

It was built as an extension of the existing APS 37

- linac and includes a photocathode RF gun, a linear accelerator with a bunch compressor, an 39 electron beam transport line, and an undulator
- system. 41 The photocathode RF gun generates high-

current low-emittance electron bunches at 6 Hz. 43

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49 51 53 55 and quadrupole and corrector magnets. The undulator period is 3.3 cm, the peak field is 1 T, 57 and the undulator parameter K is 3.1. A detailed description of the LEUTL and its various compo-59 nent systems can be found in Refs. [1-4].

Last year outstanding results were obtained at 61 the LEUTL. The gain from the first undulator to the saturation point of the order of 10^6 was 63 measured, and the saturation of the SASE FEL process was achieved in visible and ultraviolet [5]. 65

This paper present spectral data of the SASE light gathered during that time, their analysis, and 67

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1 comparison with theory and simulation results. The paper begins with the description of a high-

- 3 resolution spectrometer. Then it describes the spectrum measurements and presents the first z-
- 5 dependent spectral measurements of the SASE light. Simulation results are also presented to7 compare with the measurements. Finally, the
- dependence of the radiated wavelength on thevertical angle is discussed, and an example of thesecond harmonic spectrum is demonstrated.
- 11

13 2. Spectrometer description

15 All spectral measurements reported in this paper have been done with a high-resolution spectro-17 meter located at the downstream end of the undulator line in the end-station room. A mirror 19 at each diagnostic station can direct the SASE light towards the spectrometer through a hole in 21 the shielding wall, thus allowing one to measure spectral characteristics of the SASE light at 23 different longitudinal locations along the undulator line. 25

A schematic of the spectrometer is shown in Fig 49 1. It utilizes a Paschen-Runge mount. This design was chosen because of its great flexibility: it 51 provides independence on the angle of the incoming light, it can be tuned for wide range of 53 wavelengths, and it is easy to modify. The spectrometer consists of three main elements all 55 located on the Rowland circle: a vertical entrance slit, a spherical grating, and a CCD camera. The 57 light coming from the undulator hall is focused on the entrance slit with a concave mirror. All optical 59 elements are reflective with metal coatings. This allows the system to work over a wide range of 61 wavelengths. The CCD camera can measure the radiation of each electron bunch separately. To 63 reduce the dark current and to improve the signalto-noise ratio, the CCD camera is cooled. 65

The spectrometer was calibrated with hollow cathode discharge lamps, and the designed resolution was checked on different wavelengths. The main parameters of the spectrometer are presented in Table 1.

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Fig. 1. A top view of the Paschen-Runge-type spectrometer for the analysis of the SASE FEL light.

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1 Table 1

Main parameters of the high-resolution spectrometer

Grating	
Grooves/mm	600
Curvature radius (mm)	1000
Blaze wavelength (nm)	482
CCD camera	
Number of pixels	1100×330
Pixel size (µm)	24
Concave mirror curvature radius (mm)	4000
Spectral resolution (Å)	0.4
Bandpass (nm)	44
Resolving power at 530 nm	10 000
Wavelength range (nm)	250-1100

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3. Spectrum measurements

 $I(t) = -e\sum_{k=1}^{N}\delta(t-t_k)$

A typical spectrum of the spontaneous undulator radiation measured after the second section of the undulator is shown in Fig. 2. In order to 21 explain the spectrum, let us consider the microscopic picture of the electron beam current at the 23 entrance into the undulator. The electron beam current consists of electrons arriving at the 25 entrance of the undulator at some particular time t_k : where N is the number of electrons in a bunch. The 49 Fourier transform of the current can be written as

$$\bar{I}(\omega) = \int_{-\infty}^{\infty} e^{i\omega t} I(t) dt = -e \sum_{k=1}^{N} e^{i\omega t_k}$$
53

and the Fourier transform of the electric field emitted in the undulator can be expressed by 55

$$E(\omega) = e(\omega) \sum_{k} e^{i\omega t_{k}}$$
57

where $e(\omega)$ is the Fourier transform of an 59 individual particle traveling through the undulator and is proportional to $\sin(\omega - \omega_0)T/(\omega - \omega_0)T$. 61 The summation of a large number of exponentials with different arguments results in an appearance 63 of sharp spikes in the $E(\omega)$ dependence, which can be seen in Fig. 2. The spike width is proportional 65 to the reciprocal of the electron bunch length [6,7].

