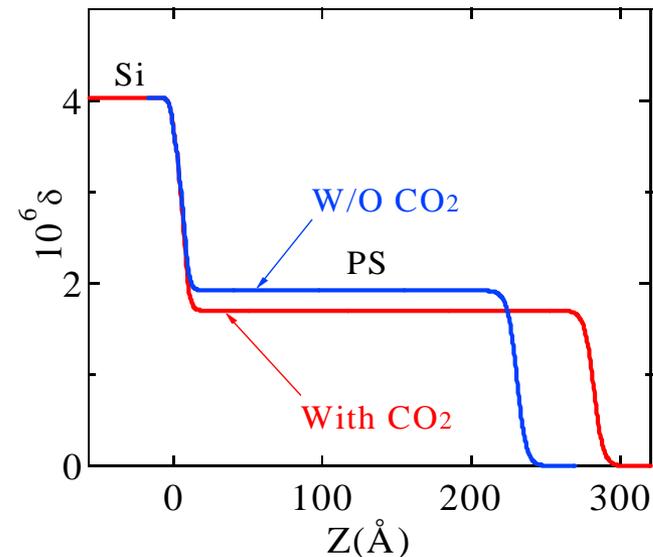
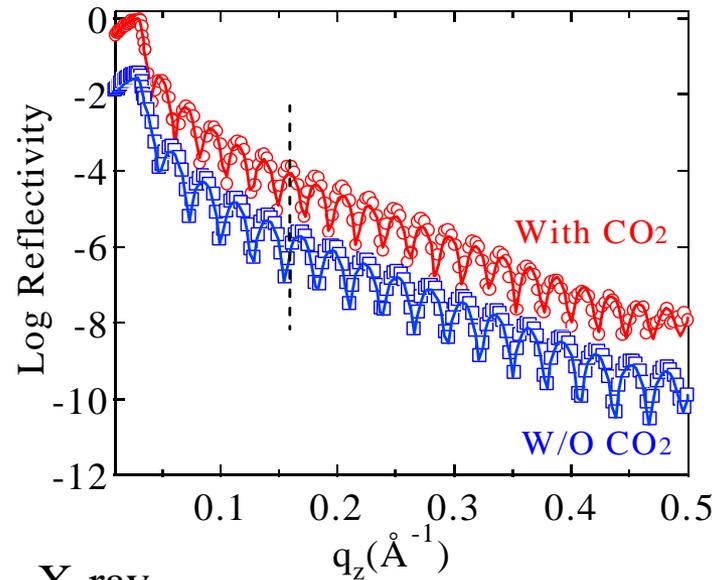


The interfacial width after the quench was much smaller than that before the quench, while film thickness of d-PS layer could be frozen.

In situ film quality, i.e., density, roughness and film thickness, could be frozen by flash evaporation of CO_2 .

Low-Density Polymer Films

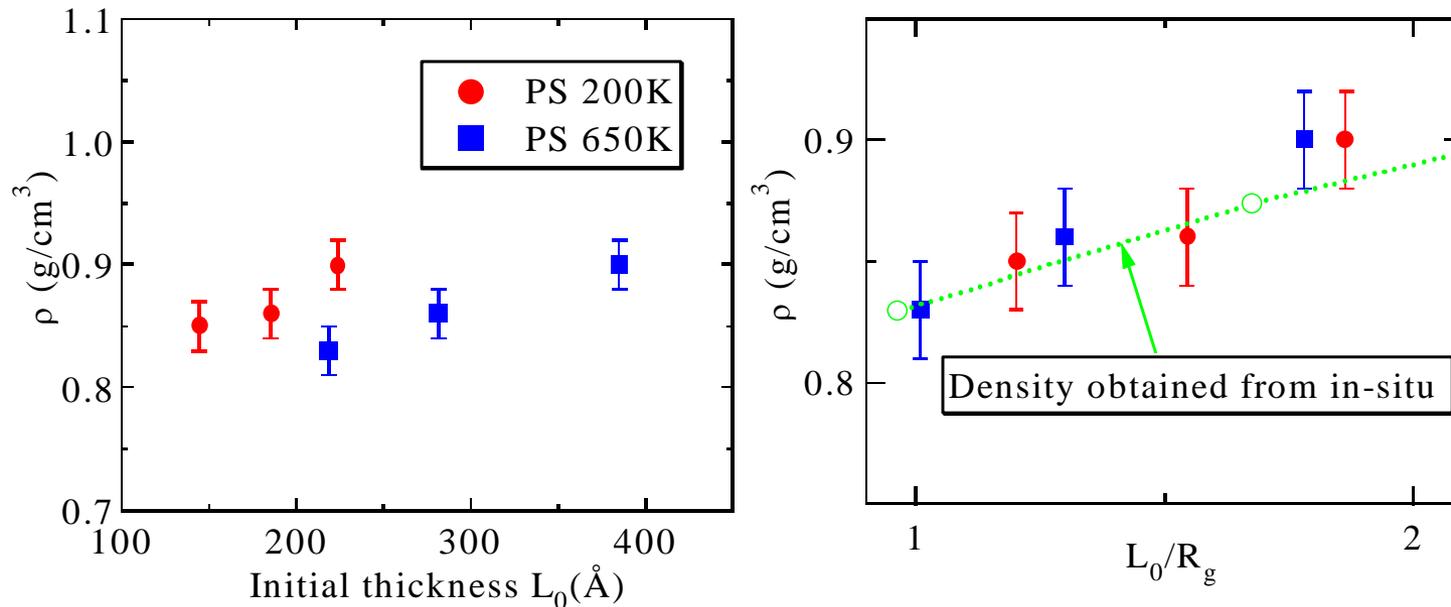
X-ray reflectivity @X10B (NSLS) PS 200K (223 Å, $R_g=120$ Å) frozen film



- 1) Polymer layer has thickened after exposed to scCO₂.
- 2) Dispersion in x-ray refractive index, which is proportional to density of film, decreased by 14%.

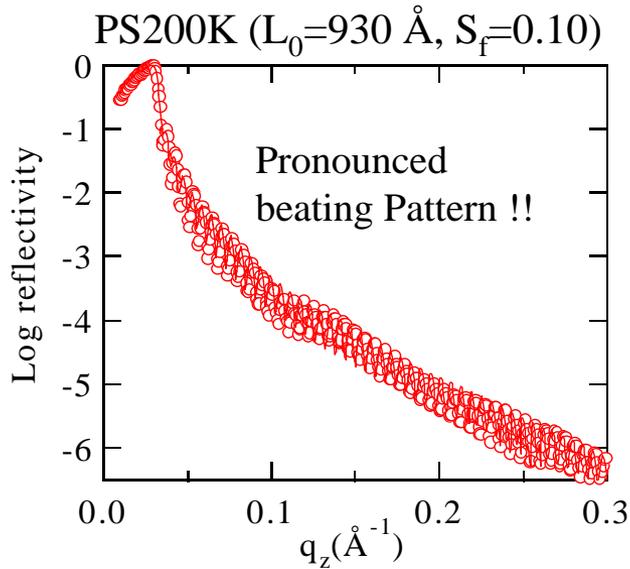
Exposed to CO₂ in the density fluctuating regime can be used to produce uniform, low-density polymer films.