The typical single shot spectra of the SASE light 67 measured at different locations along the undulator line are presented in Fig. 3 (left column). The 69 typical spectra simulated with the FEL code GINGER [8] for the same locations are shown in 71 the right column.

3.1. Spectrum bandwidth

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From the 1D theory of SASE, we know that in the exponential gain regime the spectrum width of 77 the SASE light is given by [9]





Fig. 2. Measured spectrum of spontaneous undulator radiation. FWHM bunch length is about 4 ps.

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45 Fig. 3. Z-dependent single-shot spectrum measurements of the SASE radiation (left column) and simulations (right column). The 93 saturation is achieved around undulator 7 (VLD 7).

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$$\frac{1}{3} \quad \frac{\delta\lambda}{\lambda} = \sqrt{\frac{0.83\rho}{N_{\rm U}}} \sim \frac{1}{\sqrt{z}}$$

- 5
- 7

27

- 9 where $N_{\rm U}$ is the number of undulator poles, ρ is the FEL scaling parameter, and z is the distance 11 along the undulator.
- Fig. 4 demonstrates the z-dependent rms spec-13 trum width for both measurements and simula-
- tions. The simulations were done with the beam parameters shown in Ref. [5], Table 1, case C. The 15 spectrum width does decrease along the undulator
- 17 line until saturation is reached after undulator number 7. After saturation, the spectrum width
- 19 increases again due to the synchrotron instability of the electrons and sideband development.
- 21 The measured and simulated curves of Fig. 4 show very similar z-dependent behavior. However,
- the absolute value of the measured spectrum width 23 is about a factor of 1.6 larger. The reason for the 25 difference in the measured and simulated spectral width is still not understood.

3.2. Spike width

For spontaneous radiation the width of the 51 spectral spikes corresponds to the reciprocal of the bunch length. Because the electron bunches profile 53 is not flat (usually somewhat Gaussian) and because the gain depends on the local electron 55 bunch current, radiation emitted by different parts of the beam experiences different gain. One can 57 view it as a decrease in the effective bunch length, 59 since the radiation intensity in the central part of the Gaussian beam grows faster than in the rest of the beam. Therefore, the spike width will increase 61 during the exponential gain regime. At saturation, other parts of the beam with lower local beam 63 current will also come to saturation. This will result in an increase in the effective bunch length 65 and a decrease in the spike width.

The spike width can be extracted from an 67 autocorrelation of the spectrum. Fig. 5 shows the autocorrelation averaged over many shots for 69 several VLD stations for simulated and measured spectra. The z-dependence of the spike width 71 obtained with the autocorrelation is plotted in Fig. 6. As expected, the spike width increases in 73



Fig. 4. RMS spectrum width for measurements and simulations. Error bars show standard deviation of the width fluctuations.

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the exponential gain regime up to saturation, and then it decreases due to new sidebands appearing after the saturation. The measured and calculated spike widths of Fig. 6 show similar behavior along the undulator line; however, the absolute spike width of the measured spectra is about a factor of 1.5 larger. The fact that the measured spikes are wider than the simulated ones can also be noticed in Fig. 3.

The difference in the spike width can be explained in several ways. First, the estimated accuracy of the electron bunch length measurements is about 20% rms. This could give an error close to a factor of 1.5. Second, the bunch profile could contain an intense core that is shorter than the overall bunch but provides most of the radiation. Finally, it could be a combination of the reasons mentioned above.

43 3.3. Average number of spikes

45 As one can see from Fig. 3, the number of spikes in the spectrum also decreases with the distance
47 along the undulator. The number of spectral spikes corresponds to the number of coherence

modes. Since the coherence length increases along71the undulator, the number of coherent modes73decreases, resulting in a decreased number of73spikes. When saturation is achieved, the sidebands75appear, increasing the number of spikes again as75one can see from the last row in Fig. 3. An average77number of spikes in the spectrum calculated for77different locations along the undulator line is79

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4. Vertical angle dependence of the wavelength 83

Due to astigmatism of the spherical grating in
spectrometer, the horizontal and vertical
focuses of the spectral image are not achieved
simultaneously. That is why the image on the CCD
camera has non-zero vertical size, and the vertical
direction corresponds to the vertical angle in the
SASE light.8791

Fig. 8 shows one of the images recorded by the CCD camera. The horizontal direction on the image corresponds to the wavelength of the radiation while the vertical direction corresponds to the vertical angle of the radiation. Analysis of

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Fig. 8. Spectral image of the radiation after VLD 4. Horizontal direction is wavelength; vertical direction is vertical angle of radiation.