R_g Dependence of Polymer Low-Density

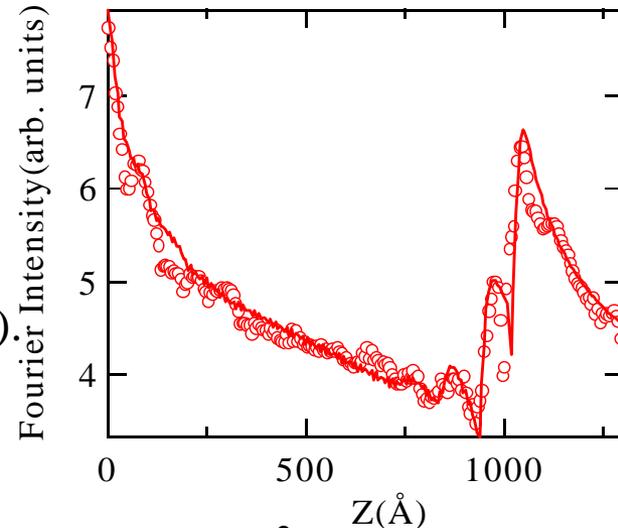


- (1) The data can be collapsed on a universal curve when L_0 is scaled by R_g . Hence, when confined as a thin film on a surface, thickness as well as molecular structure influence the density.
- (2) The fact that the density obtained from the differing experiments (in situ neutron reflectivity and XR) are in good agreement, indicating that in the case of PS, rapid sublimation of the gas preserves the in-situ dilation of the films.

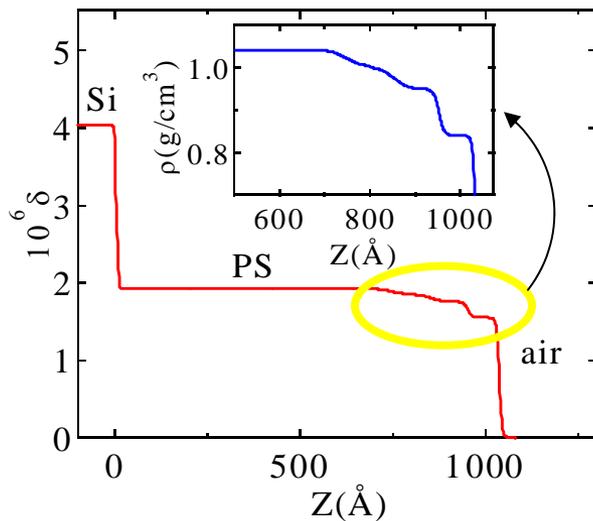
Density Gradient in Thicker Films



Fourier Transform
 →
 Seeck et al.
 APL (2000).

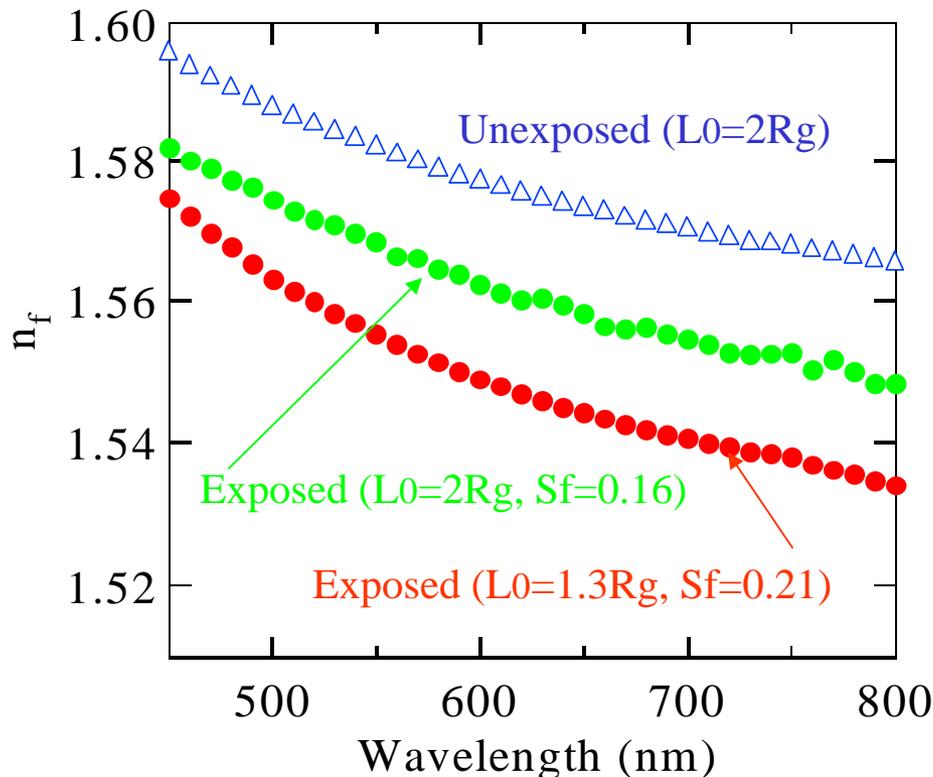


Four different PS layers were formed, based upon the number of the FT peaks.



- A layer about 100 \AA in thick is formed at the polymer/vacuum surface with a reduced density of $r=0.83 \text{g/cm}^3$.
- The subsequent layers also have reduced density, but these layers are more diffuse and decay over a region of approximately 200 \AA towards a uniform layer with the bulk density.
- This behavior, which was independent of M_w , was observed in all films larger than $4-5R_g$.

Refractive Index



Method: A H-VASE spectroscopic ellipsometry (J.A. Woollam Co., Inc.) was used with a wide spectral range of 300-800 nm with 10 nm increments.

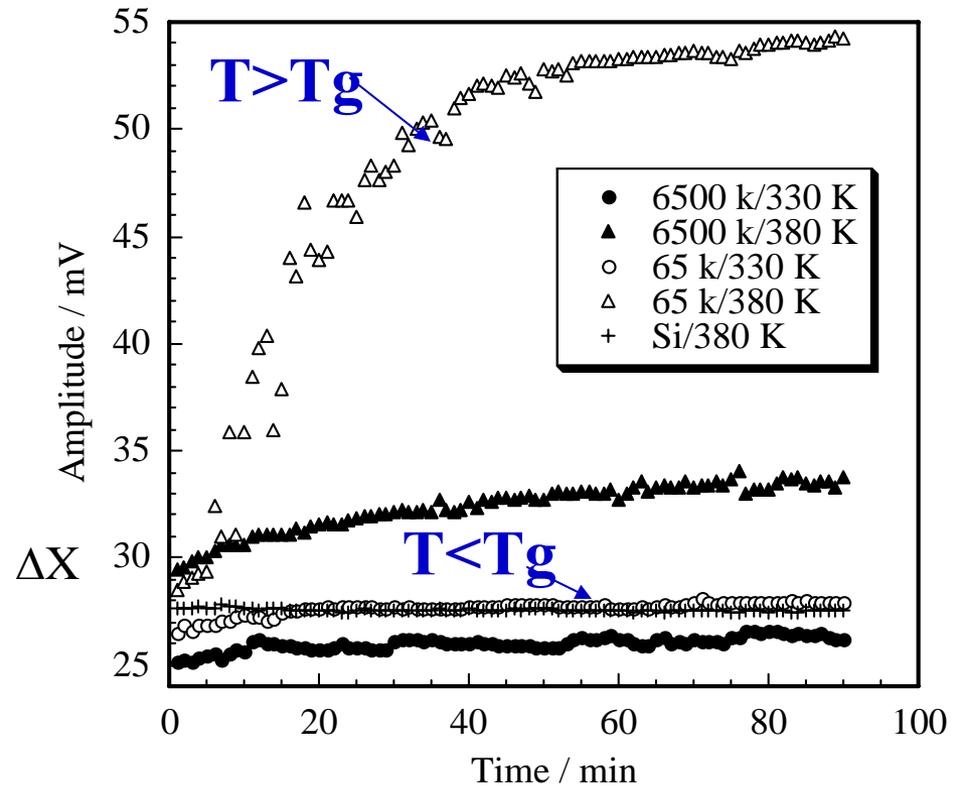
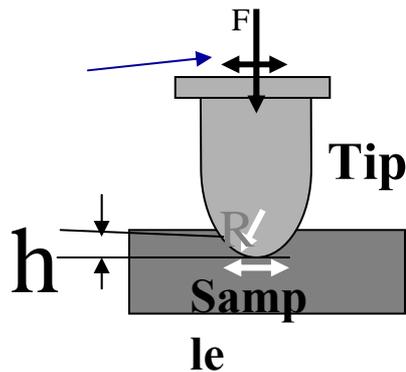
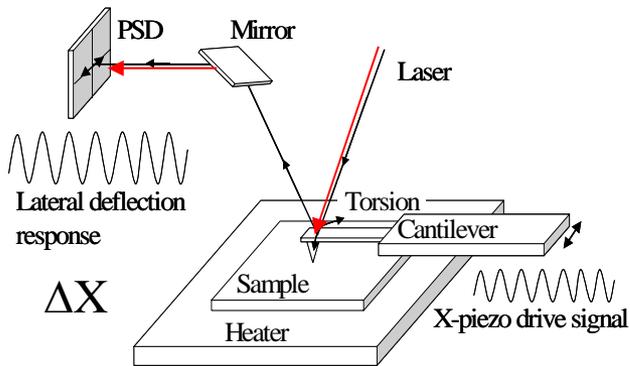
In order to fit the spectroscopic data, the same three-layer model mentioned in the text was used with the literature refractive indices for the native oxide and silicon substrate. The film thickness for each layer determined by XR was used for the fit.

The n_f values for the exposed films decreased with increasing the S_f values over the wide wavelength range of 450-800 nm. Since dielectric constant of materials can be derived from a square of refractive index, we can therefore vary the dielectric constant for PS film from 2 to 4% in the same L_0, R_g parameter space.

Surface modulated force microscopy

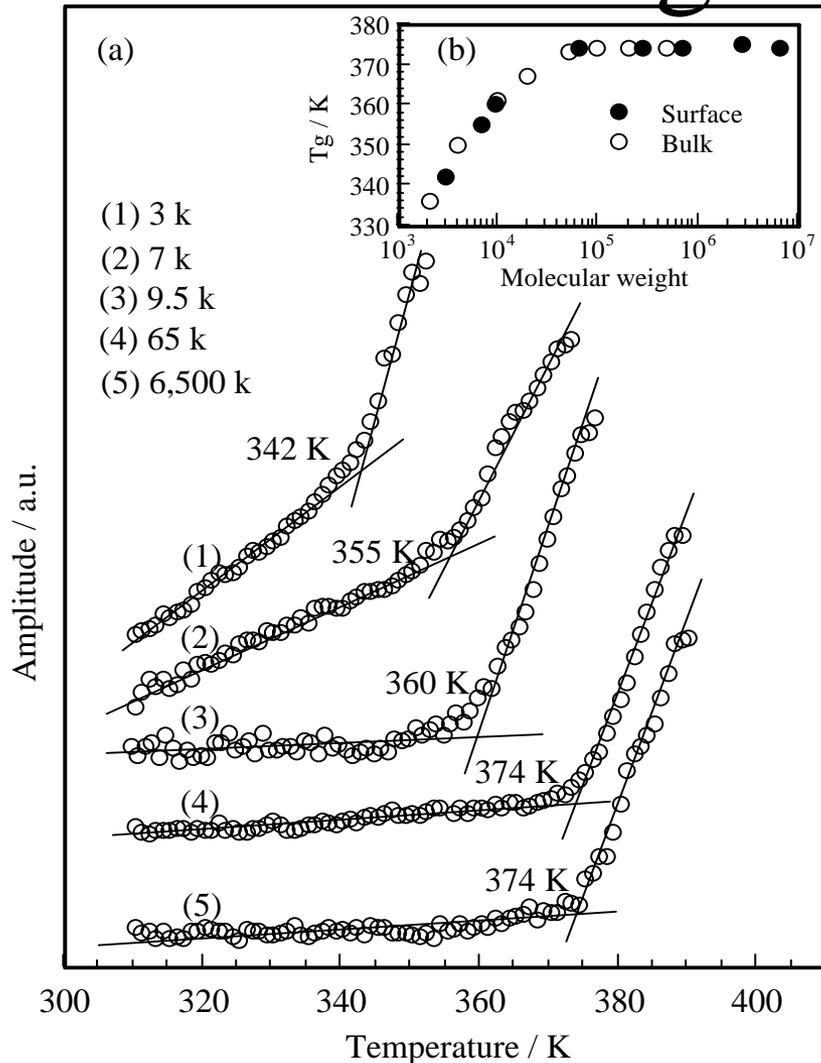
$$\Delta x \propto h = \left(\frac{31 - \nu^2}{4} \frac{F}{E R^{1/2}} \right)^{2/3}$$

X-modulated AFM



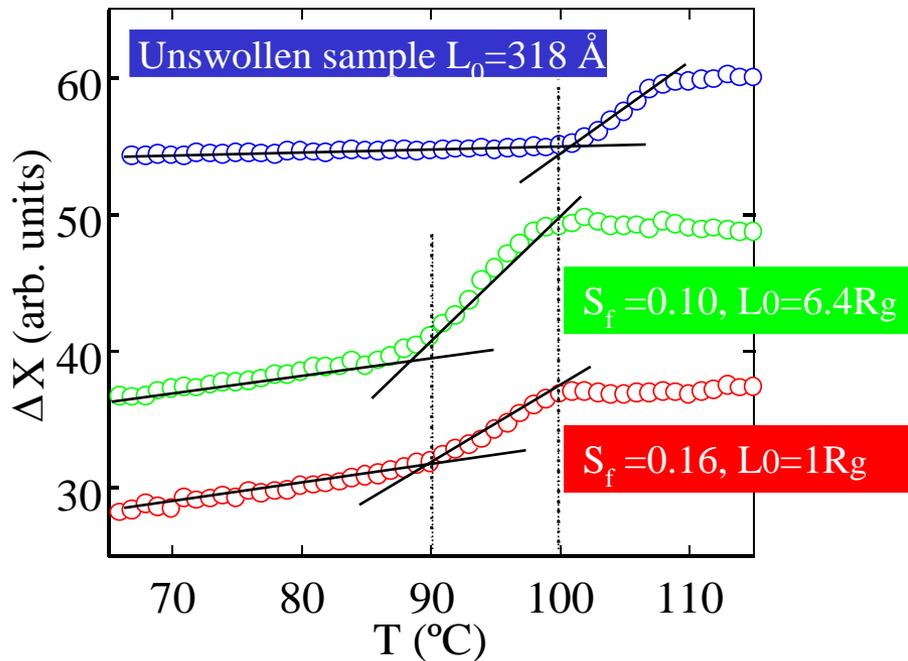
E decreases by three orders of magnitude when $T > T_g$

The glass transition



- Surface Tg ~ bulk Tg.
- Tg values scale with Mw.
- Technique measures relative creep, or zero shear viscosity and modulus.
- Both quantities change by orders of magnitude at Tm and Tg.
- Technique sensitive to **SEGMENTAL** chain motion and not to center of mass motion.

Low Surface T_g



The intersection of the two straight lines fit to the data is identified as $T_{g\sigma}$.

Method: AFM mode, shear modulation force microscopy (SMFM), which is sensitive to the large change in viscoelastic behavior at air/polymer interface (Ge *et al. Phys. Rev. Lett.* 2000).

T_g value for the frozen film ($S_f=0.16$) decreased about 10 °C compared with that of the unswollen PS films ($T_g=100$ °C). Similarly, for the film $6.4R_g$ thick a T_g value of 90 °C. This result is consistent with the x-ray data that show a region of effective dilation of ~ 0.2 near the surface.