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 such images allows one to extract the vertical angle dependence of the radiated wavelength of the
 SASE light.

During the exponential growth of SASE light, a 5 single transverse mode dominates over all other modes at a given radiation wavelength within the

- 7 gain bandwidth. However, the mode characteristics at one wavelength are different from that of
- 9 the other wavelength [10]. More specifically, the angular divergence of the transverse mode in-
- 11 creases somewhat with the increasing wavelength due to stronger diffraction. As a result, the central

13 wavelength observed at an angle θ with respect to the *z*-axis is slightly red-shifted. Fig. 9 shows the

15 measured central wavelength as a function of the vertical angle, obtained from the image shown

17 above. Calculations based on the 3D FEL mode theory show close agreement (solid curve). For

19 comparison, the angular dependence of the radiation wavelength for spontaneous emission is given21 by

2 - 2

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beam and K is the undulator parameter. It is also 49 plotted in Fig. 9 (dash curve). As expected, the angular dependence is much stronger for spontaneous emission than for SASE. 51

The curvature of the spectral image can slightly 53 increase measured spectrum width and spike width. The image processing software extracts a 55 curve by integrating over some vertical intervals of the image, so the resulting spectrum can be wider 57 than the original one. Accurate comparisons of spectral images with their integrated projections 59 show that a spectrum width increase of up to 20% is possible, when the vertical integration intervals 61 are not optimally chosen.

The spectral images can also be used for 63 determining the angular distribution of the radiation intensity. Fig. 10 shows the intensity plot 65 obtained from the image above. This plot gives rms angular divergence of the SASE radiation of 67 0.5 mrad, which is in good agreement with the values obtained from other measurements. 69

$$\frac{23}{\lambda} = \frac{\gamma^2 \theta^2}{1 + K^2/2}$$

$$\frac{\delta \lambda}{1 + K^2/2}$$

$$71$$

$$73$$

where γ is the relativistic factor of the electron

Vertical angle dependent wavelength 29 77 400 79 31 Measurements 398 Spontaneous 33 SASE 81 396 Navelength [nm] 35 83 394 392 37 85 390 39 87 388 89 41 386 43 91 384 0.0 0.5 1.0 -0.5 -1.0 45 93 Vertical angle [mrad]



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5. Second harmonic measurements

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The non-linear harmonics of the fundamental wavelength are expected to grow after the bunching at the fundamental is apparent [11,12]. In the case of a planar undulator, the odd harmonics are favored due to the natural sinusoidal motion of the electron beam in the undulator, although the 95

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- 1 second harmonics is fairly significant, too. From the simulations [11], one can expect about a factor
- 3 of 10^3 power reduction of the second harmonic compared to the fundamental.
- 5 Minor modification of the spectrometer configuration allows measurement of the spectrum of the
- 7 first and second harmonics of the SASE radiation simultaneously. The CCD camera is placed at the

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location of the spectral image of the second 49 harmonic, and one additional mirror at the center of the Rowland circle is used to direct the image of 51 the first harmonic onto the CCD. Fig. 11 shows a typical spectral image with two spectra: the upper 53 one is the second harmonic spectrum and the lower one is the fundamental harmonic. The first 55 harmonic was attenuated by a factor of 10³.



47 Fig. 12. Spectral plots showing simultaneous measurements of the first (solid curve) and second (dash curve) harmonics for two 95 different shots.

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 Fig. 12 shows two typical plots showing the first and second harmonic spectra. These two plots
 were chosen to demonstrate that the spectral shape of the two harmonics could be different (left) or
 similar (right). The average rms spectrum width of the two harmonics has been calculated for the

7 SASE radiation after the undulator 7

9 1st harmonic (530 nm)
$$\frac{\delta\lambda}{\lambda} = 2.9 \times 10^{-3}$$

11 2nd harmonic (265 nm)
$$\frac{\delta\lambda}{\lambda} = 4.4 \times 10^{-3}$$

13

15 6. Conclusions

17 The *z*-dependence of the spectrum of SASE FEL radiation has been measured. It shows a narrowing

19 of the spectral bandwidth during the exponential gain regime and the appearance of sidebands after

21 saturation is achieved. Qualitative behavior of the measured spectra coincides very well with the

- 23 theory and simulations. However, there are still some quantitative differences that could be attrib-
- 25 uted to both measurements processing difficulties and simulation complexity. It is hoped that these
- 27 data will further stimulate SASE modeling devel-

opment for the fundamental and higher harmonics. 29

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