Useful for an improvement of the adhesion for two immiscible polymers and inorganic/organic interface.

Polymer Metallization

Advantage

Improvement of polymer surface properties, including wear, conductivity and appearance.

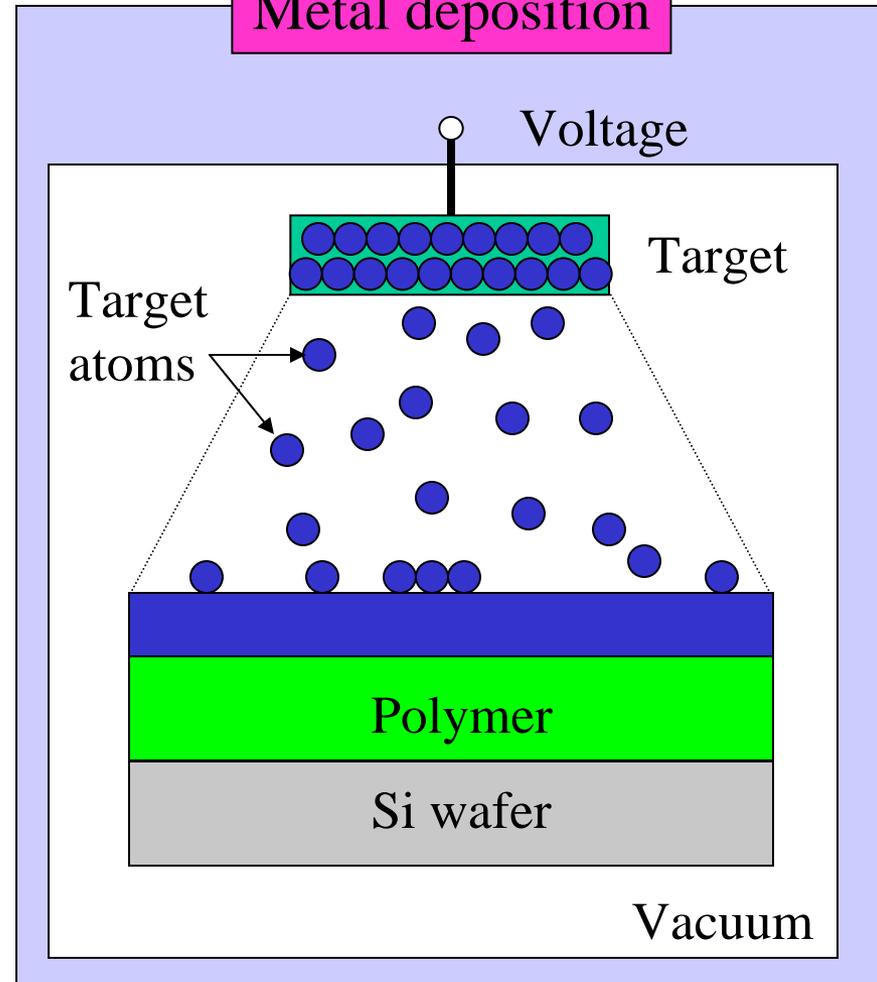
Problem

Sharp metal/polymer interface forms due to the very different surface properties between polymer/metal.



Dewetting will occur and the film properties will severely deteriorate.

Metal deposition



PS/Cr Metallization

(A) Unexposed scCO₂

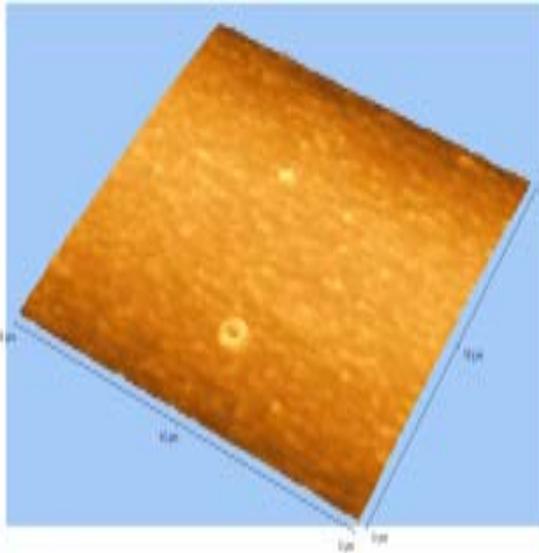


Image size (20 μm×20 μm),
height scale (0-40 nm)

**Smooth Cr Surface
(RMS roughness~3nm)**

**(B) Exposed scCO₂
before depositing Cr**

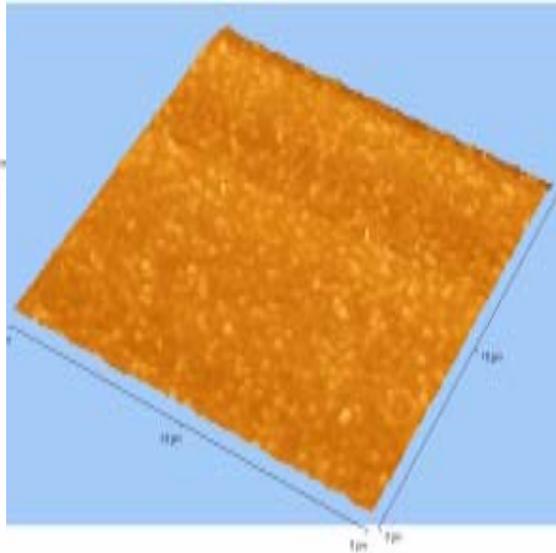


Image size (20 μm×20 μm),
height scale (0-50 nm)

**No dewetting was
induced by exposure to
scCO₂.**

**(C) Exposed scCO₂ after
depositing Cr**

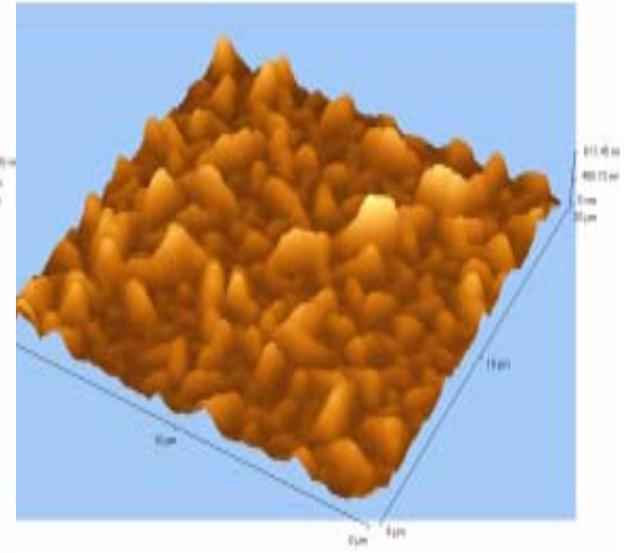


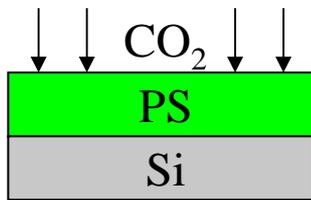
Image size (20 μm×20 μm),
height scale (0-800 nm)

Dewetting occurred!!

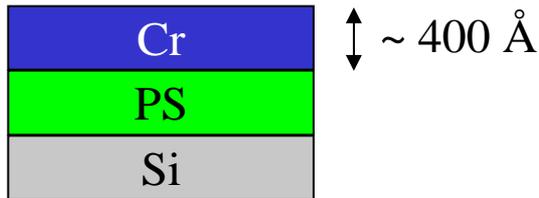
Cr/PS system

Sample preparation

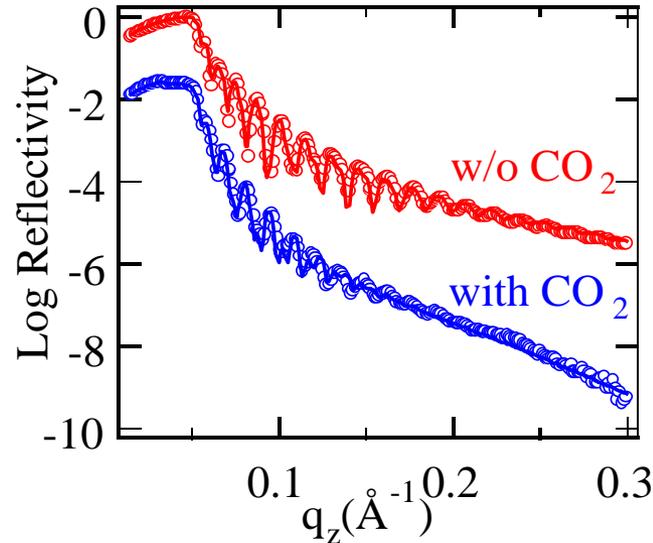
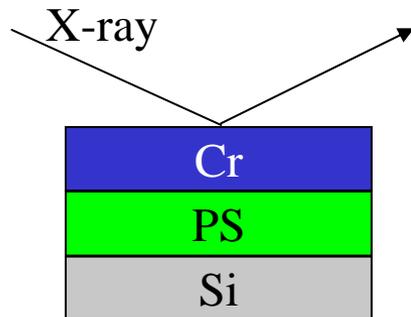
(1) Exposed to CO₂



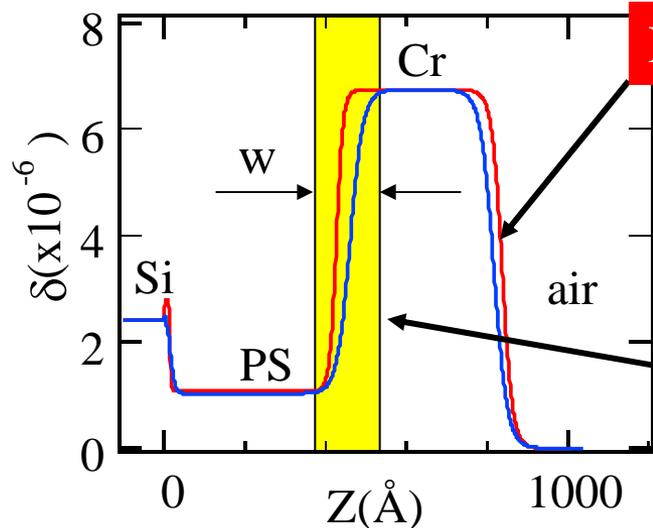
(2) Chromium deposition



(3) Characterization by XR



PS: 400Å
 36 °C, 8.2 MPa



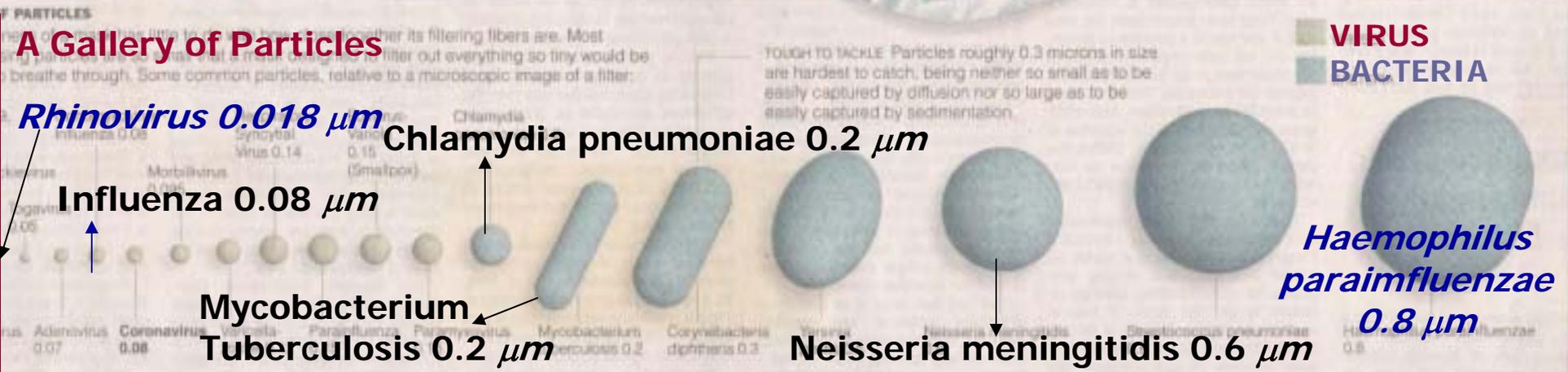
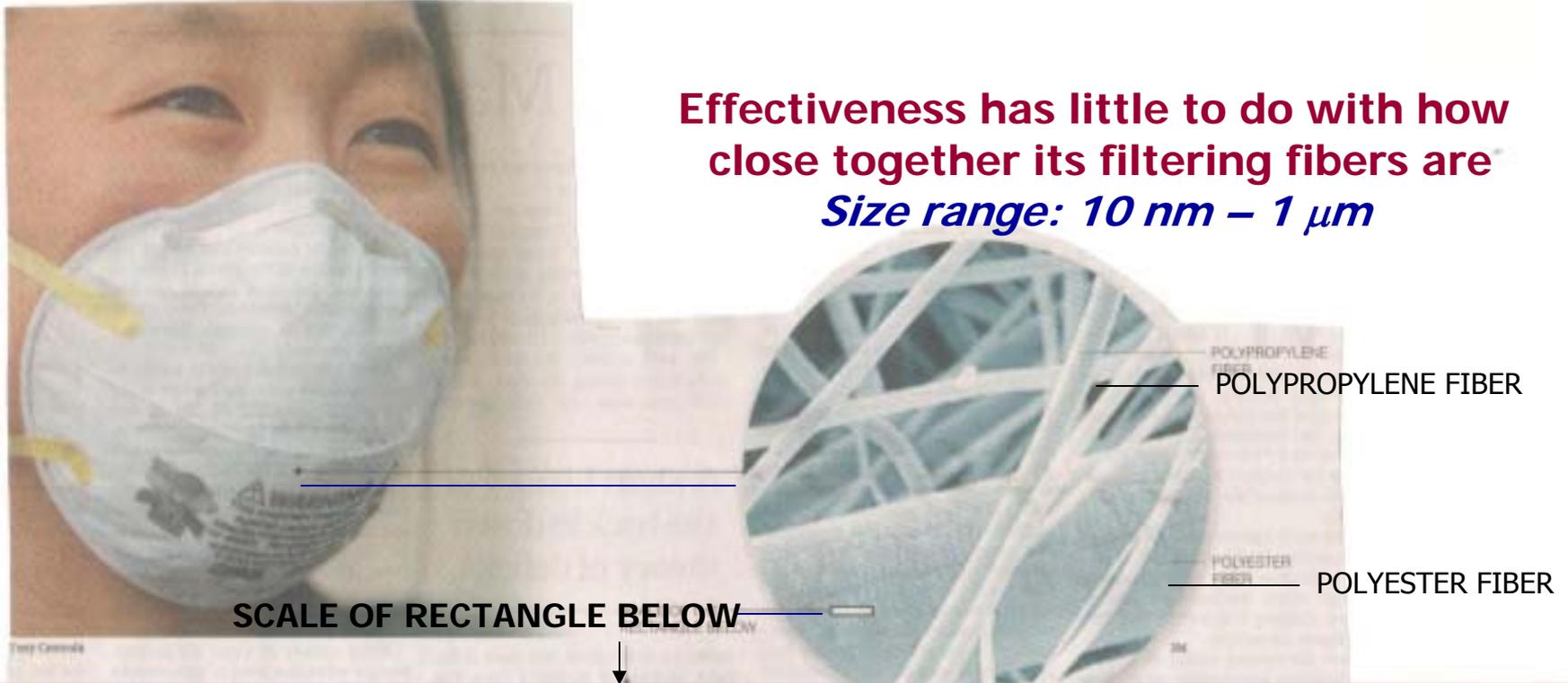
No dewetting

w=36.1 Å
 (w/o scCO₂)
 ↓
 w= 72.7 Å
 with CO₂

Fiber Diameter versus Particle Size

New York Times
April 27, 2003

Effectiveness has little to do with how close together its filtering fibers are
Size range: 10 nm – 1 μm



Membrane for Gas Separation

Motivation: *Gas Filtration at a Molecular Level*

- *Benefits to society, e.g., SARS; other viruses*
- *Homeland security – bio- & chemical terrorism*

Gas permeation selectivity

$$F = PA \Delta p / \sigma$$

F = volumetric flow rate (or the gaseous flux),

σ = membrane thickness,

A = surface area,

P = intrinsic permeability coefficient that characterizes the specific membrane-permeant combination, and

Δp = applied pressure difference.

*F is linearly proportional to P , A , and Δp ;
but is inversely proportional to σ .*

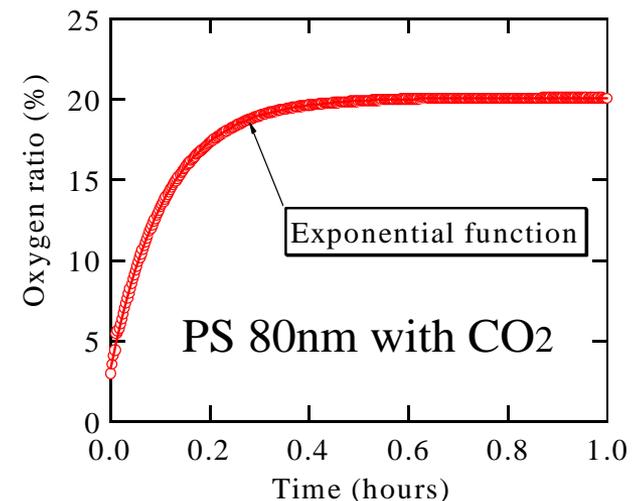
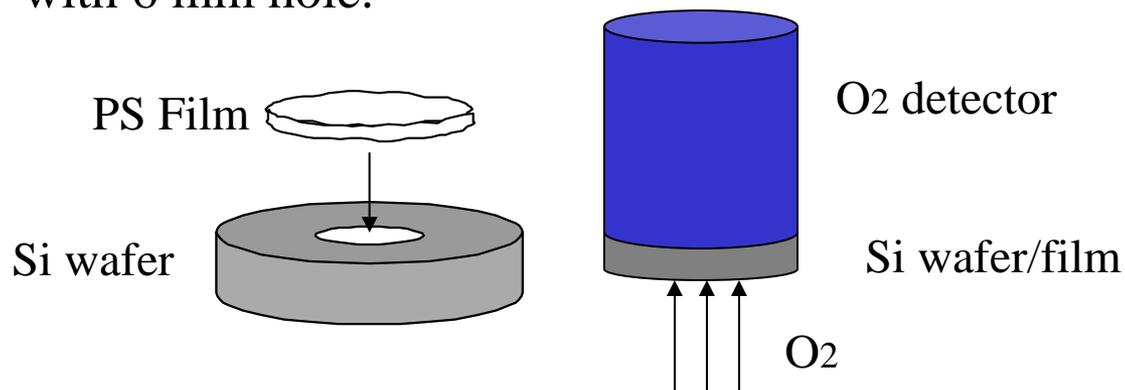
Membrane should be as thin as possible

Advantage of $scCO_2$

The size of free volume could be possibly tunable as a function of the magnitude of the swelling ratio, which depends upon initial film thickness, molecular weight of polymers and CO_2 temperature and pressure conditions.

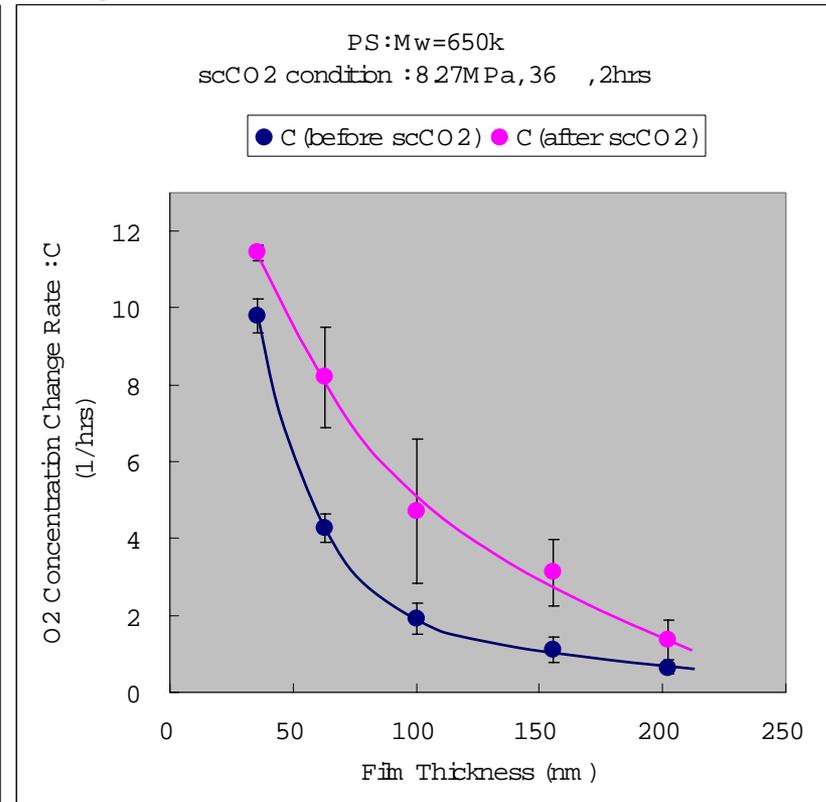
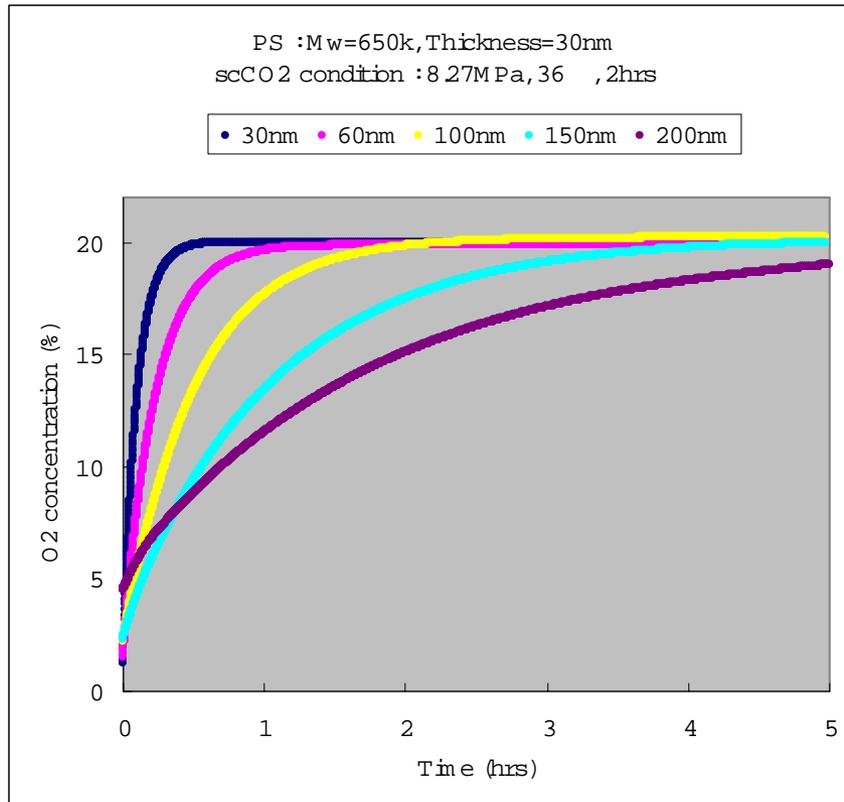
Oxygen Permeability Measurements

- O_2 detector and interface were purchased from Vernier co. (LabPro).
- Polymer: PS ($M_w=280K$)
- Polymer films were floated on Si wafer with 6 mm hole.



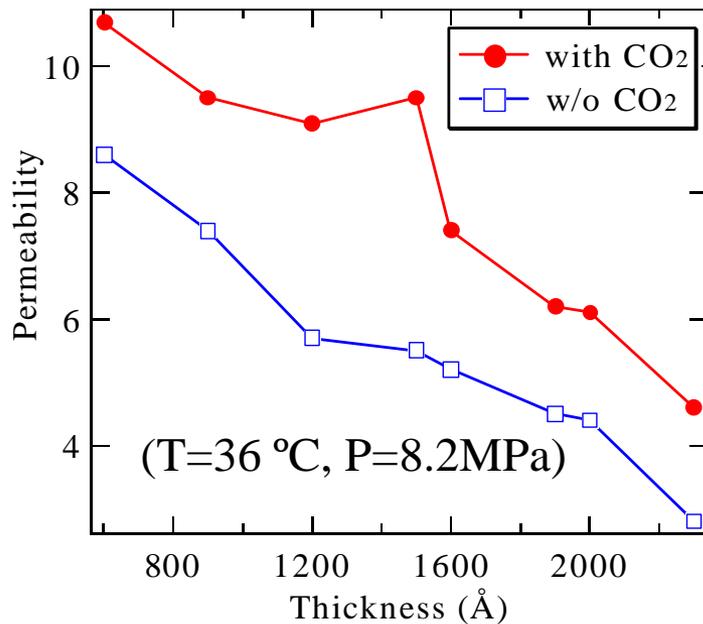
Dependence of O₂ gas permeability on PS film thickness after scCO₂ treatment

- The scCO₂ treatment has a remarkable increasing effect of O₂ gas permeability and the effect decreases with film thickness. The effect is almost same as one of ca. 60nm thinning.



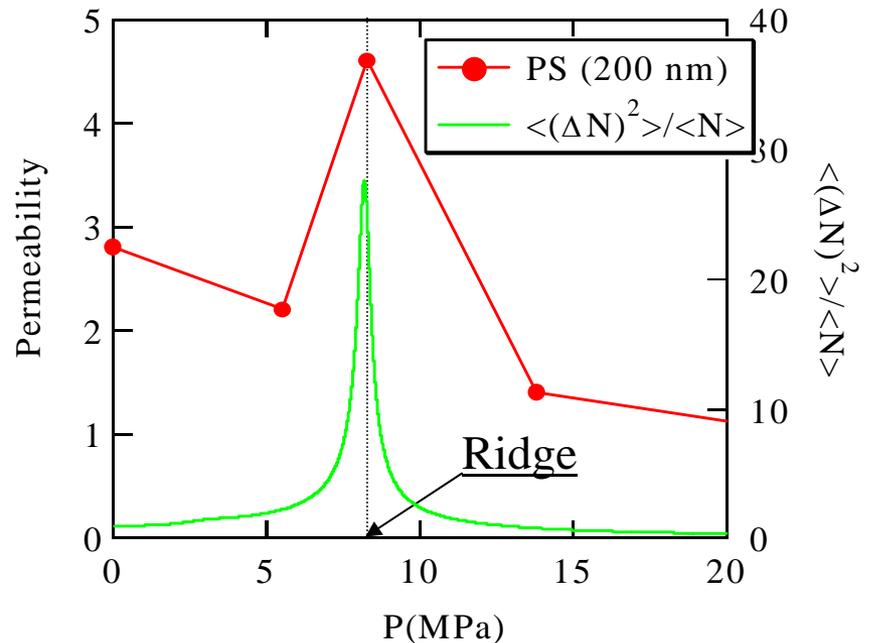
Permeability Results

<Thickness Dependence >



Excess permeability appeared in the wide thickness range measured.

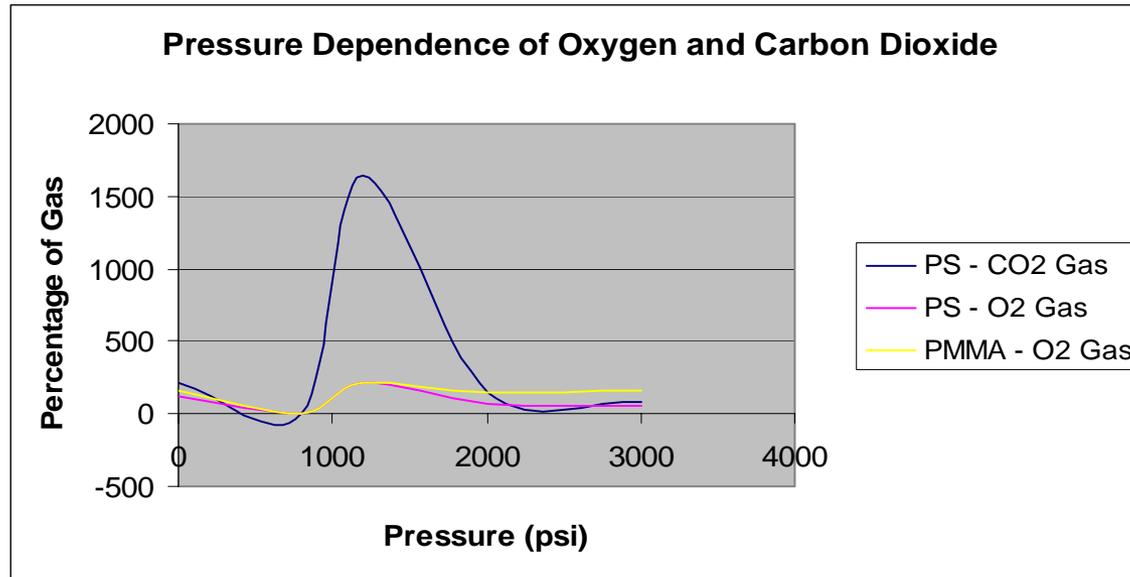
<Pressure Dependence at T=36 °C>



Excess permeability was also seen at the ridge in the PS films.

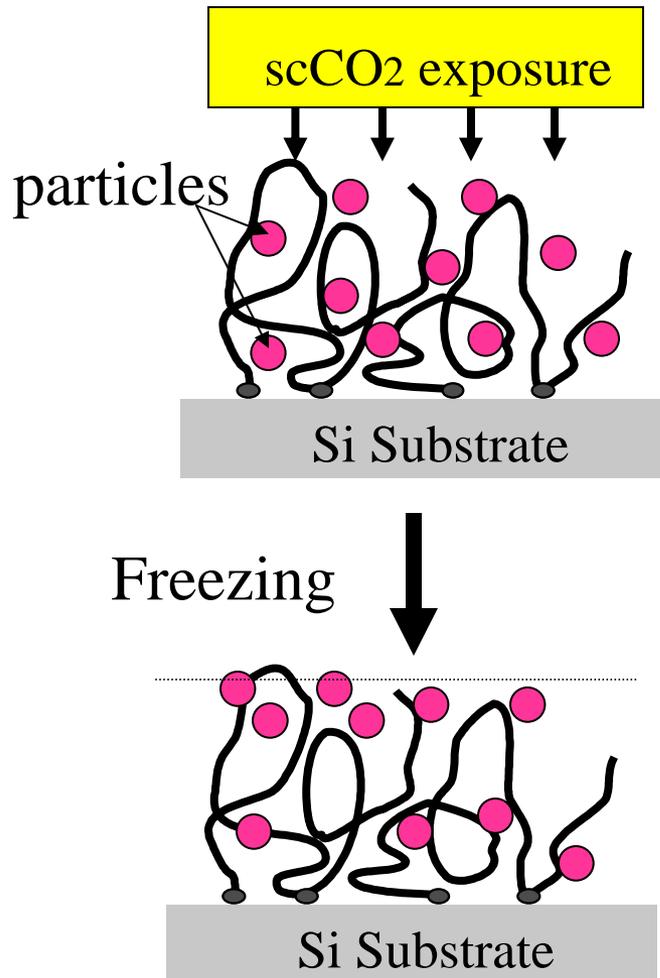
These behaviors are consistent with the swelling behavior, indicating that exposure to scCO₂ at the ridge increases the size of the free volumes. A great possibility to select gas permeation by tuning the size of the free volumes as a function of T, P, M_w, L₀.

Results – Pressure Dependence



- O₂ had a distinct peak of permeability at 1200 psi for both PS and PMMA
 - Consistent with data of Koga et al, who reported an increase in penetration depth of the scCO₂ at this pressure

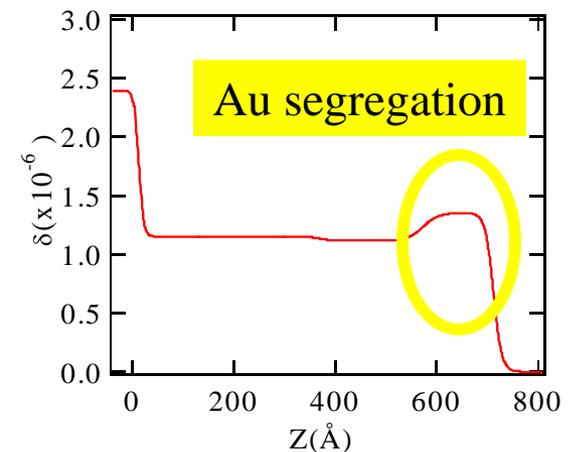
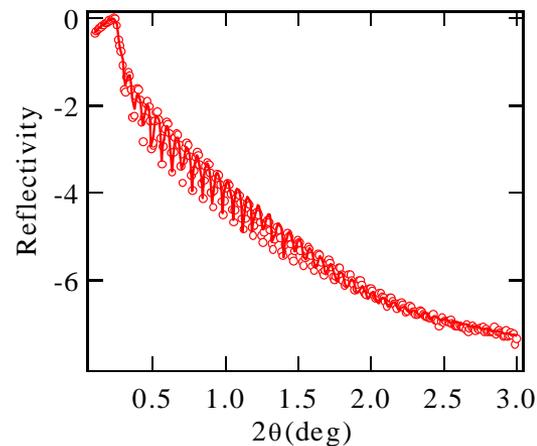
Effect of Nanoparticles on Swelling



Sample: PS (280K, 64nm)/Au (dia. 3 nm, 2% wt)
Exposed to scCO₂ at the ridge and then frozen.

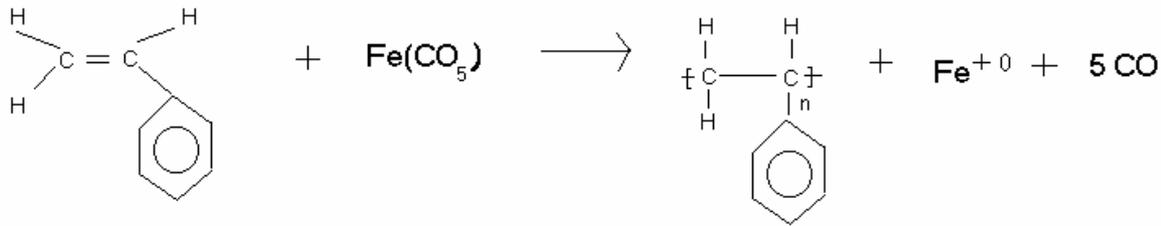
X-ray reflectivity at X10B (NSLS)

Linear dilation=0.16 with Au
=0.15 w/o Au

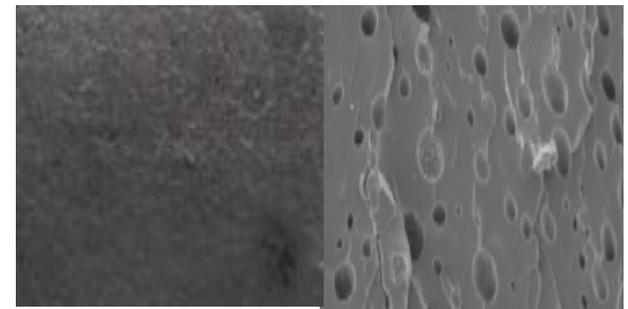
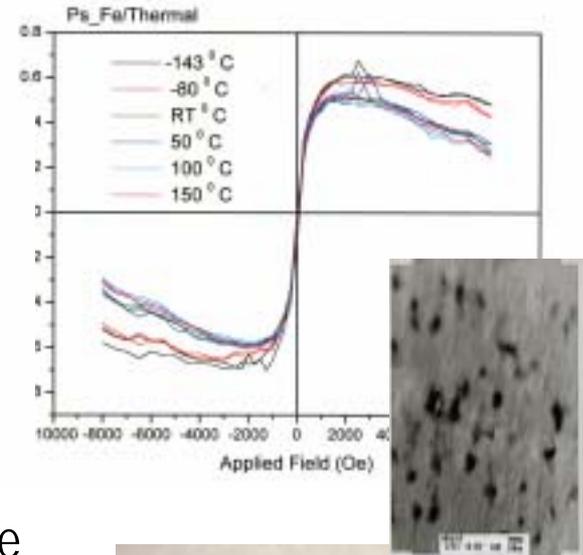


The effect of Au particles on the swelling was not significant, while Au particles aggregated to the air/polymer interface after exposed to scCO₂.

Polymer/ nanoparticles for catalysis:



- Synthesize PS in presence of Fe carbonyl results in the production of nanoparticles which further aid in catalyzing the synthesis procedure.
- Fe particles ~44 nm well dispersed in PS matrix of Mw=56K. Fe burns on exposure to air indication mostly Fe⁰
- PS matrix is glassy and impermeable to most gases.
- Iron nanoparticles are used to catalyze Fischer-Tropsch (F-T) synthesis¹
- Introduce porosity without oxidizing the Fe particles.
- Solution: Foam with ScCO₂-provides access to gas flow without compromising the catalytic activity.



Summary

Long-range density fluctuations drastically increase solubility of scCO₂ in arbitrary polymers (universal solvent) .

Polymer T_g~36C is lowered on ridge as determined from D measurements.

Exposure followed by flash evaporation can be used to produce uniform low-density films. The reduction in density increases free volume resulting in:

- Low-refractive index
- Low-dielectric constant
- Lower surface T_g-improved adhesion
- Increased gas permeability.
- Easy metalization
- Improved compatibility between blends